

## Baer semisimple modules and Baer rings

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**ABSTRACT.** We consider Baer rings and Baer semisimple  $R$ -modules which are generalizations of semisimple modules. Several characterization theorems of Baer semisimple modules are obtained. In particular, we prove that a ring  $R$  is a Baer ring if and only if  $R$  itself, regarded as a regular  $R$ -module, is Baer semisimple.

Throughout this paper,  $R$  is an associative ring with identity 1 and all  $R$ -modules are unital. Denote the set of idempotents of  $R$  by  $E(R)$ . Let  $M$  be a left  $R$ -module and a right  $S$ -module. Also, let  $X$  be a subset of  $M$ ,  $R$  or  $S$ , respectively. Then we denote the left [resp. right] annihilator of  $X$  by  $\text{ann}_\ell(X)$  [resp.  $\text{ann}_r(X)$ ]. We also write  $\text{ann}_\ell(\{m\})$  [resp.  $\text{ann}_r(\{m\})$ ] by  $\text{ann}_\ell(m)$  [resp.  $\text{ann}_r(m)$ ].

We call a ring  $R$  a *Baer ring* if the left annihilator of any subset of  $R$  is generated by an idempotent. The properties of Baer rings and its generalizations have been studied by many authors, for example, see ([3], [4], [11] and [13]). We observe that Baer rings can be generalized into other forms, for example, rpp rings, etc. The rpp-rings and their generalizations have been extensively studied in the literature after Hattori (see, [2]-[15]). Recently, the authors have introduced the concept of right perpetual ideals and consequently, reduced pp rings are characterized by using right perpetual submodules (see [8]).

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Recall that a right ideal  $I$  of  $R$  is a *right perpetual ideal* of  $R$  if for every  $x \in I$  and  $y \in R$ ,  $\text{ann}_\ell(x) \subseteq \text{ann}_\ell(y)$  implies that  $y \in I$  (see [8]). Clearly, for any  $X \subseteq R$ , there exists the smallest right perpetual ideal of  $R$  containing  $X$ . We usually call this smallest right perpetual ideal of  $R$  containing  $X$  the *right perpetual ideal* generated by  $X$  and is denoted by  $R^*(X)$ . If  $X = \{a\}$ , then we write  $R^*(X) = R^*(a)$ .

The following results are known.

**Lemma 1.** [8] *The following statements hold in a ring  $R$ :*

- (1) *If  $e \in E(R)$ , then  $R^*(e) = eR$ .*
- (2) *For all  $X \subseteq R$ ,  $\text{ann}_r(X)$  is a right perpetual ideal of  $R$ .*
- (3) *A ring  $R$  is lpp if and only if for any  $a \in R$ ,  $R^*(a)$  is generated by an idempotent.*

Let  $M$  be a right  $R$ -module. Denote the ring of  $R$ -endomorphisms of  $M$  by  $\text{End}(M_R)$ . If  $\text{End}(M_R)$  is regarded as a set of left operations, in notation,  $\text{End}_\ell(M_R)$ , then  $M$  can be regarded as a left  $\text{End}_\ell(M_R)$ -right  $R$ -module. Inspiring by the definition of right perpetual ideals, we now define the perpetual submodules.

**Definition 1.** *Let  $M$  be a right  $R$ -module. Then, we call a (right  $R$ -)submodule  $N$  of  $M$  a *perpetual submodule* of  $M$  if for all  $x \in N$  and  $y \in M$ ,  $\text{ann}_\ell(x) \subseteq \text{ann}_\ell(y)$  implies  $y \in N$ .*

It is clear that  $M$  and  $(0)$  are both trivial perpetual submodules of  $M$ . Also, the intersection of perpetual submodules of  $M$  is still a perpetual submodule of  $M$  and hence, there exists the smallest perpetual submodule of  $M$  containing  $X$  for  $X \subseteq M$ . Denote the smallest perpetual submodule of  $M$  containing  $X$  by  $SM^*(X)$ . On the other hand, if  $R$  is regarded as a regular right  $R$ -module  $R_R$ , then the left  $\text{End}(R_R)$ -right  $R$ -module  $R$  becomes a regular bimodule  ${}_{\text{End}(R_R)}R_R$ . Thus in this case, every perpetual submodule of  $R$  is a right perpetual ideal of  $R$  (same as in rings).

The following lemma can be easily proved.

**Lemma 2.** *Let  $M$  be a right  $R$ -module and  $X \subseteq \text{End}_\ell(M_R)$ . Then*

- (1)  *$\text{ann}_r(X)$  is a perpetual submodule of  $M$ .*
- (2) *If  $\varphi^2 = \varphi \in \text{End}_\ell(M_R)$ , then  $\varphi M$  is a perpetual submodule of  $M$ .*

The proof of the following lemma is straightforward.

**Lemma 3.** *Let  $M$  be a right  $R$ -module and  $x \in M$ . Then  $SM^*(x) = \text{ann}_r(\text{ann}_\ell(x))$ .*

The following result lemma is crucial in this paper but the proof can be found in [1].

**Lemma 4.** [1] *A  $R$ -submodule  $K$  of the right  $R$ -module  $M$  is a direct summand of  $M$  if and only if  $K = eM$  for some idempotent  $e \in \text{End}_\ell(M_R)$ .*

Now, we formulate the following definition.

**Definition 2.** *Let  $M$  be a right  $R$ -module. Then*

(1)  *$M$  is called a **Baer simple  $R$ -module** if  $M \neq 0$ , and  $M$  contains no perpetual submodules of  $M$  other than  $M$  itself and  $(0)$ .*

(2)  *$M$  is called a **Baer semisimple  $R$ -module** if every perpetual submodule of  $M$  is a direct summand of  $M$ .*

Evidently, a Baer simple  $R$ -module is itself Baer semisimple and the usual semisimple  $R$ -module is also Baer semisimple. Indeed, if  $M$  is a semisimple  $R$ -module, then every  $R$ -submodule  $N$  of  $M$  is a direct summand of  $M$ . By Lemma 4,  $N = eM$ , for some  $e^2 = e \in \text{End}_\ell(M_R)$ . This implies that every  $R$ -submodule of  $M$  is a perpetual submodule of  $M$ . Thus  $M$  is Baer semisimple.

**Proposition 1.** *Let  $M$  be a Baer semisimple  $R$ -module and  $N$  a perpetual submodule of  $M$ . Then the following statements hold:*

- (i)  *$N = eM$  for some idempotent  $e \in \text{End}_\ell(M_R)$ .*
- (ii)  *$N$  is Baer semisimple.*

*Proof.* (i) By our hypothesis,  $M$  is Baer semisimple and hence,  $N$  is a direct summand of  $M$ . Now, by Lemma 4,  $N = eM$ , for some idempotent  $e \in \text{End}_\ell(M_R)$ .

(ii) It suffices to verify that any perpetual submodule of  $N$  is still a perpetual submodule of  $M$ . In other words, we only need to prove that the smallest perpetual submodule  $SM_M^*(x)$  of  $M$  containing  $x$  is the smallest perpetual submodule  $SM_N^*(x)$  of  $N$  containing  $x$ , for all  $x \in N$ . By Lemma 5, we have  $N = eM$ , for some idempotent  $e \in \text{End}_\ell(M_R)$ . Denote the left annihilator of  $K$  related to the  $R$ -module  $M$  and related to the  $R$ -module  $N$  by  $\text{ann}_\ell^M(K)$  and  $\text{ann}_\ell^N(K)$ , respectively. Now, by Lemma 3,  $SM_M^*(x) \subseteq N$ . Let  $f$  be an idempotent endomorphism in  $\text{End}_\ell(M_R)$  such that  $SM_M^*(x) = fM$ . Then,  $fM \subseteq eM$ . Thus, for any  $x \in M$ , we have

$$fx = ey = eey = efx \quad (y \in M),$$

and thereby,  $f = ef$ . Hence,  $fe$  is an idempotent endomorphism in  $\text{End}_\ell(M_R)$  and also

$$fM = ffM \subseteq fefM \subseteq feM \subseteq fM,$$

that is,  $fM = feM$ . On the other hand, since the restriction  $fe|_{eM}$  of  $fe (= efe)$  to  $eM$  is an idempotent  $R$ -endomorphism which maps  $eM$

into itself, we have  $fM = feM = fe(eM)$  and hence, by Lemma 2,  $fM$  is a perpetual submodule of  $N$ . Now, by the minimality of  $SM_N^*(x)$ , we have  $SM_N^*(x) \subseteq SM_M^*(x)$ .

Now let  $\varphi \in \text{ann}_\ell^N(x)$ . Then, it can be easily observed that  $M = eM \oplus (1 - e)M$ . Hence, we can define a mapping

$$\bar{\varphi} : M \rightarrow M; \quad y \mapsto \varphi(ey),$$

which is a  $R$ -homomorphism of  $M$  into itself with  $\bar{\varphi}|_N = \varphi$ . Clearly,  $\bar{\varphi} \in \text{ann}_\ell^M(x)$ . If  $y \in SM_M^*(x)$ , then by Lemma 3,  $\psi(y) = 0$  for all  $\psi \in \text{ann}_\ell^M(x)$ , and furthermore,  $\bar{\varphi}y = 0$ , for all  $\varphi \in \text{ann}_\ell^N(x)$ . Note that  $SM_M^*(x) \subseteq N$  and  $\bar{\varphi}|_N = \varphi$ . Thus  $\bar{\varphi}y = 0$  implies that  $\varphi y = 0$ . This shows that  $\text{ann}_\ell^N(x) \subseteq \text{ann}_\ell^N(y)$ . Consequently, we can deduce  $y \in SM_N^*(x)$ , by Lemma 3. This leads to  $SM_M^*(x) \subseteq SM_N^*(x)$ . Thus,  $SM_N^*(x) = SM_M^*(x)$ , as required.  $\square$

The following is a characterization theorem for the Baer simple  $R$ -modules.

**Theorem 1.** *Let  $M$  be a Baer semisimple  $R$ -module and  $N$  a perpetual  $R$ -submodule of  $M$ . Then  $N$  is Baer simple  $R$ -module if and only if  $N = eM$ , for some primitive idempotent  $e \in \text{End}_\ell(M_R)$ .*

*Proof.* Suppose that  $N$  is a Baer simple  $R$ -submodule of  $M$ . Then, by Lemma 4,  $N = eM$  for some idempotent  $e \in \text{End}_\ell(M_R)$ . Now let  $f^2 = f \in \text{End}_\ell(M_R)$  such that  $f \leq e$ , i.e.,  $f = ef = fe$ . Then  $fM \subseteq eM$ . Since  $N$  is Baer simple,  $fM = (0)$  or  $fM = eM$ .

- If  $fM = (0)$ , then  $f = 0$ .
- If  $fM = eM$ , then for all  $x \in M$ ,

$$e(x) = f(y) = ff(y) = fe(x) = f(x) \quad (y \in M),$$

and whence  $e = f$ .

This shows that  $e$  is a primitive idempotent of  $\text{End}_\ell(M_R)$ . Conversely, we assume that  $N = eM$ , where  $e$  is a primitive idempotent of  $\text{End}_\ell(M_R)$ . Then  $N$  is a perpetual submodule of  $M$ . Let  $K$  be a perpetual submodule of  $N$ . Now, by using the proof of Proposition 1, we can show that  $K$  is still a perpetual submodule of  $M$ , and by Lemma 4,  $K = fM$  for some idempotent  $f \in \text{End}_\ell(M_R)$ . Now,  $fM \subseteq eM$  implies that for all  $x \in M$ , we have

$$f(x) = e(y) = ee(y) = ef(x) \quad (y \in M),$$

and thereby,  $f = ef$ . By routine verification,  $fe$  is an idempotent of  $\text{End}_\ell(M_R)$ , and  $fe \leq e$ . But since  $e$  is primitive,  $fe = e$  or  $fe = 0$ .

- If  $fe = e$ , then

$$fM \subseteq eM = feM \subseteq fM,$$

that is,  $K = N$ .

- If  $fe = 0$ , then

$$K = fM = f(fM) \subseteq f(eM) = (0).$$

This shows that the submodule  $N$  is indeed Baer simple.  $\square$

We next establish a "Schur Lemma" for Baer simple modules.

**Theorem 2.** (Schur Lemma) *If  $M$  is a Baer simple  $R$ -module, then  $\text{End}_\ell(M_R)$  is a domain (such a ring satisfies the cancellative law).*

*Proof.* It suffices to show that any  $\varphi \in \text{End}_\ell(M_R) \setminus \{0\}$  is injective. For this purpose, we only need to prove that  $\text{ann}_r(\varphi) = (0)$ . By Lemma 2,  $\text{ann}_r(\varphi)$  is a perpetual submodule of  $M$  and, since  $M$  is Baer simple,  $\text{ann}_r(\varphi) = M$  or  $\text{ann}_r(\varphi) = (0)$ . But since  $\varphi \neq 0$ , it is clear that  $\text{ann}_r(\varphi) \neq M$ . Thus  $\text{ann}_r(\varphi) = (0)$  and hence  $\varphi$  is injective.  $\square$

**Lemma 5.** *Any nonzero Baer semisimple  $R$ -module  $M$  contains a Baer simple  $R$ -module.*

*Proof.* Without loss of generality, we may assume that  $M$  is not a Baer simple  $R$ -module. Then we can pick a nonzero element  $x$  of  $M$  such that  $SM^*(x) \subset M$ . By Lemma 4,  $SM^*(x) = eM$  for some idempotent endomorphism  $e \in \text{End}_\ell(M_R)$ . By Lemma 1,  $K = (1-e)M$  is a perpetual submodule of  $M$  not containing  $x$ . Now, by Zorn's lemma, there exists a perpetual submodule  $N$  of  $M$  which is maximal with respect to the property that  $x \notin N$ . Choose a perpetual submodule  $N'$  of  $M$  such that  $M = N \oplus N'$  (by Lemma 4). Then, we can finish our proof by showing that  $N'$  is Baer simple. Indeed, if  $N''$  is a nonzero perpetual submodule of  $N'$ , then by Proposition 1,  $N'$  is Baer semisimple and  $N' = N'' \oplus N'''$ , where  $N'''$  is a submodule of  $N'$ . Thus  $N \oplus N''$  is a direct summand of  $M$ . Again by Lemma 4,  $N \oplus N'' = fM$  for some idempotent  $f \in \text{End}_\ell(M_R)$  and by Lemma 2,  $N \oplus N''$  is a perpetual submodule of  $M$  containing  $x$  (by the maximality of  $N$ ) and  $N \oplus N'' = M$ , which implies that  $N'' = N'$ , as desired.  $\square$

**Proposition 2.** *A Baer semisimple module is the direct sum of a family of Baer simple submodules.*

*Proof.* Assume that  $M$  is a Baer semisimple module. Denote by  $A$  the set of Baer simple submodules of  $M$ . Then, we consider the subset  $B \subset A$  with the following conditions:

- $\sum_{J \in B} J$  is a direct sum.
- $\sum_{J \in B} J$  is a perpetual submodule of  $M$ .

By Lemma 5,  $A \neq \emptyset$ . Now, by Zorn's lemma, we can consider the family of all the above  $B$ 's with respect to the set inclusion. Thus we can pick a  $B$  to be the maximal element. For such a  $B$ , we can construct a perpetual submodule  $M_1 := \oplus_{J \in B} J$ . Now, by our hypothesis,  $M = M_1 \oplus M_2$ , where  $M_2$  is a submodule of  $M$ . By Lemma 4,  $M_2$  is a perpetual submodule of  $M$  and by Proposition 1,  $M_2$  is a Baer semisimple, and hence by Lemma 5 again,  $M_2 = K \oplus Q$ , where  $K$  is a Baer simple submodule of  $M_2$  and  $Q$  a submodule of  $M_2$ . Thus  $M_1 \oplus K$  is a direct summand of  $M$  and of course,  $M_1 \oplus K$  is a perpetual submodule of  $M$ , by Lemma 4. On the other hand, by using the proof of Proposition 1(ii), we can show that  $K$  is Baer simple in  $M$ . This contradicts the maximality of  $B$ . Therefore  $M = M_1 = \oplus_{J \in B} J$ .  $\square$

**Theorem 3.** *Let  $M$  be a  $R$ -module and  $P$  the set of submodules of the form  $eM$ , with  $e \in E(\text{End}_\ell(M))$ . Order the set  $P$  by set inclusion. Then the following statements are equivalent:*

- (i)  $M$  is Baer semisimple.
- (ii) The following two conditions hold:
  - (a) For any  $x \in M$ ,  $SM^*(x)$  is a direct summand of  $M$ .
  - (b)  $P$  forms a complete lattice.

*Proof.* (i)  $\Rightarrow$  (ii) Since condition (a) holds trivially, we need only to show that condition (b) holds. Let  $T \subseteq P$ . Since every element of  $P$  is a perpetual submodule of  $M$ ,  $\bigcap_{J \in T} J$  is a perpetual submodule of  $M$ . By our hypothesis,  $\bigcap_{J \in T} J$  is a direct summand of  $M$ . By Lemma 4, we have  $\bigcap_{J \in T} J \in P$ . Consider the smallest perpetual submodule  $K$  of  $M$  containing  $J$  with  $J \in T$ . It is clear that  $K$  is a direct summand of  $M$ , and whence  $K \in P$ . Thus  $K$  can be viewed as  $\text{sup}_{J \in T} J$ . Thus,  $P$  indeed forms a complete lattice.

(ii)  $\Rightarrow$  (i) Assume that (ii) holds. Let  $I$  be a perpetual submodule of  $M$ . Consider  $I = \bigcup_{x \in I} SM^*(x)$ . Then by condition (a),  $I = \bigcup_{x \in I} e_x M$ , where  $e_x$  is the idempotent of  $\text{End}_\ell(M)$  such that  $SM^*(x) = e_x M$ , for any  $x \in I$ . By condition (b),  $I = eM$  for some  $e \in E(\text{End}_\ell(M))$ , that is,  $I$  is a direct summand of  $M$ . Consequently,  $M$  is a Baer semisimple module.  $\square$

Recall that [14, Lemma 2.3] a ring  $R$  is Baer if and only if  $R$  is lpp and under set inclusion, the set of all idempotent-generated principal right ideals forms a complete lattice. By using Lemma 1 and Theorem 3, we deduce the following characterization theorem of Baer rings.

**Theorem 4.** *A ring  $R$  is a Baer ring if and only if  $R$  itself, regarded as a regular  $R$ -module, is a Baer semisimple module.*

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