

Some combinatorial problems in the theory of symmetric inverse semigroups

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ABSTRACT. Let $X_n = \{1, 2, \dots, n\}$ and let $\alpha : \text{Dom } \alpha \subseteq X_n \rightarrow \text{Im } \alpha \subseteq X_n$ be a (partial) transformation on X_n . On a partial one-one mapping of X_n the following parameters are defined: the *height* of α is $h(\alpha) = |\text{Im } \alpha|$, the *right [left] waist* of α is $w^+(\alpha) = \max(\text{Im } \alpha)$ [$w^-(\alpha) = \min(\text{Im } \alpha)$], and *fix* of α is denoted by $f(\alpha)$, and defined by $f(\alpha) = |\{x \in X_n : x\alpha = x\}|$. The cardinalities of some equivalences defined by equalities of these parameters on \mathcal{I}_n , the semigroup of partial one-one mappings of X_n , and some of its notable subsemigroups that have been computed are gathered together and the open problems highlighted.^{1 2}

1. Introduction and preliminaries

Let $X_n = \{1, 2, \dots, n\}$. Then a (partial) transformation $\alpha : \text{Dom } \alpha \subseteq X_n \rightarrow \text{Im } \alpha \subseteq X_n$ is said to be *full* or *total* if $\text{Dom } \alpha = X_n$; otherwise it is called *strictly* partial. Then the *height* of α is $|\text{Im } \alpha|$, the *right [left] waist* of α is $w^+(\alpha) = \max(\text{Im } \alpha)$ [$w^-(\alpha) = \min(\text{Im } \alpha)$], and *fix* of α is denoted by $f(\alpha)$, and defined by

$$f(\alpha) = |F(\alpha)| = |\{x \in X_n : x\alpha = x\}|.$$

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Of course, other parameters have been defined and many more could still be defined but we shall restrict ourselves to only these, in this paper. It is also well-known that a partial transformation ϵ is *idempotent* ($\epsilon^2 = \epsilon$) if and only if $\text{Im } \epsilon = F(\epsilon)$, and a partial transformation α is *nilpotent* if $\alpha^k = \emptyset$ (the empty or zero map) for some positive integer k . It is worth noting that to define the left (right) waist of a transformation the base set X_n must be totally ordered. The main object of study in this paper is \mathcal{I}_n , the semigroup of partial one-one mappings of X_n (more commonly known as the *symmetric inverse semigroup*) and some of its notable subsemigroups. Enumerative problems of an essentially combinatorial nature arise naturally in the study of semigroups of transformations. Many numbers and triangle of numbers regarded as combinatorial gems like the Stirling numbers [15, pp. 42 & 96], the factorial [26, 31], the Fibonacci number [13], binomial numbers [14, 10], Catalan numbers [7], Eulerian numbers [18], Schröder numbers [20], Narayana numbers [18], Lah numbers [16, 17], etc., have all featured in these enumeration problems. These enumeration problems lead to many numbers in Sloane's encyclopaedia of integer sequences [28] but there are also others that are not yet or have just been recorded in [28]. This paper has two main objectives: first, to gather together the various scattered enumeration results; and second, to highlight open problems. Let S be a set of partial one-one transformations on X_n . Next, let

$$F(n; p, m, k) = |\{\alpha \in S : h(\alpha) = p \wedge f(\alpha) = m \wedge w^+(\alpha) = k\}|$$

and, let

$$F(n; p, m) = |\{\alpha \in S : h(\alpha) = p \wedge f(\alpha) = m\}|,$$

$$F(n; p, k) = |\{\alpha \in S : h(\alpha) = p \wedge w^+(\alpha) = k\}|,$$

$$F(n; m, k) = |\{\alpha \in S : f(\alpha) = m \wedge w^+(\alpha) = k\}|.$$

Further, let

$$F(n; k) = |\{\alpha \in S : w^+(\alpha) = k\}|,$$

$$F(n; m) = |\{\alpha \in S : f(\alpha) = m\}|,$$

$$F(n; p) = |\{\alpha \in S : h(\alpha) = p\}|.$$

It is not difficult to see that

$$|S| = \sum_k F(n; k) = \sum_m F(n; m) = \sum_p F(n; p),$$

and any two-variable function can be expressed as a sum of appropriate three-variable functions and so on. Ideally, we would like to compute

$F(n; p, m, k)$ for any finite semigroup of partial one-one transformations but at the moment this seems to be a difficult proposition and so we have to start from the smaller-variable functions to higher-variable functions. It appears that many important integer sequences can be realized as sequences counting these functions in various partial one-one transformation semigroups - akin to Cameron's remark about oligomorphic permutation groups [2]. In \mathcal{I}_n and its subsemigroups of order-preserving/order-reversing, order-decreasing and orientation-preserving/orientation-reversing transformations we have expressions for $|S|$ and most of the two-variable functions and only a few three-variable functions.

Types of bijective transformations	Semigroup
<i>Permutations</i>	\mathcal{S}_n
<i>Partial one-one transformations</i>	\mathcal{I}_n
<i>Order-preserving</i>	\mathcal{IO}_n
<i>Order-preserving or order-reversing</i>	\mathcal{PODI}_n
<i>Order-decreasing</i>	\mathcal{ID}_n
<i>Order-preserving or order-decreasing</i>	\mathcal{IC}_n
<i>Orientation-preserving</i>	\mathcal{POPI}_n
<i>Orientation-preserving or orientation-reversing</i>	\mathcal{PORI}_n

Table 1

We shall present the known results by means of tables and exhibit/explain some of the techniques that have been used to obtain these results, as well as explore some of the open problems. In the next section, (Section 2) we consider the symmetric group \mathcal{S}_n , and the symmetric inverse semigroup, \mathcal{I}_n . In Section 3 we consider the order-preserving/order-reversing subsemigroups of \mathcal{I}_n and in Section 4, we consider its order-decreasing version, while in Section 5 we consider its order-preserving and order-decreasing version. In Section 6 we consider the orientation-preserving/orientation-reversing subsemigroups of \mathcal{I}_n . Concluding remarks form the contents of Section 7.

2. The symmetric inverse semigroup

For more detailed studies of the symmetric inverse semigroup, \mathcal{I}_n we refer the reader to the books [24, 15, 9] and the papers [12, 16]. First, note that $k = w^+(\alpha)$ is undefined when $p = 0$. Due to the presence of the empty map, it seems reasonable to define $k = 0$ if $p = 0$; and $F(n; k) = F(n; p, k) = 1$ if $k = p = 0$. This, and other observations we record in the following lemma, which will be used implicitly whenever needed.

Lemma 2.1. *Let $X_n = \{1, 2, \dots, n\}$ and $P = \{p, m, k\}$, where for a given $\alpha \in \mathcal{I}_n$, we set $p = h(\alpha)$, $m = f(\alpha)$ and $k = w^+(\alpha)$. We also define $F(n; k) = F(n; p, k) = 1$ if $k = p = 0$. Then we have the following:*

1. $n \geq k \geq p \geq m \geq 0$;
2. $k = 1 \implies p = 1$;
3. $p = 0 \Leftrightarrow k = 0$

The following proposition is easy to prove, nevertheless, we include its proof to demonstrate the technique rather than because it is new.

Proposition 2.2. *Let $S = I_n$. Then*

$$F(n; p, k) = \binom{n}{p} \binom{k-1}{p-1} p!, \quad (n \geq k \geq p \geq 0).$$

Proof. First observe that the p elements of $\text{Dom } \alpha$ can be chosen from X_n in $\binom{n}{p}$ ways, and since k is the maximum element in $\text{Im } \alpha$ then the remaining $p - 1$ elements of $\text{Im } \alpha$ can be chosen from $\{1, 2, \dots, k - 1\}$ in $\binom{k-1}{p-1}$ ways. Finally, observe that the p elements of $\text{Dom } \alpha$ can be tied to the p images in a one-one fashion, in $p!$ ways. The result now follows. \square

The following corollaries can easily be deduced:

Corollary 2.3. *Let $S = I_n$. Then*

$$F(n; p) = \binom{n}{p}^2 p!, \quad (n \geq p \geq 0).$$

Corollary 2.4. *Let $S = I_n$. Then*

$$F(n; k) = \sum_{p=0}^k \binom{n}{p} \binom{k-1}{p-1} p!, \quad (n \geq k \geq 0).$$

Now let $i = a_i = a$, for all $a \in \{p, m, k\}$, and $0 \leq i \leq n$.

Corollary 2.5. *Let $S = I_n$. Then $F(n; k_n) = n|I_{n-1}|$, $(n \geq 2)$.*

Corollary 2.6. *Let $S = I_n$. Then $F(n; k_{n-1}) = n|N(I_{n-1})|$, where $N(T)$ is the set of nilpotents in T .*

S	$ S $	$ E(S) $	$ N(S) $
S_n	$n!$	1	0
I_n	$\sum_{p=0}^n \binom{n}{p}^2 p! = a_n$ [26] or [9, Theorem 2.5.1, p.22]	2^n	$\sum_{p=0}^n \binom{n}{p} \binom{n-1}{p} p!$ $= \sum_{p=0}^{n-1} L_{n,n-p} = u_n$ [17], [8] or [9, Theorem 2.8.5, p.30]
$I_n \setminus S_n$	$a_n - n!$	$2^n - 1$	same as in above cell

Table 2

$a_n = 2na_{n-1} - (n - 1)^2a_{n-2}$, $a_0 = 1, a_1 = 2$ [1] :
 1, 2, 7, 34, 209, 1546, 13327, 130922, \dots , (A002720);
 $u_n = (2n - 1)u_{n-1} - (n - 1)(n - 2)u_{n-2}$, $u_0 = 1 = u_1$:
 1, 1, 3, 13, 73, 501, 4051, 37633, \dots , (A000262);
 $|L_{n,n-p}|$ is the triangle of signless transpose Lah numbers (A089231).

The main statement proved above is by direct combinatorial arguments; however, this approach does not always work. Finding recurrences and guessing a closed formula which can then be proved by induction is another approach effectively used in [18, 19, 20, 21, 22]. The success of this approach depends heavily on enumerating methods and techniques that can be found in [3, 25, 29] and identities that can be found in [27]. We (in [23]) are currently using generating functions to investigate some of the unknown cases and it looks very promising.

	S_n	I_n
$F(n; p)$	$n!$ (if $p = n$) and 0 (if $p \neq n$)	$\binom{n}{p}^2 p!$
$F(n; m)$	$\binom{n}{m} d_{n-m}$	$\frac{n!}{m!} \sum_{i=0}^{n-m} \frac{(-1)^i}{i!} \sum_{j=0}^{n-i} \binom{n-i}{j} \frac{1}{j!}$ $= \binom{n}{m} f(n - m; 0)$ [22]
$F(n; k)$	$n!$ (if $k = n$) and 0 (if $k \neq n$)	$\sum_{p=0}^k \binom{n}{p} \binom{k-1}{p-1} p!$ (Corollary 2.4)
$F(n; p, m)$	$F(n; m)$ (if $p = n$) and 0 (if $p \neq n$)	$\frac{n!}{m!(n-p)!} \sum_{j=0}^{p-m} \binom{n-m-j}{p-m-j} \frac{(-1)^j}{j!}$ [22]
$F(n; p, k)$	$F(n; p)$ (if $k = n$) and 0 (if $k \neq n$)	$\binom{n}{p} \binom{k-1}{p-1} p!$ (Proposition 2.2)
$F(n; m, k)$	$F(n; m)$ (if $k = n$) and 0 (if $k \neq n$)	?
$F(n; p, m, k)$	$F(n; m)$ (if $k = p = n$) and 0 (otherwise)	?

Table 3

$d_n = 1, 0, 1, 2, 9, 44, 265, 1854, 14833, \dots$, derangements (A000166)
 $(n - m)F(n; m) = n(2n - 2m - 1)F(n - 1; m) -$
 $n(n - 1)(n - m - 3)F(n - 2; m) - n(n - 1)(n - 2)F(n - 3; m)$ (A144088)
 $f(n; 0) : 1, 1, 4, 18, 108, 780, 6600, \dots$, partial derangements (A144085).

3. Order-preserving or order-reversing partial one-one transformations

A transformation $\alpha \in I_n$ is said to be *order-preserving* (*order-reversing*) if $(\forall x, y \in \text{Dom } \alpha) x \leq y \implies x\alpha \leq y\alpha$ ($x\alpha \geq y\alpha$). The semigroups of order-preserving and order-preserving or order-reversing partial one-one transformations of X_n will be denoted by \mathcal{IO}_n and \mathcal{PODI}_n , respectively.

The general study of \mathcal{IO}_n was initiated in [11] while \mathcal{PODI}_n first appeared in [5].

Remark 3.1. For $p = 0, 1$ the concepts of order-preserving and order-reversing coincide but distinct otherwise. However, there is a bijection between the two sets for $p \geq 2$.

Now we announce some new results of the author (and his coauthor), whose detail proofs are going to appear in [23].

Proposition 3.2. Let $S = \mathcal{PODI}_n$. Then $F(n; p, k) = 2 \binom{n}{p} \binom{k-1}{p-1}$, (if $n \geq k \geq p \geq 2$) and equals n (if $k = 1$ or $p = 1$).

Corollary 3.3. Let $S = \mathcal{PODI}_n$. Then $F(n; p) = 2 \binom{n}{p}^2$, ($n \geq p > 1$) and equals n^2 (if $p = 1$).

Corollary 3.4. Let $S = \mathcal{PODI}_n$. Then $F(n; k) = 2 \binom{n+k-1}{k} - n$, ($n \geq k \geq 1$).

S	$ S $	$ E(S) $	$ N(S) $
\mathcal{IO}_n	$\binom{2n}{n}$ [11]	2^n	b_n [23]
\mathcal{PODI}_n	$2 \binom{2n}{n} - n^2 - 1$ [5]	2^n	?

Table 4

$(n + 1)b_{n+1} = 2(4n + 1)b_n - 3(5n - 3)b_{n-1} - 2(2n - 1)b_{n-2}$, $b_0 = 1 = b_1 : 1, 1, 3, 9, 29, 97, 333, 1165, 4135, \dots$ (A081696).

	\mathcal{IO}_n	\mathcal{PODI}_n
$F(n; p)$	$\binom{n}{p}^2$ [11]	$2 \binom{n}{p}^2$ (if $p > 1$) and n^2 (if $p = 1$) (Corollary 3.3)
$F(n; m)$	$\sum_{j=m}^n F(j - 1; m - 1)b_{n-j}$ [23]	?
$F(n; k)$	$\binom{n+k-1}{k}$ [23]	$2 \binom{n+k-1}{k} - n$ (Corollary 3.4)
$F(n; p, m)$?	?
$F(n; p, k)$	$\binom{n}{p} \binom{k-1}{p-1}$ [23]	$2 \binom{n}{p} \binom{k-1}{p-1}$ (if $p > 1$) and n (if $k = 1$ or $p = 1$) (Proposition 3.2)
$F(n; m, k)$?	?
$F(n; p, m, k)$?	?

Table 5

4. Order-decreasing partial one-one transformations

A transformation α in I_n is said to be *order-decreasing (increasing)* if $(\forall x \in \text{Dom } \alpha) x\alpha \leq x (x\alpha \geq x)$. The two semigroups of order-decreasing and order-increasing partial one-one transformations of X_n are isomorphic [31]. The semigroup of order-decreasing partial one-one transformations of X_n will be denoted by \mathcal{ID}_n . The general study of this class of semigroups was initiated in [30].

The following result easily follows from [31, Theorem 4.2].

Proposition 4.1. *Let $S = \mathcal{ID}_n$. Then $F(n; m) = \binom{n}{m} B_{n-m}$, where B_n is the n -th Bell's number.*

Proof. Let $\alpha \in \mathcal{ID}_n$ and let x_1, x_2, \dots, x_m be the fixed points of α . Since α is one-one and order-decreasing, it follows that for $x \in (X_n \setminus \{x_1, \dots, x_m\}) \cap \text{Dom } \alpha$ we have $x\alpha \in X_n \setminus \{x_1, \dots, x_m\}$ and $x\alpha < x$. Therefore the restriction of α to $X_n \setminus \{x_1, \dots, x_m\}$ is well defined and is a nilpotent element of $\mathcal{I}(X_n \setminus \{x_1, \dots, x_m\})$. The number of nilpotents that can be formed by these $n - m$ elements (after relabelling) is B_{n-m} (by [31, Theorem 4.2]), and the result now follows. \square

Conjecture 4.2. *Let $S = \mathcal{ID}_n$. Then $F(n; k) = \binom{n}{k} B_k$.*

S	$ S $	$ E(S) $	$ N(S) $
\mathcal{ID}_n	B_{n+1} [1]	2^n	B_n [31]

Table 6

	\mathcal{ID}_n
$F(n; p)$	$S(n, n - p)$ [1]
$F(n; m)$	$\binom{n}{m} B_{n-m}$ (Proposition 4.1)
$F(n; k)$	$\binom{n}{k} B_k$ (Conjecture 4.2)
$F(n; p, m)$?
$F(n; p, k)$?
$F(n; m, k)$?
$F(n; p, m, k)$?

Table 7

$S(n, r)$ is the Stirling number of the second kind:

$$S(n, r) = S(n - 1, r - 1) + rS(n - 1, r), S(n, 1) = 1 = S(n, n) \text{ (A008277)}.$$

$$B_{n+1} = \sum_{k=0}^n \binom{n}{k} B_k : 1, 2, 5, 15, 52, 203, 877, 4140, \dots \text{ (A000110)}.$$

5. Order-preserving and order-decreasing partial one-one transformations

We define $\mathcal{IC}_n = \mathcal{IO}_n \cap \mathcal{ID}_n$ as the semigroup of order-preserving and order-decreasing partial one-one transformations of X_n . Surprisingly, the semigroup \mathcal{IC}_n first appeared in [9] and not much is known about it.

Proposition 5.1. *Let $S = \mathcal{IC}_n$. Then $|N(\mathcal{IC}_n)| = \frac{1}{n} \binom{2n}{n-1} = C_n$, where C_n is the n -th Catalan number.*

Proof. It is similar to the proof of [21, Proposition 2.3]. □

Conjecture 5.2. *Let $S = \mathcal{IC}_n$. Then $F(n; p) = \frac{1}{n-p+1} \binom{n}{p} \binom{n+1}{p+1}$. (This is known as the Narayana triangle – A001263.)*

Conjecture 5.3. *Let $S = \mathcal{IC}_n$. Then $F(n; m) = \frac{m+1}{2n-m+1} \binom{2n-m+1}{n+1}$. (This is triangle – A033184.)*

Conjecture 5.4. *Let $S = \mathcal{IC}_n$. Then $F(n; k) = \frac{n-k+1}{n} \binom{n+k-1}{n-1}$. (This is known as the Catalan triangle – A009766.)*

S	$ S $	$ E(S) $	$ N(S) $
\mathcal{IC}_n	C_{n+1} [9, Theorem 2.4.8(ii)]	2^n	C_n (Proposition 5.1)

Table 8

	\mathcal{IC}_n
$F(n; p)$	$\frac{1}{n-p+1} \binom{n}{p} \binom{n+1}{p+1}$ (Conjecture 5.2)
$F(n; m)$	$\frac{m+1}{2n-m+1} \binom{2n-m+1}{n+1}$ (Conjecture 5.3)
$F(n; k)$	$\frac{n-k+1}{n} \binom{n+k-1}{n-1}$ (Conjecture 5.4)
$F(n; p, m)$?
$F(n; p, k)$?
$F(n; m, k)$?
$F(n; p, m, k)$?

Table 9

6. Orientation-preserving or orientation-reversing partial one-one transformations

Let $a = (a_1, a_2, \dots, a_t)$ be a sequence of t ($t > 0$) distinct elements from the chain X_n . We say that a is *cyclic (anti-cyclic)* if there exists no more than one index $i \in \{1, 2, \dots, t\}$ such that $a_i > a_{i+1}$ ($a_i < a_{i+1}$), where a_{t+1} denotes a_1 . For $\alpha \in I_n$, suppose that $\text{Dom } \alpha = \{a_1, a_2, \dots, a_t\}$, with $t \geq 0$ and $a_1 < a_2 < \dots < a_t$. We say that α is *orientation-preserving (orientation-reversing)* if $(a_1\alpha, a_2\alpha, \dots, a_t\alpha)$ is cyclic (anti-cyclic). The semigroups of orientation-preserving and orientation-reversing/ orientation-reversing partial one-one transformations of X_n will be denoted by POPI_n and PORI_n , respectively.

Remark 6.1. For $p = 0, 1, 2$ the concepts of orientation-preserving and orientation-reversing coincide but distinct otherwise. However, there is a bijection between the two sets for $p > 2$.

Now we announce new results by the author, whose proofs are given in the preprint [32].

Proposition 6.2. *Let $S = \mathcal{POPI}_n$. Then*

$$F(n; p, k) = \binom{n}{p} \binom{k-1}{p-1} p \quad (n \geq k \geq p > 0).$$

Corollary 6.3. *Let $S = \mathcal{POPI}_n$. Then*

$$F(n; p) = \begin{cases} \binom{n}{p}^2 p & (n \geq p > 1), \\ n^2 & (p = 1). \end{cases}$$

Corollary 6.4. *Let $S = \mathcal{POPI}_n$. Then $F(n; k) = n \binom{n+k-2}{k-1}$, $(n \geq k \geq 1)$.*

Corollary 6.5. *Let $S = \mathcal{POPI}_n$. Then $F(n; k_n) = n \binom{2n-2}{n-1}$, $(n \geq 1)$.*

Proposition 6.6. *Let $S = \mathcal{PORI}_n$. Then*

$$F(n; p, k) = \begin{cases} 2 \binom{n}{p} \binom{k-1}{p-1} p & (n \geq k \geq p > 2), \\ n^2 & (k = 2), \\ 2(k-1) \binom{n}{2} & (p = 2), \\ n & ((k = 1) \vee (p = 1)). \end{cases}$$

Corollary 6.7. *Let $S = \mathcal{PORI}_n$. Then*

$$F(n; p) = \begin{cases} 2 \binom{n}{p}^2 p & (n \geq p > 2), \\ \binom{n}{p}^2 p & (p = 1, 2). \end{cases}$$

Corollary 6.8. *Let $S = \mathcal{PORI}_n$. Then*

$$F(n; k) = 2n \binom{n+k-2}{n-1} - n - n(n-1)(k-1), \quad (n \geq k > 0).$$

S	$ S $	$ E(S) $	$ N(S) $
\mathcal{POPI}_n	$1 + \frac{n}{2} \binom{2n}{n}$ [4]	2^n	?
\mathcal{PORI}_n	$1 + n \binom{2n}{n} - n^2(n^2 - 2n + 3)/2$ [5]	2^n	?

Table 10

	\mathcal{POPI}_n	\mathcal{PORI}_n
$F(n; p)$	$\binom{n}{p}^2 p$ (if $p > 1$) n^2 (if $p = 1$) (Corollary 6.3)	$2\binom{n}{p}^2 p$ (if $p > 2$) and $\binom{n}{p}^2 p$ (if $p = 1, 2$) (Corollary 6.7)
$F(n; m)$?	?
$F(n; k)$	$n\binom{n+k-2}{k-1}$ (if $k > 0$) (Corollary 6.4)	$2n\binom{n+k-2}{k-1} - n - n(n-1)(k-1)$ (if $k > 0$) (Corollary 6.8)
$F(n; p, m)$?	?
$F(n; p, k)$	$\binom{n}{p}\binom{k-1}{p-1}p$ (if $k \geq p > 0$) (Proposition 6.2)	$2\binom{n}{p}\binom{k-1}{p-1}p$ (if $k \geq p > 2$) n^2 (if $k = 2$) $2(k-1)\binom{n}{2}$ (if $p = 2$) n (if $k = 1$ or $p = 1$) (Proposition 6.6)
$F(n; m, k)$?	?
$F(n; p, m, k)$?	?

Table 11

7. Concluding remarks

Remark 7.1 All these combinatorial functions can be computed when restricted to special subsets within a particular semigroup, for example, the set of nilpotents, $N(S)$ [17].

Remark 7.2 We have only considered 7 classes of transformation semigroups: I_n , \mathcal{IO}_n , \mathcal{PODI}_n , \mathcal{ID}_n , \mathcal{IC}_n , \mathcal{POPI}_n and \mathcal{PORI}_n , however, there are many other classes of transformation semigroups that can be studied from this point of view.

Remark 7.3 When the totally ordered set X_n is replaced by a partially ordered set (poset), for each $n > 1$ there are 'several' non-isomorphic posets, each of which gives rise to potentially different combinatorial results.

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