

## Ideals in $(\mathcal{Z}^+, \leq_D)$

Sankar Sagi

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**ABSTRACT.** A convolution is a mapping  $\mathcal{C}$  of the set  $\mathcal{Z}^+$  of positive integers into the set  $\mathcal{P}(\mathcal{Z}^+)$  of all subsets of  $\mathcal{Z}^+$  such that every member of  $\mathcal{C}(n)$  is a divisor of  $n$ . If for any  $n$ ,  $D(n)$  is the set of all positive divisors of  $n$ , then  $D$  is called the Dirichlet's convolution. It is well known that  $\mathcal{Z}^+$  has the structure of a distributive lattice with respect to the division order. Corresponding to any general convolution  $\mathcal{C}$ , one can define a binary relation  $\leq_{\mathcal{C}}$  on  $\mathcal{Z}^+$  by ‘ $m \leq_{\mathcal{C}} n$  if and only if  $m \in \mathcal{C}(n)$ ’. A general convolution may not induce a lattice on  $\mathcal{Z}^+$ . However most of the convolutions induce a meet semi lattice structure on  $\mathcal{Z}^+$ . In this paper we consider a general meet semi lattice and study its ideals and extend these to  $(\mathcal{Z}^+, \leq_D)$ , where  $D$  is the Dirichlet's convolution.

### Introduction

A convolution is a mapping  $\mathcal{C} : \mathcal{Z}^+ \rightarrow \mathcal{P}(\mathcal{Z}^+)$  such that  $\mathcal{C}(n)$  is a set of positive divisors on  $n$ ,  $n \in \mathcal{C}(n)$  and  $\mathcal{C}(n) = \bigcup_{m \in \mathcal{C}(n)} \mathcal{C}(m)$ , for any  $n \in \mathcal{Z}^+$ , where  $\mathcal{Z}^+$  is the set of all positive integers and  $\mathcal{P}(\mathcal{Z}^+)$  is the set of all subsets of  $\mathcal{Z}^+$ . Popular examples are the Dirichlet's convolution  $D$  and the Unitary convolution  $U$  defined respectively by

$$D(n) = \text{The set of all positive divisors of } n$$

$$\text{and } U(n) = \{d \mid d|n \text{ and } (d, \frac{n}{d}) = 1\}$$

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for any  $n \in \mathcal{Z}^+$ . If  $\mathcal{C}$  is a convolution, then the binary relation  $\leq_{\mathcal{C}}$  on  $\mathcal{Z}^+$ , defined by,

$$m \leq_{\mathcal{C}} n \text{ if and only if } m \in \mathcal{C}(n) ,$$

is a partial order on  $\mathcal{Z}^+$  and is called the partial order induced by  $\mathcal{C}$  [7]. It is well known that the Dirichlet's convolution induces the division order on  $\mathcal{Z}^+$  with respect to which  $\mathcal{Z}^+$  becomes a distributive lattice, where, for any  $a, b \in \mathcal{Z}^+$ , the greatest common divisor(GCD) and the least common multiple(LCM) of  $a$  and  $b$  are respectively the greatest lower bound(glb) and the least upper bound(lub) of  $a$  and  $b$ . In fact, with respect to the division order, the lattice  $\mathcal{Z}^+$  satisfies the infinite join distributive law given by

$$a \vee \left( \bigwedge_{i \in I} b_i \right) = \bigwedge_{i \in I} (a \vee b_i)$$

for any  $a \in \mathcal{Z}^+$  and  $\{b_i\}_{i \in I} \subseteq \mathcal{Z}^+$ . In this paper, we discuss various aspects of ideals in  $(\mathcal{Z}^+, \leq_{\mathcal{C}})$ . Actually a general convolution may not induce a lattice structure on  $\mathcal{Z}^+$ . However, most of the convolutions we are considering induce a meet semi lattice structure on  $\mathcal{Z}^+$ . For this reason, we first consider a general semi lattice and study its ideals and later extend these to  $(\mathcal{Z}^+, \leq_D)$ .

## 1. Preliminaries

Let us recall that a partial order on a non-empty set  $X$  is defined as a binary relation  $\leq$  on  $X$  which is reflexive ( $a \leq a$ ), transitive ( $a \leq b, b \leq c \implies a \leq c$ ) and antisymmetric ( $a \leq b, b \leq a \implies a = b$ ) and that a pair  $(X, \leq)$  is called a partially ordered set(poset) if  $X$  is a non-empty set and  $\leq$  is a partial order on  $X$ . For any  $A \subseteq X$  and  $x \in X$ ,  $x$  is called a lower(upper) bound of  $A$  if  $x \leq a$ (respectively  $a \leq x$ ) for all  $a \in A$ . We have the usual notations of the greatest lower bound(glb) and least upper bound(lub) of  $A$  in  $X$ . If  $A$  is a finite subset  $\{a_1, a_2, \dots, a_n\}$ , the glb of  $A$ (lub of  $A$ ) is denoted by  $a_1 \wedge a_2 \wedge \dots \wedge a_n$  or  $\bigwedge_{i=1}^n a_i$  (respectively by  $a_1 \vee a_2 \vee \dots \vee a_n$  or  $\bigvee_{i=1}^n a_i$ ). A partially ordered set  $(X, \leq)$  is called a meet semi lattice if  $a \wedge b$  ( $=\text{glb}\{a, b\}$ ) exists for all  $a$  and  $b \in X$ .  $(X, \leq)$  is called a join semi lattice if  $a \vee b$  ( $=\text{lub}\{a, b\}$ ) exists for all  $a$  and  $b \in X$ . A poset  $(X, \leq)$  is called a lattice if it is both a meet and join semi lattice. Equivalently, lattice can also be defined as an algebraic system

$(X, \wedge, \vee)$ , where  $\wedge$  and  $\vee$  are binary operations which are associative, commutative and idempotent and satisfying the absorption laws, namely  $a \wedge (a \vee b) = a = a \vee (a \wedge b)$  for all  $a, b \in X$ ; in this case the partial order  $\leq$  on  $X$  is such that  $a \wedge b$  and  $a \vee b$  are respectively the glb and lub of  $\{a, b\}$ . The algebraic operations  $\wedge$  and  $\vee$  and the partial order  $\leq$  are related by

$$a = a \wedge b \iff a \leq b \iff a \vee b = b.$$

Throughout the paper,  $\mathcal{Z}^+$  and  $\mathcal{N}$  denote the set of positive integers and the set of non-negative integers respectively.

**Definition 1.** A mapping  $\mathcal{C} : \mathcal{Z}^+ \rightarrow \mathcal{P}(\mathcal{Z}^+)$  is called a convolution if the following are satisfied for any  $n \in \mathcal{Z}^+$ .

- (1)  $\mathcal{C}(n)$  is a set of positive divisors of  $n$
- (2)  $n \in \mathcal{C}(n)$
- (3)  $\mathcal{C}(n) = \bigcup_{m \in \mathcal{C}(n)} \mathcal{C}(m)$ .

**Definition 2.** For any convolution  $\mathcal{C}$  and  $m$  and  $n \in \mathcal{Z}^+$ , we define

$$m \leq_c n \text{ if and only if } m \in \mathcal{C}(n)$$

Then  $\leq_c$  is a partial order on  $\mathcal{Z}^+$  and is called the partial order induced by  $\mathcal{C}$  on  $\mathcal{Z}^+$ . In fact, for any mapping  $\mathcal{C} : \mathcal{Z}^+ \rightarrow \mathcal{P}(\mathcal{Z}^+)$  such that each member of  $\mathcal{C}(n)$  is a divisor of  $n$ ,  $\leq_c$  is a partial order on  $\mathcal{Z}^+$  if and only if  $\mathcal{C}$  is a convolution, as defined above [6],[8].

**Definition 3.** Let  $\mathcal{C}$  be a convolution and  $p$  a prime number. Define a relation  $\leq_c^p$  on the set  $\mathcal{N}$  of non-negative integers by

$$a \leq_c^p b \text{ if and only if } p^a \in \mathcal{C}(p^b)$$

for any  $a$  and  $b \in \mathcal{N}$ .

It can be easily verified that  $\leq_c^p$  is a partial order on  $\mathcal{N}$ , for each prime  $p$ . The following is a direct verification.

**Theorem 1.** *Let  $\mathcal{C}$  be a convolution.*

- (1) *If  $(\mathcal{Z}^+, \leq_c)$  is a meet(join) semilattice, then so is  $(\mathcal{N}, \leq_c^p)$  for each prime  $p$ .*
- (2) *If  $(\mathcal{Z}^+, \leq_c)$  is a lattice, then so is  $(\mathcal{N}, \leq_c^p)$  for each prime  $p$ .*

Now, we have the following examples from [9] in which the convolutions induce meet semi lattice structures.

**Example 1.** Let  $D$  be the Dirichlet's convolution defined by

$$D(n) = \text{The set of all positive divisors of } n.$$

Then  $\leq_D$  is precisely the division order on  $\mathcal{Z}^+$  and, for each prime  $p$ ,  $\leq_D^p$  is the usual order on  $\mathcal{N}$ .  $(\mathcal{Z}^+, \leq_D)$  is known to be distributive lattice.

**Example 2.** Let  $U(n)$  be the Unitary convolution defined by

$$U(n) = \{d \in D(n) \mid d \text{ and } \frac{n}{d} \text{ are relatively prime}\}.$$

Then  $(\mathcal{Z}^+, \leq_U)$  is a meet semilattice, but not a join semilattice.

Note that

$$U(p^a) = \{1, p^a\} \text{ for any } 0 < a \in \mathcal{N}.$$

**Example 3.** Let  $F_2$  be the square-free convolution defined by

$$F_2(n) = \{n\} \cup \{d \in D(n) \mid p^2 \text{ does not divide } n \text{ for any prime } p\}.$$

Then  $(\mathcal{Z}^+, \leq_{F_2})$  is a meet semilattice but not a join semilattice. Note that, for any prime  $p$  and  $a \in \mathcal{N}$ ,

$$F_2(p^a) = \begin{cases} \{1\} & \text{if } a = 0 \\ \{1, p\} & \text{if } a = 1 \\ \{1, p, p^a\} & \text{if } a > 1 \end{cases}$$

**Example 4.** For any  $k \in \mathcal{Z}^+$ , a positive integer  $d$  is said to be  $k$ -free if  $p^k$  does not divide  $d$  for any prime  $p$ . Let  $F_k(n)$  be the set of all  $k$ -free divisors of  $n$  together with  $n$ . Then  $(\mathcal{Z}^+, \leq_{F_k})$  is a meet semilattice but not a join semi lattice.

## 2. Ideals in Semi lattices

Recall that most of the convolutions like Dirichlet's convolution, Unitary convolution and  $k$ -free convolution induce meet semi lattice structure on  $\mathcal{Z}^+$ [9]. For this reason we consider a general meet semi lattice and study it's ideals. Throughout this section, unless otherwise stated, by a semi lattice we mean a meet semi lattice only.

**Definition 4.** Let  $(S, \wedge)$  be a semi lattice. A non-empty subset  $I$  of  $S$  is called an ideal of  $S$  if the following are satisfied

- (1)  $x \in S$  and  $x \leq a \in I \implies x \in I$
- (2) For any  $a$  and  $b \in I$ , there exists  $c \in I$  such that  $a \leq c$  and  $b \leq c$

**Theorem 2.** Let  $a$  and  $b$  be elements of a meet semi lattice  $(S, \wedge)$ . Then the following are equivalent to each other.

- (1) There exists smallest ideal of  $S$  containing  $a$  and  $b$ .
- (2) The intersection of all ideals of  $S$  containing  $a$  and  $b$  is again an ideal of  $S$ .
- (3)  $a$  and  $b$  have least upper bound in  $S$ .

*Proof.* (1)  $\iff$  (2) is trivial.

(1)  $\implies$  (3) : Let  $I$  be the smallest ideal of  $S$  containing  $a$  and  $b$ . Then, there exists  $x \in I$  such that

$$a \leq x \quad \text{and} \quad b \leq x$$

Therefore  $x$  is an upper bound of  $a$  and  $b$ . If  $y$  is any other upper bound of  $a$  and  $b$ , then  $(y]$  is an ideal of  $S$  containing  $a$  and  $b$  and hence  $I \subseteq (y]$ . Since  $x \in I$ , we get that  $x \in (y]$  and therefore  $x \leq y$ . Thus  $x$  is the least upper bound of  $a$  and  $b$ .

(3)  $\implies$  (1) : Let  $a \vee b$  be the least upper bound of  $a$  and  $b$ . Then  $a \leq a \vee b$  and  $b \leq a \vee b$  and hence  $(a \vee b]$  is an ideal containing  $a$  and  $b$ . If  $I$  is any ideal containing  $a$  and  $b$ , then there exists  $x \in I$  such that

$$a \leq x \quad \text{and} \quad b \leq x \quad \text{and hence} \quad a \vee b \leq x$$

so that  $a \vee b \in I$  and  $(a \vee b] \subseteq I$ . Thus  $(a \vee b]$  is the smallest ideal of  $S$  containing  $a$  and  $b$ .  $\square$

Although the intersection of an arbitrary class of ideals need not be an ideal, a finite intersection is always an ideal.

**Theorem 3.** Let  $(S, \wedge)$  be a semi lattice and  $\mathcal{I}(S)$  the set of all ideals of  $S$ . Then  $(\mathcal{I}(S), \cap)$  is a semilattice and  $a \mapsto (a]$  is an embedding of  $(S, \wedge)$  onto  $(\mathcal{I}(S), \cap)$ .

*Proof.* By the above theorem, it follows that  $(\mathcal{I}(S), \cap)$  is a semi lattice. Also, for any  $a$  and  $b$  in  $S$ , we have

$$(a] \cap (b] = (a \wedge b]$$

$$\text{and} \quad (a] \subseteq (b] \iff a \in (b] \iff a \leq b$$

Therefore  $a \mapsto (a]$  is an embedding of  $S$  into  $\mathcal{I}(S)$ .  $\square$

**Theorem 4.** *A semi lattice  $(S, \wedge)$  is a lattice if and only if  $\mathcal{I}(S)$  is a lattice and, in this case,  $a \mapsto (a]$  is an embedding of the lattice  $S$  into the lattice  $\mathcal{I}(S)$ .*

*Proof.* It is well known that the set  $\mathcal{I}(S)$  of ideals of a lattice  $(S, \wedge, \vee)$  is again a lattice in which,

$$I \wedge J = I \cap J$$

and  $I \vee J = \{ x \in S \mid x \leq a \wedge b, \text{ for some } a \in I \text{ and } b \in J \}$

for any ideals  $I$  and  $J$ , in this case,

$$(a] \vee (b] = (a \vee b]$$

for any  $a$  and  $b$  in  $S$ , so that  $a \mapsto (a]$  is an embedding of lattices.

Conversely, suppose that  $\mathcal{I}(S)$  is a lattice. Let  $a$  and  $b \in S$  and  $I$  be the least upper bound of  $(a]$  and  $(b]$  in  $\mathcal{I}(S)$ . Then  $I$  is the smallest ideal containing  $a$  and  $b$  and hence by Theorem 3.3,  $a \vee b$  exists in  $S$ . Therefore  $S$  is a lattice.  $\square$

For a lattice  $(L, \wedge, \vee)$ , any ideal of the semi lattice  $(L, \wedge)$  turns out to be the usual ideal of the lattice  $(L, \wedge, \vee)$ .

### 3. Ideals in $(\mathcal{Z}^+, \leq_D)$

Now we shall turn our attention to the particular case of the lattice structure on  $\mathcal{Z}^+$  induced by the division ordering  $/$  and study the ideals of  $\mathcal{Z}^+$ . The division ordering is precisely the partial ordering  $\leq_D$  induced by the Dirichlet's convolution  $D$ .

First we observe that  $\theta : (\mathcal{Z}^+, /) \longrightarrow (\sum_P \mathcal{N}, \leq)$  is an order isomorphism where  $\theta$  is defined by  $\theta(a)(p) =$ The largest  $n$  in  $\mathcal{N}$  such that  $p^n$  divides  $a$ , for any  $a \in \mathcal{Z}^+$  and  $p \in \mathcal{P}$  and  $\sum_P \mathcal{N} = \{ f : \mathcal{P} \longrightarrow \mathcal{N} \mid f(p) = 0 \text{ for all but finite } p \text{'s} \}$ . Here  $\mathcal{P}$  stands for the set of primes and  $\mathcal{N}$  stands for the set of non-negative integers.

**Definition 5.** Adjoin an external element  $\infty$  to  $\mathcal{N}$  and extend the usual ordering  $\leq$  on  $\mathcal{N}$  to  $\mathcal{N} \cup \{\infty\}$  by defining  $a < \infty$  for all  $a \in \mathcal{N}$ . We shall denote  $\mathcal{N} \cup \{\infty\}$  together with this extended usual order by  $\mathcal{N}^\infty$ .

**Theorem 5.** Let  $\alpha : \mathcal{P} \rightarrow \mathcal{N}^\infty$  be a mapping and define

$$I_\alpha = \{ n \in \mathcal{Z}^+ \mid \theta(n)(p) \leq \alpha(p) \text{ for all } p \in \mathcal{P} \}$$

Then  $I_\alpha$  is an ideal of  $(\mathcal{Z}^+, /)$  and every ideal of  $(\mathcal{Z}^+, /)$  is of the form  $I_\alpha$  for some mapping  $\alpha : \mathcal{P} \rightarrow \mathcal{N}^\infty$

*Proof.* Since no prime divides the integer 1, we get that  $\theta(1)(p) = 0 \leq \alpha(p)$  for all  $p \in \mathcal{P}$  and hence  $1 \in I_\alpha$ . Therefore  $I_\alpha$  is a non-empty subset of  $\mathcal{Z}^+$ .

$$\begin{aligned} m \text{ and } n \in I_\alpha &\implies \theta(m)(p) \leq \alpha(p) \text{ and } \theta(n)(p) \leq \alpha(p) \text{ for all } p \in \mathcal{P} \\ &\implies \theta(m \vee n)(p) = \text{Max} \{ \theta(m)(p), \theta(n)(p) \} \leq \alpha(p) \\ &\quad \text{for all } p \in \mathcal{P} \\ &\implies m \vee n \in I_\alpha \end{aligned}$$

and

$$\begin{aligned} m \leq_D n \in I_\alpha &\implies \theta(m)(p) \leq \theta(n)(p) \leq \alpha(p) \text{ for all } p \in \mathcal{P} \\ &\implies \theta(m)(p) \leq \alpha(p) \text{ for all } p \in \mathcal{P} \\ &\implies m \in I_\alpha. \end{aligned}$$

Thus  $I_\alpha$  is an ideal of  $(\mathcal{Z}^+, /)$ .

Conversely suppose that  $I$  is any ideal of  $(\mathcal{Z}^+, /)$ . Define  $\alpha : \mathcal{P} \rightarrow \mathcal{N}^\infty$  by

$$\alpha(p) = \text{Sup}\{ \theta(n)(p) \mid n \in I \} \text{ for any } p \in \mathcal{P}$$

Note that  $\alpha(p)$  is either a non-negative integer or  $\infty$ , for any  $p \in \mathcal{P}$ . Therefore  $\alpha$  is a mapping of  $\mathcal{P}$  into  $\mathcal{N}^\infty$ .

$$\begin{aligned} n \in I &\implies \theta(n)(p) \leq \alpha(p) \text{ for all } p \in \mathcal{P} \\ &\implies n \in I_\alpha \end{aligned}$$

Therefore  $I \subseteq I_\alpha$ .

On the other hand, suppose  $n \in I_\alpha$ . Then  $\theta(n)(p) \leq \alpha(p)$  for all  $p \in \mathcal{P}$ . Since  $\theta(n) \in \sum_P \mathcal{N}$ ,  $|\theta(n)|$  is finite. If  $|\theta(n)| = \phi$ , then  $n = 1 \in I$ .

Suppose  $|\theta(n)|$  is non-empty. Let  $|\theta(n)| = \{ p_1, p_2, \dots, p_r \}$ . Then  $\theta(n)(p) = 0$  for all  $p \neq p_i$ ,  $1 \leq i \leq r$  and  $\theta(n)(p_i) \in \mathcal{N}$ . Now, for each  $1 \leq i \leq r$ ,  $\theta(n)(p_i) \leq \alpha(p_i) = \text{Sup}\{ \theta(m)(p_i) \mid m \in I \}$  and hence there exists  $m_i \in I$  such that  $\theta(n)(p_i) \leq \theta(m_i)(p_i)$ . Now, put  $m = m_1 \vee m_2 \vee \dots \vee m_r$ , then  $m \in I$  and  $\theta(n)(p_i) \leq \text{Max}\{ \theta(m_1)(p_i), \dots, \theta(m_i)(p_i) \} = \theta(m)(p_i)$  for all  $1 \leq i \leq r$ . Also, since  $\theta(n)(p) = 0$  for all  $p \neq p_i$ , we get that  $\theta(n)(p) \leq \theta(m)(p)$  for

all  $p \in \mathcal{P}$  so that  $n \leq_D m \in I$  and therefore  $n \in I$ . Therefore  $I_\alpha \subseteq I$ . Thus  $I = I_\alpha$ .  $\square$

Note that, if  $\alpha$  is the constant map  $\bar{0}$  defined by  $\alpha(p) = 0$  for all  $p \in \mathcal{P}$ , then  $I_\alpha = \{1\}$  and that, if  $\alpha$  is the constant map  $\bar{\infty}$ , then  $I_\alpha = \mathcal{Z}^+$ .

**Definition 6.** For any mappings  $\alpha$  and  $\beta$  from  $\mathcal{P}$  into  $\mathcal{N}^\infty$ , define

$$\alpha \leq \beta \quad \text{if and only if} \quad \alpha(p) \leq \beta(p) \text{ for all } p \in \mathcal{P}.$$

Thus  $\leq$  is a partial order on  $(\mathcal{N}^\infty)^\mathcal{P}$ .

**Theorem 6.** *The map  $\alpha \mapsto I_\alpha$  is an order isomorphism of the poset  $((\mathcal{N}^\infty)^\mathcal{P}, \leq)$ , onto the poset  $(\mathcal{I}(\mathcal{Z}^+), \subseteq)$  of all ideals of  $(\mathcal{Z}^+, /)$ .*

*Proof.*

Let  $\alpha$  and  $\beta : \mathcal{P} \rightarrow \mathcal{N}^\infty$  be any mappings. Clearly,  $\alpha \leq \beta \Rightarrow I_\alpha \subseteq I_\beta$ .

On the other hand, suppose that  $I_\alpha \subseteq I_\beta$ . We shall prove that  $\alpha(p) \leq \beta(p)$  for all  $p \in \mathcal{P}$  so that  $\alpha \leq \beta$ . To prove this, let us fix  $p \in \mathcal{P}$ . If  $\beta(p) = \infty$  or  $\alpha(p) = 0$ , trivially  $\alpha(p) \leq \beta(p)$ . Therefore, we can assume that  $\beta(p) < \infty$  and  $\alpha(p) > 0$ .

Consider  $n = p^{\beta(p)+1}$ . Then

$$\theta(n)(p) = \beta(p) + 1 \not\leq \beta(p).$$

and hence  $n \notin I_\beta$ . Since  $I_\alpha \subseteq I_\beta$ ,  $n \notin I_\alpha$  and therefore  $\theta(n)(q) \not\leq \alpha(q)$  for some  $q \in \mathcal{P}$ . But  $\theta(n)(q) = 0$  for all  $q \neq p$ . Thus

$$\beta(p) + 1 = \theta(n)(p) \not\leq \alpha(p)$$

$$\alpha(p) < \beta(p) + 1.$$

Therefore  $\alpha(p) \leq \beta(p)$ . This is true for all  $p \in \mathcal{P}$ . Thus  $\alpha \leq \beta$ . Also  $\alpha \mapsto I_\alpha$  is a surjection. Thus  $\alpha \mapsto I_\alpha$  is an order isomorphism of  $((\mathcal{N}^\infty)^\mathcal{P}, \leq)$ , onto  $(\mathcal{I}(\mathcal{Z}^+), \subseteq)$ .  $\square$

**Corollary 1.** *For any  $\alpha$  and  $\beta : \mathcal{P} \rightarrow \mathcal{N}^\infty$ ,*

$$I_\alpha \cap I_\beta = I_{\alpha \wedge \beta}.$$

$$\text{and } I_\alpha \cup I_\beta = I_{\alpha \vee \beta}.$$

where  $\alpha \wedge \beta$  and  $\alpha \vee \beta$  are point-wise g.l.b and l.u.b of  $\alpha$  and  $\beta$ .



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### CONTACT INFORMATION

**Sankar Sagi**                      Assistant Professor of Mathematics, College of  
Applied Sciences, Sohar, Sultanate of Oman  
*E-Mail: [sagi\\_sankar@yahoo.co.in](mailto:sagi_sankar@yahoo.co.in)*

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