

## Automorphisms of finitary incidence rings

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**ABSTRACT.** Let  $P$  be a quasiordered set,  $R$  an associative unital ring,  $\mathcal{C}(P, R)$  a partially ordered category associated with the pair  $(P, R)$  [6],  $FI(P, R)$  a finitary incidence ring of  $\mathcal{C}(P, R)$  [6]. We prove that the group  $\text{Out}FI$  of outer automorphisms of  $FI(P, R)$  is isomorphic to the group  $\text{Out}\mathcal{C}$  of outer automorphisms of  $\mathcal{C}(P, R)$  under the assumption that  $R$  is indecomposable. In particular, if  $R$  is local, the equivalence classes of  $P$  are finite and  $P = \bigcup_{i \in I} P_i$  is the decomposition of  $P$  into the disjoint union of the connected components, then  $\text{Out}FI \cong (H^1(\overline{P}, C(R)^*) \rtimes \prod_{i \in I} \text{Out}R) \rtimes \text{Out}P$ . Here

$H^1(\overline{P}, C(R)^*)$  is the first cohomology group of the order complex of the induced poset  $\overline{P}$  with the values in the multiplicative group of central invertible elements of  $R$ . As a consequences, Theorem 2 [9], Theorem 5 [2] and Theorem 1.2 [8] are obtained.

### Introduction

Recall that an incidence algebra  $I(P, R)$  of a locally finite poset  $P$  over a ring  $R$  is the set of formal sums of the form

$$\alpha = \sum_{x \leq y} \alpha(x, y)[x, y],$$

where  $\alpha(x, y) \in R$ ,  $[x, y] = \{z \in P \mid x \leq z \leq y\}$  is a segment of the partial order. The study of the automorphism group of an incidence algebra was

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started by Stanley [9]. He showed that the group of outer automorphisms of an incidence algebra of a finite poset  $P$  over a field  $R$  is isomorphic to the semidirect product  $(R^*)^n \rtimes \text{Out}P$  where  $R^*$  is the group of invertible elements of the field  $R$ ,  $\text{Out}P$  is the group of outer automorphisms of the poset  $P$  and  $n$  is such that  $(R^*)^n \cong H^1(P, R^*)$ . This result was first generalized by Baclawski [2] ( $P$  is a locally finite quasiordered set,  $R$  is a field), then by Scharlau [8] ( $P$  is a finite quasiordered set with 0 or 1,  $R$  is a division ring, finite-dimensional over its center) and by Coelho [4] ( $P$  is a finite quasiordered set,  $R$  is a simple algebra, finite-dimensional over its center, or an indecomposable semiprime ring whose center is a unique factorization domain). After the notion of the finitary incidence algebra, which generalizes the notion of the incidence algebra to the cases of the arbitrary partially ordered [7] and quasiordered [6] sets, had been introduced, the task to describe the automorphism group of this type of algebras has arisen.

Let  $P(\preceq)$  be a quasiordered set,  $R$  an associative unital ring. As in [6],  $\mathcal{C}(P, R)$  denotes the preadditive category associated with the pair  $(P, R)$ , namely:

1.  $\text{Ob}\mathcal{C}(P, R) = \overline{P} = P/\sim$  with the induced order  $\leq$ .
2. For any  $\bar{x}, \bar{y} \in \overline{P}$ ,  $\bar{x} \leq \bar{y}$  the set of morphisms  $\text{Mor}(\bar{x}, \bar{y}) = M_{\bar{x} \times \bar{y}}(R)$  (if  $\bar{x} \not\leq \bar{y}$ , then  $\text{Mor}(\bar{x}, \bar{y}) = 0_{\bar{x}\bar{y}}$ ).

Here  $M_{\bar{x} \times \bar{y}}(R)$  is the additive group of matrices over  $R$ , whose rows and columns are indexed by the elements of the classes  $\bar{x}$  and  $\bar{y}$ , respectively, and each row has only a finite number of nonzero elements. For any two such matrices  $\alpha_{\bar{x}\bar{z}} \in \text{Mor}(\bar{x}, \bar{z})$ ,  $\alpha_{\bar{z}\bar{y}} \in \text{Mor}(\bar{z}, \bar{y})$  the product  $\alpha_{\bar{x}\bar{z}}\alpha_{\bar{z}\bar{y}} \in \text{Mor}(\bar{x}, \bar{y})$  is defined and gives the composition of the morphisms  $\alpha_{\bar{x}\bar{z}}$  and  $\alpha_{\bar{z}\bar{y}}$  in  $\mathcal{C}(P, R)$ . The category  $\mathcal{C}(P, R)$  is a particular case of the so-called partially ordered category (pocategory), which was considered in [6]. For such categories the notion of the finitary incidence ring was introduced [6]. We shall formulate its definition for  $\mathcal{C}(P, R)$ . Consider the set of formal sums of the form

$$\alpha = \sum_{\bar{x} \leq \bar{y}} \alpha_{\bar{x}\bar{y}}[\bar{x}, \bar{y}], \tag{1}$$

where  $[\bar{x}, \bar{y}]$  is a segment of the partial order,  $\alpha_{\bar{x}\bar{y}} \in \text{Mor}(\bar{x}, \bar{y})$ . The sum (1) is called a finitary series if for any  $[\bar{x}, \bar{y}]$  there exists only a finite number of  $[\bar{u}, \bar{v}] \subset [\bar{x}, \bar{y}]$ ,  $\bar{u} < \bar{v}$  such that  $\alpha_{\bar{u}\bar{v}} \neq 0_{\bar{u}\bar{v}}$ . The set of the finitary series forms a ring under the convolution [6, Theorem 1]. It is denoted by  $FI(P, R)$  (in fact  $FI(P, R)$  is an algebra over the center of  $R$ , but for the most part we are going to use only its ring properties).  $FI(P, R)$  has the unity element  $\delta$ , where  $\delta_{\bar{x}\bar{x}}$  is the identity matrix of size  $|\bar{x}| \times |\bar{x}|$ ,  $\delta_{\bar{x}\bar{y}} = 0_{\bar{x}\bar{y}}$

for  $\bar{x} < \bar{y}$ . The finitary series can also be considered as the functions on the set of the segments of  $P$  with the values in  $R$ , namely:  $\alpha(x, y)$  means the element of the matrix  $\alpha_{\bar{x}\bar{y}}$ , which is situated in the intersection of the  $x$ -th row and  $y$ -th column.

In this article we study the automorphism group  $\text{Aut}FI$  of the ring  $FI(P, R)$  under the assumption that  $R$  is indecomposable. In the first section it is proved that the group  $\text{Out}FI = \text{Aut}FI/\text{Inn}FI$  of outer automorphisms of the finitary ring is isomorphic to the group  $\text{Out}\mathcal{C}$  of outer automorphisms of the category  $\mathcal{C}(P, R)$ . After that in the second section we prove that under some additional assumptions on  $R$  the group  $\text{Out}\mathcal{C}$  is isomorphic to the semidirect product  $\text{Out}_0\mathcal{C} \rtimes \text{Out}P$ , where  $\text{Out}_0\mathcal{C}$  belongs to the exact sequence

$$1 \rightarrow H^1(\bar{P}, C(R)^*) \rightarrow \text{Out}_0\mathcal{C} \rightarrow \prod_{\bar{x} \in \bar{P}} \text{Out}M_{\bar{x} \times \bar{x}}(R)$$

(here  $C(R)^*$  is the multiplicative group of the central invertible elements of the ring  $R$ ,  $\text{Out}M_{\bar{x} \times \bar{x}}(R)$  is the group of outer automorphisms of the ring  $M_{\bar{x} \times \bar{x}}(R)$ ). In particular, if  $R$  is a local ring,  $P$  is a class finite quasiordered set and  $P = \bigcup_{i \in I} P_i$  is the decomposition of  $P$  into the disjoint union of the

connected components, then  $\text{Out}FI \cong (H^1(\bar{P}, C(R)^*) \rtimes \prod_{i \in I} \text{Out}R) \rtimes \text{Out}P$ ,

as proved in the third section. Finally in the last section we investigate the group  $K\text{-Out}FI = K\text{-Aut}FI/\text{Inn}FI$ , where  $K\text{-Aut}FI$  means the subgroup of  $\text{Aut}FI$  consisting of those automorphisms, which agree with the structure of algebra over  $K = C(R)$ . As the consequences, we obtain the results of Stanley, Scharlau and Baclawski about the automorphism group of incidence algebra.

### 1. The connection with the automorphisms of $\mathcal{C}(P, R)$

In what follows if no additional information is given  $P(\preceq)$  is meant to be an arbitrary quasiordered set,  $R$  an indecomposable associative unital ring.

The restriction of an element  $\alpha \in FI(P, R)$  to the equivalence class  $\bar{x} \in \bar{P}$  is by definition the series  $\alpha_{\bar{x}} = \alpha_{\bar{x}\bar{x}}[\bar{x}, \bar{x}]$ . The diagonal of  $\alpha$  is  $\alpha_D = \sum_{\bar{x} \in \bar{P}} \alpha_{\bar{x}\bar{x}}[\bar{x}, \bar{x}]$ . Accordingly  $\alpha$  is said to be diagonal iff  $\alpha_D = \alpha$ . Note

that  $(\alpha\beta)_D = \alpha_D\beta_D$ ,  $(\alpha\beta)_{\bar{x}} = \alpha_{\bar{x}}\beta_{\bar{x}}$  and

$$\alpha_{\bar{x}}\beta_{\bar{y}} = \alpha_{\bar{x}\bar{x}}\beta_{\bar{x}\bar{y}}\gamma_{\bar{y}\bar{y}}[\bar{x}, \bar{y}]. \tag{2}$$

As a consequence,  $\alpha_{\bar{x}}\beta\gamma_{\bar{x}} = \alpha_{\bar{x}}\beta_{\bar{x}}\gamma_{\bar{x}}$ ,  $\alpha_{\bar{x}}\beta_{\bar{y}} = 0$  for  $x \approx y$ . In particular,  $\{\delta_{\bar{x}}\}_{\bar{x} \in \bar{P}}$  is a set of orthogonal idempotents and

$$\delta_{\bar{x}}\alpha\delta_{\bar{y}} = \alpha_{\bar{x}\bar{y}}[\bar{x}, \bar{y}], \quad \delta_{\bar{x}}\alpha\delta_{\bar{x}} = \alpha_{\bar{x}}. \quad (3)$$

First of all we shall be interested in the action of the automorphisms of the finitary ring on  $\delta_{\bar{x}}$ .

**Lemma 1.** *Let  $\Phi \in \text{Aut}FI$ . Then the image  $\Phi(\delta_{\bar{x}})$  is the conjugate of  $\delta_{\varphi(\bar{x})}$  for some order preserving bijection  $\varphi : \bar{P} \rightarrow \bar{P}$ .*

*Proof.* By [6, Theorem 3] it is sufficient to prove that there is an order preserving bijection  $\varphi : \bar{P} \rightarrow \bar{P}$ , such that

$$\Phi(\delta_{\bar{x}})_D = \delta_{\varphi(\bar{x})}. \quad (4)$$

Consider an idempotent  $\delta_x \in FI(P, R)$ , which is defined for any  $x \in P$  as follows:

$$\delta_x(u, v) = \begin{cases} 1, & \text{if } u = v = x, \\ 0, & \text{otherwise.} \end{cases}$$

Obviously,  $\delta_x = (\delta_x)_{\bar{x}}$ ,  $(\delta_x\alpha\delta_y)(x, y) = \alpha(x, y)$ . By the indecomposability of  $R$  all  $\delta_x$  are primitive. Indeed, if  $\delta_x = \alpha + \beta$ , where  $\alpha$  and  $\beta$  are the orthogonal idempotents, then  $\alpha = \delta_x\alpha = \alpha\delta_x = \delta_x\alpha\delta_x$ , i. e.  $\alpha(u, v) = \alpha(x, x)\delta_x(u, v)$ . Since  $\alpha(x, x)$  is an idempotent in  $R$ ,  $\alpha(x, x)$  equals 0 or 1 because  $R$  is indecomposable. Then either  $\alpha$  coincides with  $\delta_x$ , or it is equal to zero. Take an equivalence class  $\bar{x} \in \bar{P}$  and choose an arbitrary element  $x' \in \bar{x}$ . The image  $\Phi(\delta_{x'})$  is the primitive idempotent and by [6, Theorem 3] it is the conjugate of  $\Phi(\delta_{x'})_D$ . Since the restrictions of  $\Phi(\delta_{x'})$  to the different classes are the orthogonal idempotents, the primitivity of  $\Phi(\delta_{x'})_D$  implies that there exists  $\bar{y} \in \bar{P}$ , such that  $\Phi(\delta_{x'})_D$  coincides with  $\Phi(\delta_{x'})_{\bar{y}}$ . Note that  $\delta_{x'}$  and  $\delta_{x''}$  are the conjugates iff  $x' \sim x''$ . Hence the class  $\bar{y}$  does not depend on the choice of the representative  $x' \in \bar{x}$ . Thus  $\Phi$  induces the mapping  $\varphi : \bar{P} \rightarrow \bar{P}$ , such that  $\Phi(\delta_{x'})$  is the conjugate of  $\Phi(\delta_{x'})_{\varphi(\bar{x})}$ . Similarly we can consider  $\Phi^{-1}$  and build  $\psi : \bar{P} \rightarrow \bar{P}$ . Show that they are mutually inverse. Let  $\varphi(\bar{x}) \neq \bar{v}$ . Then for each  $x' \in \bar{x}$ :  $\delta_v\Phi(\delta_{x'})_{\varphi(\bar{x})} = 0$ , i. e.  $\delta_v\beta\Phi(\delta_{x'})\beta^{-1} = 0$  for some invertible  $\beta$ . Therefore,  $\Phi^{-1}(\delta_v)\Phi^{-1}(\beta)\delta_{x'} = 0$ . This means that  $(\Phi^{-1}(\delta_v)\Phi^{-1}(\beta))_{\bar{x}} = 0$ , thus  $\psi(\bar{v}) \neq \bar{x}$ . The implication  $\psi(\bar{v}) \neq \bar{x} \Rightarrow \varphi(\bar{x}) \neq \bar{v}$  is proved similarly. So,  $\psi = \varphi^{-1}$ .

Consider  $\Phi(\delta_{\bar{x}})$  and prove that its diagonal coincides with the restriction on  $\varphi(\bar{x})$ . Suppose that there are  $v', v'' \in \bar{v} \neq \varphi(\bar{x})$ , such that  $\delta_{v'}\Phi(\delta_{\bar{x}})\delta_{v''} \neq 0$ . Then  $\Phi^{-1}(\delta_{v'})\delta_{\bar{x}}\Phi^{-1}(\delta_{v''}) \neq 0$ . But  $\Phi^{-1}(\delta_{v'})$  and  $\Phi^{-1}(\delta_{v''})$  are the

conjugates of  $\Phi^{-1}(\delta_{v'})_{\varphi^{-1}(\bar{v})}$  and  $\Phi^{-1}(\delta_{v''})_{\varphi^{-1}(\bar{v})}$  respectively. This means that there are invertible  $\beta$  and  $\gamma$ , such that (see (2))

$$\Phi^{-1}(\delta_{v'})_{\varphi^{-1}(\bar{v})}\beta^{-1}\delta_{\bar{x}}\gamma\Phi^{-1}(\delta_{v''})_{\varphi^{-1}(\bar{v})} = (\Phi^{-1}(\delta_{v'})\beta^{-1}\delta_{\bar{x}}\gamma\Phi^{-1}(\delta_{v''}))_{\varphi^{-1}(\bar{v})}$$

is different from zero. Therefore  $(\delta_{\bar{x}})_{\varphi^{-1}(\bar{v})} \neq 0$ , i. e.  $\varphi^{-1}(\bar{v}) = \bar{x}$ , which contradicts the supposition. Hence  $\Phi(\delta_{\bar{x}})_D = \Phi(\delta_{\bar{x}})_{\varphi(\bar{x})}$ . Similarly  $\Phi^{-1}(\delta_{\varphi(\bar{x})})_D = \Phi^{-1}(\delta_{\varphi(\bar{x})})_{\bar{x}}$ . Using (3) we obtain that  $\Phi^{-1}(\delta_{\varphi(\bar{x})})$  is the conjugate of  $\delta_{\bar{x}}\Phi^{-1}(\delta_{\varphi(\bar{x})})\delta_{\bar{x}}$ . Therefore  $\delta_{\varphi(\bar{x})}$  is the conjugate of  $\Phi(\delta_{\bar{x}})\delta_{\varphi(\bar{x})}\Phi(\delta_{\bar{x}})$ . Since  $\Phi(\delta_{\bar{x}})$  is an idempotent and the diagonal of  $\delta_{\bar{x}}$  is stable under the conjugation, we conclude that  $\Phi(\delta_{\bar{x}})_D = \delta_{\varphi(\bar{x})}$ .

Prove that  $\varphi$  preserves the partial order. Let  $\bar{x} \leq \bar{y}$ . Consider  $\alpha = \alpha_{\bar{x}\bar{y}}[\bar{x}, \bar{y}]$  for some nonzero  $\alpha_{\bar{x}\bar{y}} \in \text{Mor}(\bar{x}, \bar{y})$ . Then  $\alpha = \delta_{\bar{x}}\alpha\delta_{\bar{y}}$  by (3). So  $\Phi(\alpha) = \Phi(\delta_{\bar{x}})\Phi(\alpha)\Phi(\delta_{\bar{y}}) = \beta\delta_{\varphi(\bar{x})}\beta^{-1}\Phi(\alpha)\gamma\delta_{\varphi(\bar{y})}\gamma^{-1}$  for some invertible  $\beta, \gamma \in FI(P, R)$ . Hence

$$\beta^{-1}\Phi(\alpha)\gamma = \delta_{\varphi(\bar{x})}\beta^{-1}\Phi(\alpha)\gamma\delta_{\varphi(\bar{y})} = (\beta^{-1}\Phi(\alpha)\gamma)_{\varphi(\bar{x})\varphi(\bar{y})}[\varphi(\bar{x}), \varphi(\bar{y})].$$

Since  $\alpha \neq 0$ , we have  $\beta^{-1}\Phi(\alpha)\gamma \neq 0$ , and therefore  $(\beta^{-1}\Phi(\alpha)\gamma)_{\varphi(\bar{x})\varphi(\bar{y})} \neq 0$  by the previous equality. Thus  $\varphi(\bar{x}) \leq \varphi(\bar{y})$ .  $\square$

**Remark 1.** The lemma implies that the correspondence  $\Phi \mapsto \varphi$  agrees with the composition of the mappings. In particular,  $\Phi^{-1} \mapsto \varphi^{-1}$ , and  $\varphi^{-1}$  preserves the partial order.

Let  $X \subset \bar{P}$ . Denote by  $\delta_X$  the diagonal finitary series  $\sum_{\bar{x} \in X} \delta_{\bar{x}\bar{x}}[\bar{x}, \bar{x}]$ .

We shall need the following technical lemma.

**Lemma 2.** *Let  $\Phi \in \text{Aut}FI$ ,  $\varphi : \bar{P} \rightarrow \bar{P}$  be the bijection defined by (4),  $x \preceq y$ ,  $Z \subset \bar{P}$ . Then*

1.  $\Phi(\delta_{\bar{x}})_{\varphi(\bar{x})\varphi(\bar{y})} = 0_{\varphi(\bar{x})\varphi(\bar{y})} \Leftrightarrow \Phi^{-1}(\delta_{\varphi(\bar{y})})_{\bar{x}\bar{y}} = 0_{\bar{x}\bar{y}}$ .
2.  $\Phi(\delta_Z)_{\varphi(\bar{x})\varphi(\bar{y})} = \Phi(\delta_{Z'})_{\varphi(\bar{x})\varphi(\bar{y})}$ , where  $Z'$  consists of those  $\bar{z} \in Z$ , for which  $\Phi(\delta_{\bar{z}})_{\varphi(\bar{x})\varphi(\bar{z})} \neq 0_{\varphi(\bar{x})\varphi(\bar{z})}$  and  $\Phi(\delta_{\bar{z}})_{\varphi(\bar{z})\varphi(\bar{y})} \neq 0_{\varphi(\bar{z})\varphi(\bar{y})}$ .

*Proof.* Prove the first statement. Write  $\bar{u} = \varphi(\bar{x}), \bar{v} = \varphi(\bar{y})$  for short. Let  $\Phi(\delta_{\bar{x}})_{\bar{u}\bar{v}} = 0_{\bar{u}\bar{v}}$ . By (3) this is equivalent to the equality

$$\delta_{\bar{u}}\Phi(\delta_{\bar{x}})\delta_{\bar{v}} = 0 \tag{5}$$

in the ring  $FI(P, R)$ . Apply  $\Phi^{-1}$  to this equality. By the Remark 1 there are invertible  $\beta, \gamma \in FI(P, R)$ , such that

$$\Phi^{-1}(\delta_{\bar{u}}) = \beta\delta_{\bar{x}}\beta^{-1}, \quad \Phi^{-1}(\delta_{\bar{v}}) = \gamma\delta_{\bar{y}}\gamma^{-1}. \tag{6}$$

Then it follows from (5) that  $\delta_{\bar{x}}\beta^{-1}\delta_{\bar{x}}\gamma\delta_{\bar{y}} = 0$ , which is equivalent to  $(\beta^{-1})_{\bar{x}\bar{x}}\gamma_{\bar{x}\bar{y}} = 0_{\bar{x}\bar{y}}$  (see (3)). According to [6, Theorem 2],  $(\beta^{-1})_{\bar{x}\bar{x}}$  and  $(\gamma^{-1})_{\bar{y}\bar{y}}$  are the invertible elements of the rings  $M_{\bar{x}\times\bar{x}}(R)$  and  $M_{\bar{y}\times\bar{y}}(R)$  respectively, hence  $\gamma_{\bar{x}\bar{y}}(\gamma^{-1})_{\bar{y}\bar{y}} = 0_{\bar{x}\bar{y}}$ . This means that  $(\gamma\delta_{\bar{y}}\gamma^{-1})_{\bar{x}\bar{y}} = 0_{\bar{x}\bar{y}}$ , i. e.  $\Phi^{-1}(\delta_{\bar{v}})_{\bar{x}\bar{y}} = 0_{\bar{x}\bar{y}}$  by (6).

Let us turn to the proof of the second statement. Instead of  $\Phi(\delta_Z)$  we consider  $\delta_{\bar{u}}\Phi(\delta_Z)\delta_{\bar{v}}$  (by (3) this series has the same value at the segment  $[\bar{u}, \bar{v}]$  as the initial one). Using (6) we see that its preimage under  $\Phi$  is equal to  $\beta\delta_{\bar{x}}\beta^{-1}\delta_Z\gamma\delta_{\bar{y}}\gamma^{-1}$ . It is sufficient to prove that in this product  $Z$  can be replaced by  $Z'$ . According to (3) and the definition of the convolution, the product  $\delta_{\bar{x}}\beta^{-1}\delta_Z\gamma\delta_{\bar{y}}$  depends only on those  $\bar{z} \in Z$ , for which  $(\beta^{-1})_{\bar{x}\bar{z}} \neq 0_{\bar{x}\bar{z}}$  and  $\gamma_{\bar{z}\bar{y}} \neq 0_{\bar{z}\bar{y}}$ . By the finitariness of  $\beta^{-1}$  and  $\gamma$  there is a finite number of such  $\bar{z}$ . Note that the first inequality is equivalent to  $(\beta\delta_{\bar{x}}\beta^{-1})_{\bar{x}\bar{z}} \neq 0_{\bar{x}\bar{z}}$ , i. e.  $\Phi^{-1}(\delta_{\bar{u}})_{\bar{x}\bar{z}} \neq 0_{\bar{x}\bar{z}}$ . Similarly the second one means that  $\Phi^{-1}(\delta_{\bar{v}})_{\bar{z}\bar{y}} \neq 0_{\bar{z}\bar{y}}$ . Applying the first statement of the lemma to  $\Phi^{-1}$ , we obtain the required inequalities.  $\square$

For an arbitrary invertible  $\beta \in FI(P, R)$  denote by  $\tau_\beta \in \text{Inn}FI$  the conjugation by the element  $\beta$ . If  $\Phi \in \text{Aut}FI$ , then, as it is mentioned above, for each  $\bar{x} \in \bar{P}$  there is  $\beta$ , such that  $(\tau_\beta\Phi)(\delta_{\bar{x}}) = \delta_{\varphi(\bar{x})}$ . It turns out that such a  $\beta$  can be chosen independently of the class  $\bar{x}$ .

**Lemma 3.** *Let  $\Phi \in \text{Aut}FI$ ,  $\varphi : \bar{P} \rightarrow \bar{P}$  be the bijection defined by (4). Then there is  $\tau_\beta \in \text{Inn}FI$ , such that*

$$(\tau_\beta\Phi)(\delta_{\bar{x}}) = \delta_{\varphi(\bar{x})} \tag{7}$$

for all  $\bar{x}$ .

*Proof.* Define  $\beta$  by the formal equality

$$\beta = \sum_{\bar{u} \leq \bar{v}} \Phi(\delta_{\varphi^{-1}(\bar{u})})_{\bar{u}\bar{v}}[\bar{u}, \bar{v}]. \tag{8}$$

Obviously,  $\delta_{\varphi(\bar{x})}\beta = \delta_{\varphi(\bar{x})}\Phi(\delta_{\bar{x}})$  for each  $\bar{x} \in \bar{P}$ . Consider the product  $\beta\Phi(\delta_{\bar{x}})$ . According to (8) and the definition of the convolution:

$$(\beta\Phi(\delta_{\bar{x}}))_{\bar{u}\bar{v}} = \sum_{\bar{u} \leq \bar{w} \leq \bar{v}} \Phi(\delta_{\varphi^{-1}(\bar{u})})_{\bar{u}\bar{w}}\Phi(\delta_{\bar{x}})_{\bar{w}\bar{v}} = (\Phi(\delta_{\varphi^{-1}(\bar{u})})\Phi(\delta_{\bar{x}}))_{\bar{u}\bar{v}}.$$

Since  $\{\delta_{\bar{x}}\}_{\bar{x} \in \bar{P}}$  is a family of orthogonal idempotents in  $FI(P, R)$  and  $\Phi$  is an isomorphism, we obtain that  $(\beta\Phi(\delta_{\bar{x}}))_{\bar{u}\bar{v}} = \Phi(\delta_{\bar{x}})_{\varphi(\bar{x})\bar{v}}$  if  $\bar{u} = \varphi(\bar{x})$  and 0 otherwise. Thus,  $\beta\Phi(\delta_{\bar{x}}) = \delta_{\varphi(\bar{x})}\Phi(\delta_{\bar{x}})$ , i. e.

$$\beta\Phi(\delta_{\bar{x}}) = \delta_{\varphi(\bar{x})}\beta \tag{9}$$

for an arbitrary  $\bar{x} \in \bar{P}$ . Note that  $\beta_{\bar{x}} = \Phi(\delta_{\varphi^{-1}(\bar{x})})_{\bar{x}} = \delta_{\bar{x}}$  by (4). To prove the lemma it is sufficient to establish the finitariness of  $\beta$ . Indeed, then by [6, Theorem 2]  $\beta$  will be invertible and therefore  $\beta\Phi(\delta_{\bar{x}})\beta^{-1} = \delta_{\bar{x}}$  from (9).

Suppose that the set  $[\bar{u}_s, \bar{v}_s]_{s \in S}$ ,  $\bar{u}_s < \bar{v}_s$  of all different nontrivial subsegments of some fixed segment  $[\bar{u}, \bar{v}] \subset \bar{P}$ , for which  $\beta_{\bar{u}_s \bar{v}_s} \neq 0_{\bar{u}_s \bar{v}_s}$ , is infinite. By the definition of  $\beta$  this means that

$$\Phi(\delta_{\varphi^{-1}(\bar{u}_s)})_{\bar{u}_s \bar{v}_s} \neq 0_{\bar{u}_s \bar{v}_s}. \quad (10)$$

According to the Lemma 2

$$\Phi^{-1}(\delta_{\bar{v}_s})_{\varphi^{-1}(\bar{u}_s)\varphi^{-1}(\bar{v}_s)} \neq 0_{\varphi^{-1}(\bar{u}_s)\varphi^{-1}(\bar{v}_s)}. \quad (11)$$

It follows from (10) that for each  $\bar{u}_0 \in \bar{P}$  there is only a finite number of  $\bar{u}_s$ , which coincide with  $\bar{u}_0$ . Indeed, if  $\bar{u}_s = \bar{u}_0 \in [u, v]$  for some set of indexes  $S_0 \subset S$ , then  $\Phi(\delta_{\varphi^{-1}(\bar{u}_0)})_{\bar{u}_0 \bar{v}_s} \neq 0_{\bar{u}_0 \bar{v}_s}$  for this set of indexes by (10). Since  $\Phi(\delta_{\varphi^{-1}(\bar{u}_0)})$  is a finitary series and  $[\bar{u}_0, \bar{v}_s]$  are the different nontrivial subsegments of the segment  $[\bar{u}, \bar{v}]$ ,  $S_0$  must be finite. Similarly only a finite number of  $\bar{v}_s$  can coincide with some  $\bar{v}_0 \in \bar{P}$  by (11) and the Remark 1. Consider an arbitrary segment  $[\bar{u}_1, \bar{v}_1]$  from  $\{[\bar{u}_s, \bar{v}_s]\}$ . According to our remark, there is only a finite number of segments in  $\{[\bar{u}_s, \bar{v}_s]\}$ , one of whose end points coincides with one of the end points of  $[\bar{u}_1, \bar{v}_1]$ , i. e.  $\{\bar{u}_s, \bar{v}_s\} \cap \{\bar{u}_1, \bar{v}_1\} \neq \emptyset$ . Throw away all such segments except  $[\bar{u}_1, \bar{v}_1]$ . Then among the remaining segments choose  $[\bar{u}_2, \bar{v}_2] \neq [\bar{u}_1, \bar{v}_1]$ . Repeat the procedure for this segment, i. e. throw away all  $[\bar{u}_s, \bar{v}_s] \neq [\bar{u}_2, \bar{v}_2]$ , for which  $\{\bar{u}_s, \bar{v}_s\} \cap \{\bar{u}_2, \bar{v}_2\} \neq \emptyset$  (there is a finite number of such segments). Note that  $[\bar{u}_1, \bar{v}_1]$  will remain because  $\{\bar{u}_1, \bar{v}_1\} \cap \{\bar{u}_2, \bar{v}_2\} = \emptyset$  by the result of the previous step. Again, choose some  $[\bar{u}_3, \bar{v}_3] \neq [\bar{u}_1, \bar{v}_1], [\bar{u}_2, \bar{v}_2]$  and so on. By iterating this process, we finally obtain the infinite set  $\{[\bar{u}_i, \bar{v}_i]\}_{i=1}^{\infty}$  of segments, for which (10) and (11) are fulfilled, and, moreover, for each  $i$  there is a unique segment with the left end point  $\bar{u}_i$  and a unique segment with the right end point  $\bar{v}_i$  (and there are no segments with the right end point  $\bar{u}_i$  or with the left end point  $\bar{v}_i$ ).

Take  $X = \{\varphi^{-1}(\bar{u}_i)\}$  and consider the finitary series  $\delta_X$ . According to the second statement of the Lemma 2, the value of  $\Phi(\delta_X)_{\bar{u}_i \bar{v}_i}$  must coincide with  $\Phi(\delta_{X'})_{\bar{u}_i \bar{v}_i}$ , where  $X'$  consists of those  $\bar{u}_j$ , for which  $\Phi(\delta_{\varphi^{-1}(\bar{u}_j)})_{\bar{u}_i \bar{u}_j} \neq 0_{\bar{u}_i \bar{u}_j}$  and  $\Phi(\delta_{\varphi^{-1}(\bar{u}_j)})_{\bar{u}_j \bar{v}_i} \neq 0_{\bar{u}_j \bar{v}_i}$ . In our case the only possibility for  $j$  is to be equal to  $i$ . Thus,  $\Phi(\delta_X)_{\bar{u}_i \bar{v}_i} = \Phi(\delta_{\varphi^{-1}(\bar{u}_i)})_{\bar{u}_i \bar{v}_i} \neq 0_{\bar{u}_i \bar{v}_i}$  for all  $i$ . This contradicts the finitariness of  $\Phi(\delta_X)$ .  $\square$

**Remark 2.** The series  $\beta$  from the previous lemma is determined up to the multiplication by the diagonal series.

*Proof.* Obviously, we need to prove that if  $\tau_\gamma(\delta_{\bar{x}}) = \delta_{\bar{x}}$  for all  $\bar{x}$ , then  $\gamma$  is diagonal. Indeed,  $\gamma\delta_{\bar{x}} = \delta_{\bar{x}}\gamma$  means that  $\gamma_{\bar{x}\bar{y}} = 0_{\bar{x}\bar{y}}, \gamma_{\bar{z}\bar{x}} = 0_{\bar{z}\bar{x}}$  for all  $\bar{y}, \bar{z} \neq \bar{x}$ . Since this is true for all  $\bar{x}$ ,  $\gamma$  is diagonal.  $\square$

Denote by  $\text{Aut}\mathcal{C}$  the automorphism group of the category  $\mathcal{C}(P, R)$ . An automorphism  $\varphi \in \text{Aut}\mathcal{C}$  is called *inner* if there is a diagonal invertible series  $\beta \in FI(P, R)$ , such that for each  $\alpha_{\bar{x}\bar{y}} \in \text{Mor}(\bar{x}, \bar{y})$  we have  $\varphi(\alpha_{\bar{x}\bar{y}}) = \beta_{\bar{x}}\alpha_{\bar{x}\bar{y}}\beta_{\bar{y}}^{-1}$ . The set of inner automorphisms forms a normal subgroup of  $\text{Aut}\mathcal{C}$ , which is denoted by  $\text{Inn}\mathcal{C}$ . Accordingly,  $\text{Out}\mathcal{C} = \text{Aut}\mathcal{C}/\text{Inn}\mathcal{C}$  denotes the group of outer automorphisms of the category  $\mathcal{C}(P, R)$ .

The following theorem is the main result of this section.

**Theorem 1.** *The group  $\text{Out}FI$  is isomorphic to  $\text{Out}\mathcal{C}$ .*

*Proof.* We shall build an epimorphism  $f : \text{Aut}FI \rightarrow \text{Out}\mathcal{C}$  and prove that its kernel coincides with  $\text{Inn}FI$ .

Let  $\Phi \in \text{Aut}FI$ . There is a bijection  $\varphi : \text{Ob}\mathcal{C}(P, R) \rightarrow \text{Ob}\mathcal{C}(P, R)$  given by (4). Define the corresponding mapping of the morphisms  $\varphi : \text{Mor}(\bar{x}, \bar{y}) \rightarrow \text{Mor}(\varphi(\bar{x}), \varphi(\bar{y}))$  (we denote it by the same letter). According to the Lemma 3 there is  $\tau_\beta \in \text{Inn}FI$ , such that (7) is satisfied. Consider  $\alpha_{\bar{x}\bar{y}} \in \text{Mor}(\bar{x}, \bar{y})$  and identify it with the series  $\varepsilon(\alpha_{\bar{x}\bar{y}})$ , where  $\varepsilon$  is the embedding of the semigroup  $\text{Mor}\mathcal{C}(P, R)$  in the multiplicative semigroup  $FI(P, R)$ , namely:  $\varepsilon(\alpha_{\bar{x}\bar{y}}) = \alpha_{\bar{x}\bar{y}}[\bar{x}, \bar{y}]$ . Then by (3) we have  $\varepsilon(\alpha_{\bar{x}\bar{y}}) = \delta_{\bar{x}}\varepsilon(\alpha_{\bar{x}\bar{y}})\delta_{\bar{y}}$ . Therefore,  $\Phi\varepsilon(\alpha_{\bar{x}\bar{y}}) = \Phi(\delta_{\bar{x}})\Phi\varepsilon(\alpha_{\bar{x}\bar{y}})\Phi(\delta_{\bar{y}})$ . Using (7) we obtain  $\beta\Phi\varepsilon(\alpha_{\bar{x}\bar{y}})\beta^{-1} = \delta_{\varphi(\bar{x})}\beta\Phi\varepsilon(\alpha_{\bar{x}\bar{y}})\beta^{-1}\delta_{\varphi(\bar{y})}$ . In other words,  $\tau_\beta\Phi\varepsilon(\alpha_{\bar{x}\bar{y}}) = \varepsilon((\tau_\beta\Phi\varepsilon(\alpha_{\bar{x}\bar{y}}))_{\varphi(\bar{x})\varphi(\bar{y})})$ . Thus,

$$\varphi = \varepsilon^{-1}\tau_\beta\Phi\varepsilon \tag{12}$$

defines the required mapping. Obviously, it is an isomorphism of the abelian groups and  $\varphi(\delta_{\bar{x}\bar{x}}) = \delta_{\varphi(\bar{x})\varphi(\bar{x})}$ . Moreover,  $\varphi$  agrees with the composition, because  $\varepsilon$  does. So, there is a mapping  $f : \text{Aut}FI \rightarrow \text{Out}\mathcal{C}$ , namely

$$f(\Phi) = \varphi \cdot \text{Inn}\mathcal{C}. \tag{13}$$

According to the Remark 2, the definition of  $f$  is correct. Prove that  $f$  is a homomorphism. Consider another automorphism  $\Psi \in \text{Aut}FI$ ,  $f(\Psi) = \psi \cdot \text{Inn}\mathcal{C}$ . As it was mentioned above,  $\Phi(\delta_{\bar{x}}) = \tau_{\beta^{-1}}(\delta_{\varphi(\bar{x})})$ . Applying Lemma 3 to  $\Psi$ , we obtain

$$\Psi\Phi(\delta_x) = \tau_{\Psi(\beta^{-1})}\Psi(\delta_{\varphi(\bar{x})}) = \tau_{\Psi(\beta^{-1})\gamma^{-1}}(\delta_{\psi\circ\varphi(\bar{x})})$$

for some invertible  $\gamma \in FI(P, R)$ . Therefore,  $\tau_{\gamma\Psi(\beta)}\Psi\Phi(\delta_x) = \delta_{\psi\circ\varphi(\bar{x})}$ . Thus,  $f(\Psi\Phi) = \chi \cdot \text{Inn}\mathcal{C}$ , where  $\chi$  acts on objects as  $\psi \circ \varphi$  and on morphisms as  $\varepsilon^{-1}\tau_{\gamma\Psi(\beta)}\Psi\Phi\varepsilon = (\varepsilon^{-1}\tau_\gamma\Psi\varepsilon)(\varepsilon^{-1}\tau_\beta\Phi\varepsilon)$  (see (12)); hence  $f$  is a homomorphism.



Conversely, let  $\varphi \in \text{Aut}\mathcal{C}$ ,  $\alpha \in FI(P, R)$ . Define  $\widehat{\varphi}(\alpha)$  as follows:

$$\widehat{\varphi}(\alpha)_{\bar{x}\bar{y}} = \varphi(\alpha_{\varphi^{-1}(\bar{x})\varphi^{-1}(\bar{y})}).$$

Obviously,  $\widehat{\varphi}$  is linear. Furthermore, since  $\varphi$  and  $\varphi^{-1}$ , being the functions on  $\overline{P}$ , preserve the partial order,

$$\widehat{\varphi}(\alpha\beta)_{\bar{x}\bar{y}} = \sum_{\bar{x} \leq \bar{z} \leq \bar{y}} \varphi(\alpha_{\varphi^{-1}(\bar{x})\varphi^{-1}(\bar{z})})\varphi(\beta_{\varphi^{-1}(\bar{z})\varphi^{-1}(\bar{y})}) = (\widehat{\varphi}(\alpha)\widehat{\varphi}(\beta))_{\bar{x}\bar{y}}.$$

Therefore,  $\widehat{\varphi} \in \text{Aut}FI$ . Obviously,  $\widehat{\varphi}(\delta_{\bar{x}}) = \delta_{\varphi(\bar{x})}$  and hence  $f(\widehat{\varphi}) = \varphi \cdot \text{Inn}\mathcal{C}$ .

By (12) and (13)  $\text{Ker}f$  consists of the automorphisms  $\Phi$ , for which the image  $\varepsilon^{-1}\tau_{\beta}\Phi\varepsilon(\alpha_{\bar{x}\bar{y}})$  coincides with  $\gamma_{\bar{x}}\alpha_{\bar{x}\bar{y}}\gamma_{\bar{y}}^{-1}$  for all  $\bar{x} \leq \bar{y}$ ,  $\alpha_{\bar{x}\bar{y}} \in \text{Mor}(\bar{x}, \bar{y})$  and for some diagonal invertible  $\gamma \in FI(P, R)$ . This is equivalent to  $\tau_{\gamma^{-1}\beta}\Phi(\alpha_{\bar{x}\bar{y}}[\bar{x}, \bar{y}]) = \alpha_{\bar{x}\bar{y}}[\bar{x}, \bar{y}]$ . In particular,  $\tau_{\gamma^{-1}\beta}\Phi(\delta_{\bar{x}}) = \delta_{\bar{x}}$ . Denote  $\Phi_1 = \tau_{\gamma^{-1}\beta}\Phi$  for short. Then, using (3), for an arbitrary  $\alpha \in FI(P, R)$  we have:

$$\Phi_1(\alpha)_{\bar{x}\bar{y}}[\bar{x}, \bar{y}] = \delta_{\bar{x}}\Phi_1(\alpha)\delta_{\bar{y}} = \Phi_1(\delta_{\bar{x}}\alpha\delta_{\bar{y}}) = \Phi_1(\alpha_{\bar{x}\bar{y}}[\bar{x}, \bar{y}]) = \alpha_{\bar{x}\bar{y}}[\bar{x}, \bar{y}].$$

Thus,  $\tau_{\gamma^{-1}\beta}\Phi = \text{id}_{FI(P, R)}$ , i. e.  $\Phi = \tau_{\beta^{-1}\gamma}$ .  $\square$

## 2. The group $\text{Out}\mathcal{C}$

Theorem 1 shows that the study of the group of outer automorphisms of the finitary ring is reduced to the study of the group of outer automorphisms of the category  $\mathcal{C}(P, R)$ .

Denote by  $\text{Aut}_0\mathcal{C}$  the subgroup of  $\text{Aut}\mathcal{C}$ , consisting of the automorphisms of  $\mathcal{C}(P, R)$ , which act identically on the objects. Let  $\text{Out}_0\mathcal{C}$  denote the image of  $\text{Aut}_0\mathcal{C}$  in  $\text{Out}\mathcal{C}$ .

**Theorem 2.** *The following sequence of groups is exact:*

$$1 \rightarrow \text{Out}_0\mathcal{C} \rightarrow \text{Out}\mathcal{C} \rightarrow \text{Aut}\overline{P},$$

where  $\text{Aut}\overline{P}$  is the automorphism group of the poset  $\overline{P}$ .

*Proof.* Let  $\varphi \in \text{Aut}\mathcal{C}$ . Then obviously  $\varphi_{Ob} \in \text{Aut}\overline{P}$ , where  $\varphi_{Ob}$  is the restriction of  $\varphi$  to the set  $Ob\mathcal{C} = \overline{P}$ . Note that if  $\varphi \in \text{Inn}\mathcal{C}$ , then  $\varphi_{Ob} = \text{id}$ . Hence  $f : \text{Out}\mathcal{C} \rightarrow \text{Aut}\overline{P}$  is defined, namely:

$$f(\varphi \cdot \text{Inn}\mathcal{C}) = \varphi_{Ob}. \tag{14}$$

Obviously,  $f$  is a homomorphism and its kernel consists of the cosets  $\varphi \cdot \text{Inn}\mathcal{C}$ , for which  $\varphi(\bar{x}) = \bar{x}$ , i. e.  $\text{Ker}f = \text{Out}_0\mathcal{C}$ .  $\square$

We are interested in the image of  $\text{Out}\mathcal{C}$  in  $\text{Aut}\bar{P}$ . For this reason suppose that the ring  $R$  has the following property:

$$M_{X \times X}(R) \cong M_{Y \times Y}(R) \Rightarrow |X| = |Y|. \quad (15)$$

In particular, commutative rings satisfy (15) for finite  $X$  and  $Y$  (see [3, Corollary 5.13]); we shall give another class of such rings below.

Let  $\text{Aut}P$  denote the automorphism group of the quasiordered set  $P$ . The image of an arbitrary class  $\bar{x} \subset P$  under  $\varphi \in \text{Aut}P$  is again a class  $\overline{\varphi(x)}$ , such that  $|\varphi(\bar{x})| = |\bar{x}|$ . An automorphism  $\varphi$  is called inner if  $\varphi(\bar{x}) = \bar{x}$ . The subgroup of inner automorphisms is denoted by  $\text{Inn}P$ , then the group of outer automorphisms is  $\text{Out}P = \text{Aut}P/\text{Inn}P$ .

**Lemma 4.** *Under the condition (15) the image of the group  $\text{Out}\mathcal{C}$  in  $\text{Aut}\bar{P}$  is isomorphic to the group  $\text{Out}P$ .*

*Proof.* Taking into account the remark before the lemma, it is easy to show that the group  $\text{Out}P$  is isomorphic to the subgroup  $G$  of  $\text{Aut}\bar{P}$ , consisting of the automorphisms  $\psi$ , such that  $|\psi(\bar{x})| = |\bar{x}|$  for all  $\bar{x} \in \bar{P}$ . Therefore, we need to prove that  $f(\text{Out}\mathcal{C}) = G$ , where  $f$  is the homomorphism defined by (14).

Let  $\varphi \in \text{Aut}\mathcal{C}$ . Since  $\varphi$  is an automorphism,  $M_{\bar{x} \times \bar{x}}(R)$  is isomorphic to  $M_{\varphi(\bar{x}) \times \varphi(\bar{x})}(R)$ . Therefore, by (15)  $|\varphi(\bar{x})| = |\bar{x}|$  and hence  $\varphi_{Ob} \in G$ . Conversely, take  $\psi \in G$  and extend it arbitrarily to the automorphism of  $P$ . Define  $\hat{\psi}(\alpha_{\bar{x}\bar{y}}) \in \text{Mor}(\psi(\bar{x}), \psi(\bar{y}))$  as follows:

$$\hat{\psi}(\alpha_{\bar{x}\bar{y}})(\psi(x'), \psi(y')) = \alpha_{\bar{x}\bar{y}}(x', y'), \quad (16)$$

where  $x' \in \bar{x}$ ,  $y' \in \bar{y}$ ,  $\alpha_{\bar{x}\bar{y}}(x', y')$  is the element of the matrix  $\alpha_{\bar{x}\bar{y}}$ , corresponding to the pair  $(x', y')$ . The definition is correct, because  $\psi$  maps bijectively  $\bar{x}$  onto  $\psi(\bar{x})$  and  $\bar{y}$  onto  $\psi(\bar{y})$ . Moreover,  $\hat{\psi}$  is an isomorphism of the abelian groups  $\text{Mor}(\bar{x}, \bar{y})$  and  $\text{Mor}(\psi(\bar{x}), \psi(\bar{y}))$  with  $\hat{\psi}(\text{id}_{\bar{x}}) = \text{id}_{\psi(\bar{x})}$ . Furthermore, since  $\psi$  is an automorphism of  $P$ ,

$$\hat{\psi}(\alpha_{\bar{x}\bar{y}}\alpha_{\bar{y}\bar{z}})(\psi(x'), \psi(z')) = (\hat{\psi}(\alpha_{\bar{x}\bar{y}})\hat{\psi}(\alpha_{\bar{y}\bar{z}}))(\psi(x'), \psi(z')).$$

Thus,  $\hat{\psi} \in \text{Aut}\mathcal{C}$ . Finally, note that  $f(\hat{\psi} \cdot \text{Inn}\mathcal{C}) = \psi$ . □

**Theorem 3.** *Let the ring  $R$  satisfy (15). Then the group  $\text{Out}\mathcal{C}$  is isomorphic to the semidirect product  $\text{Out}_0\mathcal{C} \rtimes \text{Out}P$ .*

*Proof.* Identify  $\text{Out}P$  with the subgroup  $G$  of  $\text{Aut}\bar{P}$ . By the Theorem 2 and the Lemma 4 it is sufficient to build the monomorphism  $g : G \rightarrow \text{Out}\mathcal{C}$ , such that  $fg = \text{id}_G$ . Fix the numeration of the elements in each  $\bar{x} \subset P$ .

Let  $\omega(x)$  denote the number of the element  $x$  in the equivalence class  $\bar{x}$ . We shall say that  $\varphi \in \text{Aut}P$  agrees with  $\omega$  if  $\omega(\varphi(x)) = \omega(x)$  for all  $x \in P$ . Note that in each coset of the subgroup  $\text{Inn}P$  there is a unique automorphism, which agrees with  $\omega$ , because an inner automorphism, which agrees with  $\omega$ , is the identity. Let  $\psi \in G$ . Extend  $\psi$  to the automorphism  $\psi_\omega$  of the set  $P$ , which agrees with  $\omega$ . By our remark this can be done uniquely. Then the mapping  $g(\psi) = \widehat{\psi_\omega} \cdot \text{Inn}\mathcal{C}$ , where  $\widehat{\psi_\omega}$  is given by (16), is defined correctly. Obviously,  $(\widehat{\psi\eta})_\omega = \widehat{\psi_\omega}\widehat{\eta}_\omega$ . Thus,  $g$  is a homomorphism. Suppose that  $\widehat{\psi_\omega} \in \text{Inn}\mathcal{C}$ . Then, in particular,  $\widehat{\psi_\omega}(\alpha_{\bar{x}\bar{x}}) \in \text{Mor}(\bar{x}, \bar{x})$ , i. e.  $\psi(\bar{x}) = \bar{x}$ . Hence,  $\psi = \text{id}_{\bar{P}}$  and therefore  $g$  is a monomorphism. Finally  $(\widehat{\psi_\omega})_{Ob} = \psi$  by (16). This means that  $f(g(\psi)) = \psi$ .  $\square$

Show that the condition (15) is essential.

**Example 1.** Let  $R$  be a ring, such that  $R_R^2 \cong R_R^3$  (see [1]). Take  $P$  with  $\bar{P} = \{\bar{x}, \bar{y}, 1\}$ , where  $\bar{x} = \{x_1, x_2\}$ ,  $\bar{y} = \{y_1, y_2, y_3\}$ ,  $1$  is an one-element class;  $\bar{x}$  and  $\bar{y}$  are incomparable,  $\bar{x}, \bar{y} < 1$ . Then  $\text{Out}\mathcal{C} \neq \text{Out}_0\mathcal{C} \times \text{Out}P$ .

Indeed, it is easy to see that  $\text{Out}P = 1$ . Therefore, we need to prove that  $\text{Out}\mathcal{C} \neq \text{Out}_0\mathcal{C}$ , i. e. to find an automorphism  $\varphi$  of the category  $\mathcal{C}(P, R)$ , such that  $\varphi_{Ob} \neq \text{id}$ . Note that  $\text{Mor}(\bar{x}, \bar{x}) = M_2(R)$ ,  $\text{Mor}(\bar{y}, \bar{y}) = M_3(R)$ ,  $\text{Mor}(1, 1) = R$ ,  $\text{Mor}(\bar{x}, 1) = R_R^2$ ,  $\text{Mor}(\bar{y}, 1) = R_R^3$ ,  $\text{Mor}(\bar{x}, \bar{y}) = 0$  (here  $M_n(R)$  denotes the ring of  $n \times n$  matrices over  $R$ ). It is convenient to represent the elements of  $R_R^2$  and  $R_R^3$  by the columns. Then  $M_2(R) \cong \text{End}(R_R^2)$ ,  $M_3(R) \cong \text{End}(R_R^3)$ , where a matrix acts on a column by the left multiplication (since the modules are right). Let  $f : R_R^2 \rightarrow R_R^3$  be an isomorphism. For an arbitrary  $A \in M_2(R)$  define  $g(A) \in M_3(R)$  by its action on a column  $(r_1, r_2, r_3)^T \in R_R^3$ :

$$g(A)(r_1, r_2, r_3)^T = fAf^{-1}(r_1, r_2, r_3)^T.$$

Obviously,  $g$  is an isomorphism of the rings  $M_2(R)$  and  $M_3(R)$ . Note that  $g(A)f(r_1, r_2)^T = fA(r_1, r_2)^T$  for an arbitrary  $(r_1, r_2)^T \in R_R^2$ . Define the mapping of the morphisms  $\varphi$  as follows:  $\varphi|_{\text{Mor}(\bar{x}, 1)} = f : \text{Mor}(\bar{x}, 1) \rightarrow \text{Mor}(\bar{y}, 1)$ ,  $\varphi|_{\text{Mor}(\bar{x}, \bar{x})} = g : \text{Mor}(\bar{x}, \bar{x}) \rightarrow \text{Mor}(\bar{y}, \bar{y})$ ,  $\varphi|_{\text{Mor}(1, 1)} = \text{id}$ . By the construction  $\varphi \in \text{Aut}\mathcal{C}$  and  $\varphi_{Ob}(\bar{x}) = \bar{y}$ .

### 3. The group $\text{Out}_0\mathcal{C}$

In this section we are going to investigate the group  $\text{Out}_0\mathcal{C}$ . Obviously, the restriction of any automorphism  $\varphi \in \text{Aut}_0\mathcal{C}$  to the ring  $\text{Mor}(\bar{x}, \bar{x})$  is an automorphism of this ring. Denote by  $\text{Aut}_1\mathcal{C}$  the subgroup consisting of those automorphisms  $\varphi$  from  $\text{Aut}_0\mathcal{C}$ , for which

$$\varphi|_{\text{Mor}(\bar{x}, \bar{x})} = \text{id} \tag{17}$$

for all  $\bar{x} \in \bar{P}$ . Let  $\text{Out}_1\mathcal{C}$  be an image of this subgroup in  $\text{Out}\mathcal{C}$ . We shall first describe  $\text{Out}_1\mathcal{C}$ .

Recall that the order complex  $K(X)$  of a poset  $X$  is the simplicial complex, whose  $n$ -dimensional faces are the chains of length  $n$  in  $X$ . Let  $C^n(X, A)$ ,  $Z^n(X, A)$ ,  $B^n(X, A)$  and  $H^n(X, A)$  denote the groups of  $n$ -dimensional cochains, cocycles, coboundaries and cohomologies of the complex  $K(X)$  with the values in an abelian group  $A$ .

**Lemma 5.** *The group  $\text{Out}_1\mathcal{C}$  is isomorphic to  $H^1(\bar{P}, C(R)^*)$ , where  $C(R)^*$  is the multiplicative group of the central invertible elements of the ring  $R$ .*

*Proof.* Prove that  $\text{Aut}_1\mathcal{C} \cong Z^1(\bar{P}, C(R)^*)$  and  $\text{Aut}_1\mathcal{C} \cap \text{Inn}\mathcal{C}$  goes to  $B^1(\bar{P}, C(R)^*)$  under this isomorphism. Let  $\varphi \in \text{Aut}_1\mathcal{C}$ ,  $\bar{x}, \bar{y} \in \bar{P}$ ,  $\bar{x} \leq \bar{y}$ ,  $x' \sim x$ ,  $y' \sim y$ . Consider  $\delta_{x'y'} \in \text{Mor}(\bar{x}, \bar{y})$ , defined as follows:

$$\delta_{x'y'}(u, v) = \begin{cases} 1, & \text{if } u = x', v = y', \\ 0, & \text{otherwise.} \end{cases} \quad (18)$$

Note that

$$\delta_{x'x}\alpha_{\bar{x}\bar{y}}\delta_{yy'} = \alpha_{\bar{x}\bar{y}}(x, y)\delta_{x'y'} \quad (19)$$

for each  $\alpha_{\bar{x}\bar{y}} \in \text{Mor}(\bar{x}, \bar{y})$ . In particular,  $\delta_{x'x}\delta_{xy}\delta_{yy'} = \delta_{x'y'}$ . Apply  $\varphi$  to this equality. Since  $\delta_{x'x} \in \text{Mor}(\bar{x}, \bar{x})$  and  $\delta_{yy'} \in \text{Mor}(\bar{y}, \bar{y})$ , using (17) we obtain  $\delta_{x'x}\varphi(\delta_{xy})\delta_{yy'} = \varphi(\delta_{x'y'})$ . Therefore by (19) we have

$$\varphi(\delta_{x'y'}) = \sigma(\bar{x}, \bar{y})\delta_{x'y'} \quad (20)$$

for some  $\sigma(\bar{x}, \bar{y}) \in R$  and for all  $x' \in \bar{x}$ ,  $y' \in \bar{y}$ . Prove that  $\sigma(\bar{x}, \bar{y})$  belongs to the center of  $R$ . Indeed, for an arbitrary  $r \in R$  according to (18) and (19) we have

$$\varphi(r\delta_{xy}) = \varphi(r\delta_{xx}\delta_{xy}) = \varphi(r\delta_{xx})\varphi(\delta_{xy}) = r\delta_{xx}\sigma(\bar{x}, \bar{y})\delta_{xy} = r\sigma(\bar{x}, \bar{y})\delta_{xy}. \quad (21)$$

Similarly  $\varphi(r\delta_{xy}) = \varphi(\delta_{xy}r\delta_{yy}) = \sigma(\bar{x}, \bar{y})r\delta_{xy}$ . Therefore  $r\sigma(\bar{x}, \bar{y}) = \sigma(\bar{x}, \bar{y})r$ . Since  $r$  is arbitrary,  $\sigma(\bar{x}, \bar{y}) \in C(R)$ . Prove that  $\sigma(\bar{x}, \bar{y})$  is invertible. By (19)  $R\delta_{xy} = \delta_{xx}\text{Mor}(\bar{x}, \bar{y})\delta_{yy}$ . Hence  $\varphi(R\delta_{xy}) = R\delta_{xy}$ . This means that there is  $r \in R$ , such that  $\varphi(r\delta_{xy}) = \delta_{xy}$ . Then it follows from (21) that  $r\sigma(\bar{x}, \bar{y}) = 1$ . Since  $\sigma(\bar{x}, \bar{y}) \in C(R)$ ,  $r = \sigma(\bar{x}, \bar{y})^{-1}$ . So  $\sigma \in C^1(\bar{P}, C(R)^*)$ .

Prove that  $\sigma$  is actually a cocycle. Indeed, it is easy to see that  $\delta_{xy}\delta_{yz} = \delta_{xz}$  for arbitrary  $x \preceq y \preceq z$ . Hence by (20)  $\sigma(\bar{x}, \bar{y})\sigma(\bar{y}, \bar{z}) = \sigma(\bar{x}, \bar{z})$ . Now determine how  $\varphi$  acts on an arbitrary  $\alpha_{\bar{x}\bar{y}} \in \text{Mor}(\bar{x}, \bar{y})$ . According to (19),  $\varphi(\alpha_{\bar{x}\bar{y}})(x, y)\delta_{xy} = \delta_{xx}\varphi(\alpha_{\bar{x}\bar{y}})\delta_{yy}$ . By (17) the last product is

equal to  $\varphi(\delta_{xx}\alpha_{\bar{x}\bar{y}}\delta_{yy})$ . But  $\delta_{xx}\alpha_{\bar{x}\bar{y}}\delta_{yy} = \alpha_{\bar{x}\bar{y}}(x, y)\delta_{xy}$  and hence by (21)  $\varphi(\delta_{xx}\alpha_{\bar{x}\bar{y}}\delta_{yy}) = \alpha_{\bar{x}\bar{y}}(x, y)\sigma(\bar{x}, \bar{y})\delta_{xy}$ . Finally

$$\varphi(\alpha_{\bar{x}\bar{y}}) = \sigma(\bar{x}, \bar{y})\alpha_{\bar{x}\bar{y}}. \quad (22)$$

Conversely, each  $\sigma \in Z^1(\bar{P}, C(R)^*)$  defines an automorphism  $\varphi \in \text{Aut}_1\mathcal{C}$  with the help of (22). Obviously, the correspondence  $\varphi \leftrightarrow \sigma$  is bijective and agrees with the multiplication in  $\text{Aut}_1\mathcal{C}$  and  $Z^1(\bar{P}, C(R)^*)$ .

Now let  $\varphi \in \text{Aut}_1\mathcal{C} \cap \text{Inn}\mathcal{C}$  and  $\beta \in FI(P, R)$  be the corresponding diagonal invertible series. Take arbitrary  $\bar{x} \in P$ ,  $x', x'' \sim x$ . By (17) and the definition of the conjugation  $\beta_{\bar{x}\bar{x}}\delta_{x'x''} = \delta_{x'x''}\beta_{\bar{x}\bar{x}}$ . If  $x' \neq x''$ , then the value of the left-hand side of this equality at the segment  $[x', x'']$  obviously equals zero, while the value of the right-hand side equals  $\beta_{\bar{x}\bar{x}}(x', x'')$ . Since  $x', x''$  are the arbitrary elements of the class  $\bar{x}$ ,  $\beta_{\bar{x}\bar{x}}$  is a diagonal matrix for each  $\bar{x}$ . Furthermore,  $\beta_{\bar{x}\bar{x}}\delta_{x'x''} = \delta_{x'x''}\beta_{\bar{x}\bar{x}}$  implies  $\beta_{\bar{x}\bar{x}}(x', x') = \beta_{\bar{x}\bar{x}}(x'', x'')$ . Thus,  $\beta_{\bar{x}\bar{x}} = \lambda(\bar{x})\delta_{\bar{x}\bar{x}}$  for some function  $\lambda : \bar{P} \rightarrow R^*$ . Then  $\beta_{\bar{x}\bar{x}}\alpha_{\bar{x}\bar{y}}\beta_{\bar{y}\bar{y}}^{-1} = \lambda(\bar{x})\alpha_{\bar{x}\bar{y}}\lambda(\bar{y})^{-1}$ . Taking  $x = y$  and  $\alpha_{\bar{x}\bar{y}} = r\delta_{\bar{x}\bar{x}}$  by (17) we obtain  $\lambda(\bar{x})r = r\lambda(\bar{x})$ . Therefore,  $\lambda(\bar{x}) \in C(R)^*$ . Thus, a cocycle, corresponding to  $\varphi$ , satisfies  $\sigma(\bar{x}, \bar{y}) = \lambda(\bar{x})\lambda(\bar{y})^{-1}$ , i.e. it is a coboundary. Conversely, let  $\sigma(\bar{x}, \bar{y}) = \lambda(\bar{x})\lambda(\bar{y})^{-1}$  for some  $\lambda \in C^0(\bar{P}, C(R)^*)$ . Define  $\beta = \sum_{\bar{x} \in \bar{P}} \lambda(\bar{x})\delta_{\bar{x}\bar{x}}[\bar{x}, \bar{x}]$ . Then, obviously, the conjugation by  $\beta$  coincides with the action of  $\sigma$ .  $\square$

Denote by  $\text{Out}M_{\bar{x} \times \bar{x}}(R)$  the group of outer automorphisms of the ring  $M_{\bar{x} \times \bar{x}}(R)$ .

**Theorem 4.** *The following sequence of groups is exact:*

$$1 \rightarrow H^1(\bar{P}, C(R)^*) \rightarrow \text{Out}_0\mathcal{C} \rightarrow \prod_{\bar{x} \in \bar{P}} \text{Out}M_{\bar{x} \times \bar{x}}(R). \quad (23)$$

*Proof.* Define  $f : \text{Aut}_0\mathcal{C} \rightarrow \prod_{\bar{x} \in \bar{P}} \text{Aut}M_{\bar{x} \times \bar{x}}(R)$  as follows:

$$f(\varphi) = \{\varphi|_{\text{Mor}(\bar{x}, \bar{x})}\}_{\bar{x} \in \bar{P}}$$

for an arbitrary  $\varphi \in \text{Aut}_0\mathcal{C}$ . Obviously,  $f$  is a homomorphism, under which  $\text{Inn}\mathcal{C}$  goes to  $\prod_{\bar{x} \in \bar{P}} \text{Inn}M_{\bar{x} \times \bar{x}}(R)$ . Hence a mapping  $\bar{f} : \text{Out}_0\mathcal{C} \rightarrow$

$\prod_{\bar{x} \in \bar{P}} \text{Out}M_{\bar{x} \times \bar{x}}(R)$  is defined, namely  $\bar{f}(\varphi \cdot \text{Inn}\mathcal{C}) = f(\varphi) \cdot \prod_{\bar{x} \in \bar{P}} \text{Inn}M_{\bar{x} \times \bar{x}}(R)$ .

Moreover, the kernel of  $\bar{f}$  consists of those  $\varphi \cdot \text{Inn}\mathcal{C}$ , for which  $\varphi|_{\text{Mor}(\bar{x}, \bar{x})} = \text{id}$  for all  $\bar{x} \in \bar{P}$ . Therefore,  $\text{Ker } \bar{f}$  coincides with the group  $\text{Out}_1\mathcal{C}$ , which is isomorphic to  $H^1(\bar{P}, C(R)^*)$  by the previous lemma.  $\square$

**Corollary 1.** *Let  $P$  be an arbitrary quasiordered set,  $R$  an indecomposable unital ring, such that for any sets  $X$  and  $Y$  an isomorphism  $M_{X \times X}(R) \cong M_{Y \times Y}(R)$  implies  $|X| = |Y|$ . Then  $\text{Out}FI \cong \text{Out}_0\mathcal{C} \rtimes \text{Out}P$ , where  $\text{Out}_0\mathcal{C}$  belongs to the exact sequence (23).*

The description of the image of  $\text{Out}_0\mathcal{C}$  in  $\prod_{\bar{x} \in \bar{\mathcal{P}}} \text{Out}M_{\bar{x} \times \bar{x}}(R)$  seems to be difficult in general situation, so we shall restrict ourselves to one special case. Recall that the ring  $R$  is called local if  $R/\text{Rad}R$  is a division ring. In particular,  $R$  is indecomposable. Prove that  $R$  satisfies (15) in the case of finite  $X$  and  $Y$ . Denote by  $M_n(R)$  the ring of  $n \times n$  matrices over  $R$ . Suppose that  $M_n(R) \cong M_m(R)$ . Consider the matrix units  $\delta_{ij} \in M_n(R)$ ,  $i, j = 1, \dots, n$ . By definition  $\{\delta_{ii}\}_{i=1}^n$  is the decomposition of the unit of  $M_n(R)$ . Obviously,

$$\delta_{ii}M_n(R)\delta_{jj} = R\delta_{ij}. \tag{24}$$

In particular,  $\delta_{ii}M_n(R)\delta_{ii} \cong R$  is a local ring. Therefore,  $\delta_{ii}$  is completely primitive [5, p. 59, Definition 2] for all  $i$ . If  $\varphi : M_n(R) \rightarrow M_m(R)$  is an isomorphism, then  $\{\varphi(\delta_{ii})\}_{i=1}^n$  is the decomposition of the unit of  $M_m(R)$ , consisting of the completely primitive idempotents. But  $M_m(R)$  already has such decomposition of the unit of cardinality  $m$ . Then by [5, p. 59, Theorem 2]  $n = m$ .

Let  $\psi \in \text{Aut}R$ ,  $\alpha \in M_n(R)$ . Define

$$(\widehat{\psi}(\alpha))_{ij} = \psi(\alpha_{ij}). \tag{25}$$

Obviously,  $\widehat{\psi} \in \text{Aut}M_n(R)$ . It turns out that in the case when  $R$  is local, each automorphism of the ring  $M_n(R)$  can be represented as  $\widehat{\psi}$  up to conjugacy.

**Lemma 6.** *Let  $R$  be a local ring,  $\varphi \in \text{Aut}M_n(R)$ . Then there is a unique up to conjugacy in  $R$  automorphism  $\psi \in \text{Aut}R$  and an invertible matrix  $\beta \in M_n(R)$ , such that  $\varphi = \tau_\beta \widehat{\psi}$ , where  $\tau_\beta$  is the conjugation by  $\beta$  and  $\widehat{\psi}$  is defined by (25).*

*Proof.* Let  $\{\delta_{ij}\}_{i,j=1}^n$  be matrix units of the ring  $M_n(R)$ . According to the reasoning before the theorem,  $\{\delta_{ii}\}_{i=1}^n$  and  $\{\varphi(\delta_{ii})\}_{i=1}^n$  are two decompositions of the unit consisting of the completely primitive idempotents. By [5, p. 59, Theorem 2] there is an invertible matrix  $\beta_1 \in M_n(R)$ , such that  $\tau_{\beta_1}\varphi(\delta_{ii}) = \delta_{ii}$ . Therefore, by (24) there are  $\varphi_i \in \text{Aut}R$ , such that

$$\tau_{\beta_1}\varphi(r\delta_{ii}) = \varphi_i(r)\delta_{ii} \tag{26}$$

for an arbitrary  $r \in R$ . Since it is easy to show that  $\beta_1$  is determined up to the diagonal multiplier, each  $\varphi_i$  is determined up to the inner

automorphism of the ring  $R$ . According to (24) denote by  $\sigma_{ij}$  the element of the ring  $R$ , such that

$$\tau_{\beta_1}\varphi(\delta_{ij}) = \sigma_{ij}\delta_{ij}. \quad (27)$$

In particular,  $\sigma_{ii} = 1$ . Furthermore  $\delta_{ij}\delta_{jk} = \delta_{ik}$  implies  $\sigma_{ij}\sigma_{jk} = \sigma_{ik}$ . Therefore,  $\sigma_{ij}$  is invertible and

$$\sigma_{ij} = \sigma_{i1}\sigma_{j1}^{-1}. \quad (28)$$

Now take an arbitrary  $r \in R$  and consider  $r\delta_{i1}$ . By the definition of the matrix units  $r\delta_{ii}\delta_{i1} = \delta_{i1}r\delta_{i1}$  and hence  $\varphi_i(r)\sigma_{i1} = \sigma_{i1}\varphi_1(r)$ . Therefore,

$$\varphi_i = \tau_{\sigma_{i1}}\varphi_1. \quad (29)$$

Consider a diagonal matrix  $\beta_2 = \sum_{i=1}^n \sigma_{ii}\delta_{ii}$ . The equalities (26), (27), (28) and (29) imply

$$\begin{aligned} \tau_{\beta_1}\varphi(r\delta_{ij}) &= (\tau_{\beta_1}\varphi(r\delta_{ii}))(\tau_{\beta_1}\varphi(\delta_{ij})) = \varphi_i(r)\delta_{ii}\sigma_{ij}\delta_{ij} = \\ &= \sigma_{i1}\varphi_1(r)\sigma_{i1}^{-1}\sigma_{i1}\sigma_{j1}^{-1}\delta_{ij} = \sigma_{i1}\varphi_1(r)\sigma_{j1}^{-1}\delta_{ij} = \tau_{\beta_2}(\varphi_1(r)\delta_{ij}). \end{aligned}$$

Hence  $\tau_{\beta}\varphi(r\delta_{ij}) = \varphi_1(r)\delta_{ij}$ , where  $\beta = \beta_2^{-1}\beta_1$ . Take an arbitrary matrix  $\alpha \in M_n(R)$ . Since  $\delta_{ii}\alpha\delta_{jj} = \alpha_{ij}\delta_{ij}$ , we have

$$\delta_{ii}(\tau_{\beta}\varphi(\alpha))\delta_{jj} = \tau_{\beta}\varphi(\delta_{ii}\alpha\delta_{jj}) = \tau_{\beta}\varphi(\alpha_{ij}\delta_{ij}) = \varphi_1(\alpha_{ij})\delta_{ij}$$

and hence

$$(\tau_{\beta}\varphi(\alpha))_{ij} = \varphi_1(\alpha_{ij}).$$

Thus,  $\varphi = \tau_{\beta^{-1}}\widehat{\varphi}_1$ . □

**Corollary 2.** *Let  $R$  be a local ring. Then  $\text{Out}M_n(R) \cong \text{Out}R$ .*

*Proof.* Let  $\varphi \in \text{Aut}M_n(R)$ . According to the previous lemma,  $\varphi = \tau_{\beta}\widehat{\psi}$  and  $\psi$  is defined up to conjugacy in  $R$ . Put  $f(\varphi \cdot \text{Inn}M_n(R)) = \psi \cdot \text{Inn}R$ . Obviously,  $f$  is defined correctly and it is a homomorphism of the groups  $\text{Out}M_n(R)$  and  $\text{Out}R$ . Prove that  $f$  is a monomorphism. Indeed, if  $\psi$  is an inner automorphism of  $R$ , then  $\widehat{\psi}$  is a conjugation in  $M_n(R)$  by a scalar matrix and  $\varphi \in \text{Inn}M_n(R)$ . The surjectivity of  $f$  is obvious, because  $f(\widehat{\psi} \cdot \text{Inn}M_n(R)) = \psi \cdot \text{Inn}R$ . □

**Theorem 5.** *Let  $R$  be a local ring,  $P$  a quasiordered set whose classes are finite,  $P = \bigcup_{i \in I} P_i$  the decomposition of  $P$  into the disjoint union of the connected components. Then the group  $\text{Out}_0\mathcal{C}$  is isomorphic to the semidirect product  $H^1(\overline{P}, C(R)^*) \rtimes \prod_{i \in I} \text{Out}R$ .*

*Proof.* Let  $\varphi \in \text{Aut}_0\mathcal{C}$ . Applying Lemma 6 to  $\varphi|_{\text{Mor}(\bar{x},\bar{x})}$  for each  $\bar{x} \in \bar{P}$ , we obtain the representation of  $\varphi|_{\text{Mor}(\bar{x},\bar{x})}$  as  $\tau_{\beta_{\bar{x}\bar{x}}}\widehat{\varphi}_{\bar{x}}$ , where  $\beta_{\bar{x}\bar{x}} \in M_{\bar{x} \times \bar{x}}(R)$  is an invertible matrix,  $\varphi_{\bar{x}} \in \text{Aut}R$  and  $\widehat{\varphi}_{\bar{x}}$  is given by (25). Let  $\beta = \sum_{\bar{x} \in \bar{P}} \beta_{\bar{x}\bar{x}}[\bar{x}, \bar{x}]$ . Then  $\beta \in FI(\mathcal{C})$  is a diagonal invertible series, such that  $(\tau_{\beta^{-1}}\varphi)|_{\text{Mor}(\bar{x},\bar{x})} = \widehat{\varphi}_{\bar{x}}$  for all  $\bar{x} \in \bar{P}$  (here  $\tau_{\beta^{-1}}$  means the inner automorphism of  $\mathcal{C}(P, R)$  corresponding to  $\beta^{-1}$ ). Thus, we can assume up to the conjugation by  $\beta$  that

$$\varphi(\alpha_{\bar{x}\bar{x}})(x', x'') = \varphi_{\bar{x}}(\alpha_{\bar{x}\bar{x}}(x', x'')) \quad (30)$$

for any  $x', x'' \in \bar{x}$ ,  $\alpha_{\bar{x}\bar{x}} \in \text{Mor}(\bar{x}, \bar{x})$ . Take  $x \prec y$  and show that  $\varphi_{\bar{x}}$  differs from  $\varphi_{\bar{y}}$  by an inner automorphism of the ring  $R$ . Since by (30)  $\varphi(\delta_{x'x''}) = \delta_{x'x''}$  and  $\varphi(\delta_{y'y''}) = \delta_{y'y''}$  for any  $x', x'' \in \bar{x}$ ,  $y', y'' \in \bar{y}$ , we obtain as in the proof of the Lemma 5 that  $\varphi(\delta_{x'y''}) = \sigma(\bar{x}, \bar{y})\delta_{x'y''}$  for some constant  $\sigma(\bar{x}, \bar{y}) \in R$ , which depends only on the classes  $\bar{x}$  and  $\bar{y}$ . Therefore,  $\varphi_{\bar{x}}(r)\sigma(\bar{x}, \bar{y})\delta_{xy} = \varphi(r\delta_{xy}) = \sigma(\bar{x}, \bar{y})\varphi_{\bar{y}}(r)\delta_{xy}$  for any  $r \in R$ . These equalities guarantee the invertibility of  $\sigma(\bar{x}, \bar{y})$ , because it follows from (30) that  $\varphi(R\delta_{xy}) = R\delta_{xy}$  (it is sufficient to take  $r$ , such that  $\varphi(r\delta_{xy}) = \delta_{xy}$ ). Thus,  $\varphi_{\bar{x}} = \tau_{\sigma(\bar{x}, \bar{y})}\varphi_{\bar{y}}$ .

Choose  $x_i \in P_i$  for each  $i \in I$  and put  $g(\varphi \cdot \text{Inn}\mathcal{C}) = \{\varphi_{\bar{x}_i} \cdot \text{Inn}R\}_{i \in I}$ . Our reasoning shows that  $g$  is defined correctly and it is a homomorphism of the groups  $\text{Out}_0\mathcal{C}$  and  $\prod_{i \in I} \text{Out}R$  with the kernel  $\text{Out}_1\mathcal{C}$  which is isomorphic to  $H^1(\bar{P}, C(R)^*)$ . It remains only to build an embedding  $h : \prod_{i \in I} \text{Out}R \rightarrow \text{Out}_0\mathcal{C}$ , such that  $gh = \text{id}_{\prod_{i \in I} \text{Out}R}$ . Let  $\varphi_i \in \text{Aut}R$ ,  $i \in I$ . For arbitrary  $\bar{x} \leq \bar{y}$ ,  $x, y \in P_i$  and  $\alpha_{\bar{x}\bar{y}} \in \text{Mor}(\bar{x}, \bar{y})$  define  $\widehat{\varphi}(\alpha_{\bar{x}\bar{y}}) \in \text{Mor}(\bar{x}, \bar{y})$  as follows:

$$\widehat{\varphi}(\alpha_{\bar{x}\bar{y}})(x', y') = \varphi_i(\alpha_{\bar{x}\bar{y}}(x', y')), \quad (31)$$

where  $x' \in \bar{x}$ ,  $y' \in \bar{y}$ . It is easy to see that  $\widehat{\varphi} \in \text{Aut}_0\mathcal{C}$ , moreover, if all  $\varphi_i$  are inner, then  $\widehat{\varphi}$  is also inner. Therefore,  $h(\{\varphi_i \cdot \text{Inn}R\}_{i \in I}) = \widehat{\varphi} \cdot \text{Inn}\mathcal{C}$  is defined. Obviously,  $h$  is a homomorphism. Suppose that  $\widehat{\varphi} \in \text{Inn}\mathcal{C}$ , i. e.  $\widehat{\varphi}(\alpha_{\bar{x}\bar{y}}) = \gamma_{\bar{x}\bar{x}}\alpha_{\bar{x}\bar{y}}\gamma_{\bar{y}\bar{y}}^{-1}$  for any  $\bar{x} \leq \bar{y}$ . Since  $\widehat{\varphi}(\delta_{x'x''}) = \delta_{x'x''}$  for all  $x', x'' \in \bar{x}$ ,  $\gamma_{\bar{x}\bar{x}}$  is a scalar matrix, similarly so is  $\gamma_{\bar{y}\bar{y}}$ . Furthermore, since  $\widehat{\varphi}(\delta_{x'y'})$  is by definition equal to  $\delta_{x'y'}$ ,  $\gamma_{\bar{x}\bar{x}}(x', x') = \gamma_{\bar{y}\bar{y}}(y', y')$ . Therefore,  $\gamma_{\bar{x}\bar{x}} = s_i\delta_{\bar{x}\bar{x}}$ ,  $\gamma_{\bar{y}\bar{y}} = s_i\delta_{\bar{y}\bar{y}}$  for some  $s_i \in R^*$ . Thus,  $\widehat{\varphi}(\alpha_{\bar{x}\bar{y}})(x', y') = \tau_{s_i}(\alpha_{\bar{x}\bar{y}}(x', y'))$ , where  $s_i$  depends only on the connected component  $P_i$ , which contains  $x$  and  $y$ . This means that  $h$  is a monomorphism. Obviously,  $g(\widehat{\varphi} \cdot \text{Inn}\mathcal{C}) = \{\varphi_i \cdot \text{Inn}R\}_{i \in I}$ .  $\square$

**Corollary 3.** *Let  $R$  be a local ring,  $P$  a quasiordered set whose classes are finite,  $P = \bigcup_{i \in I} P_i$  the decomposition of  $P$  into the disjoint union*



of the connected components. Then the group  $\text{OutFI}$  is isomorphic to  $(H^1(\overline{P}, C(R)^*) \times \prod_{i \in I} \text{Out}R) \times \text{Out}P$ .

Recall that the restriction (15) on  $R$  was imposed in order to assert that the isomorphism  $M_{\varphi(\bar{x}) \times \varphi(\bar{x})}(R) \cong M_{\bar{x} \times \bar{x}}(R)$ , where  $\varphi \in \text{Aut}C$ , implies the equality  $|\varphi(\bar{x})| = |\bar{x}|$ . Suppose that  $P$  is partially ordered. Then  $x \sim y$  iff  $x = y$ , i. e. all the equivalence classes under  $\sim$  are one-element,  $\overline{P} = P$  and  $\text{Out}P = \text{Aut}P$ . Therefore, we don't need to require (15). Furthermore, since  $M_{\bar{x} \times \bar{x}}(R) = R$  for all  $\bar{x}$ ,  $\varphi|_{\text{Mor}(\bar{x}, \bar{x})} \in \text{Aut}R$  and the Theorem 5 can be proved without using the Lemma 6. Thus, in the case of the partial order we can refuse the locality of  $R$ .

**Remark 3.** Let  $P$  be a partially ordered set,  $R$  an indecomposable ring,  $P = \bigcup_{i \in I} P_i$  the decomposition of  $P$  into the disjoint union of the connected components. Then the group  $\text{OutFI}$  is isomorphic to  $(H^1(P, C(R)^*) \times \prod_{i \in I} \text{Out}R) \times \text{Aut}P$ .

#### 4. $C(R)$ -automorphisms of the ring $FI(P, R)$

Let  $A$  be a unital algebra over a commutative ring  $K$ ,  $\text{Aut}A$  denote the group of its ring automorphisms,  $\text{Inn}A$  be a subgroup of inner automorphisms,  $\text{Out}A = \text{Aut}A/\text{Inn}A$ . We say that an automorphism  $\varphi \in \text{Aut}A$  is a  $K$ -automorphism, if it agrees with the structure of  $K$ -algebra.  $K$ -automorphisms form a subgroup of  $\text{Aut}A$ , which we denote by  $K\text{-Aut}A$ . Note that an automorphism  $\varphi$  belongs to  $K\text{-Aut}A$  iff  $\varphi(k \cdot 1) = k \cdot 1$  for all  $k \in K$ . Since  $K$  is commutative,  $\text{Inn}A \subset K\text{-Aut}A$  and hence the group  $K\text{-Out}A = K\text{-Aut}A/\text{Inn}A$  is defined.

Now let  $P$  be a quasiordered set,  $R$  an arbitrary associative unital ring. Put  $K = C(R)$ . Then both  $R$  and  $FI(P, R)$  are  $K$ -algebras. Therefore, the groups  $K\text{-Aut}R$ ,  $K\text{-Out}R$ ,  $K\text{-Aut}FI := K\text{-Aut}FI(P, R)$ ,  $K\text{-Out}FI := K\text{-Out}FI(P, R)$  are defined. By the Theorem 1 we can identify  $K\text{-Out}FI$  with the subgroup of  $\text{Out}C$ , which we shall denote by  $K\text{-Out}C$ . It is easy to see that  $K\text{-Out}C$  consists of the cosets  $\varphi \cdot \text{Inn}C$ , where  $\varphi(k\delta_{\bar{x}\bar{x}}) = k\delta_{\varphi(\bar{x})\varphi(\bar{x})}$  for all  $\bar{x} \in \overline{P}$  and  $k \in K$  (recall that  $\delta_{\bar{x}\bar{x}}$  denotes the identity matrix in the ring  $M_{\bar{x} \times \bar{x}}(R) = \text{Mor}(\bar{x}, \bar{x})$ ). In this section we describe  $K\text{-Out}FI$  in the case when the classes of  $P$  are finite and  $R$  is local.

**Lemma 7.** Let  $R$  be a local ring,  $P$  a quasiordered set whose classes are finite,  $P = \bigcup_{i \in I} P_i$  the decomposition of  $P$  into the disjoint union of the connected components,  $f : (H^1(\overline{P}, K^*) \times \prod_{i \in I} \text{Out}R) \times \text{Out}P \rightarrow \text{Out}C$  the isomorphism from the previous section. Then

1.  $f(H^1(\overline{P}, K^*)) \subset K\text{-Out}\mathcal{C}$ ,
2.  $f(\prod_{i \in I} \text{Out}R) \cap K\text{-Out}\mathcal{C} = f(\prod_{i \in I} K\text{-Out}R)$ ,
3.  $f(\text{Out}P) \subset K\text{-Out}\mathcal{C}$ .

*Proof.* Let  $\sigma \in Z^1(\overline{P}, K^*)$ . Then  $f(\sigma \cdot B^1(\overline{P}, K^*)) = \widehat{\sigma} \cdot \text{Inn}\mathcal{C}$ , where for an arbitrary  $\alpha_{\overline{x}\overline{y}} \in \text{Mor}(\overline{x}, \overline{y})$  the value of  $\widehat{\sigma}(\alpha_{\overline{x}\overline{y}})$  is defined by the right-hand side of (22). Since  $\sigma(\overline{x}, \overline{x}) = 1$  for any  $\overline{x} \in \overline{P}$ ,  $\widehat{\sigma}(k\delta_{\overline{x}\overline{x}}) = k\delta_{\overline{x}\overline{x}}$  for all  $k \in K$ . Thus,  $f(H^1(\overline{P}, K^*)) \subset K\text{-Out}\mathcal{C}$ .

Now let  $\{\varphi_i \cdot \text{Inn}R\}_{i \in I} \in \prod_{i \in I} \text{Out}R$ . Then  $f(\{\varphi_i \cdot \text{Inn}R\}_{i \in I}) = \widehat{\varphi} \cdot \text{Inn}\mathcal{C}$ , where  $\widehat{\varphi}$  is given by means of (31). Therefore,  $\widehat{\varphi}(k\delta_{\overline{x}\overline{x}}) = \varphi_i(k)\delta_{\overline{x}\overline{x}}$  for any  $x \in P_i$ ,  $k \in K$ . Hence  $\widehat{\varphi} \cdot \text{Inn}\mathcal{C} \in K\text{-Out}\mathcal{C}$  iff  $\varphi_i \cdot \text{Inn}R \in K\text{-Out}R$  for all  $i \in I$ . In other words,  $f(\prod_{i \in I} \text{Out}R) \cap K\text{-Out}\mathcal{C} = f(\prod_{i \in I} K\text{-Out}R)$ .

Consider  $\psi \in \text{Aut}P$ . An image  $f(\psi \cdot \text{Inn}P)$  is a coset  $\widehat{\psi} \cdot \text{Inn}\mathcal{C}$ , where  $\widehat{\psi}$  is defined by the equation (16). Take  $k \in K$  and note that  $\widehat{\psi}(k\delta_{\overline{x}\overline{x}})(x', x'') = k\delta(\psi^{-1}(x'), \psi^{-1}(x''))$ , where  $x', x'' \in \overline{x}$ . If  $x' = x''$ , then  $\widehat{\psi}(k\delta_{\overline{x}\overline{x}})(x', x'') = k$ , otherwise,  $\widehat{\psi}(k\delta_{\overline{x}\overline{x}})(x', x'') = 0$ . Therefore,  $\widehat{\psi}(k\delta_{\overline{x}\overline{x}}) = k\delta_{\widehat{\psi}(\overline{x})\widehat{\psi}(\overline{x})}$  and  $f(\text{Out}P) \subset K\text{-Out}\mathcal{C}$ .  $\square$

**Theorem 6.** *Let  $R$  be a local ring,  $P$  a quasiordered set whose classes are finite,  $P = \bigcup_{i \in I} P_i$  the decomposition of  $P$  into the disjoint union of the connected components. Then the group  $K\text{-Out}FI$  is isomorphic to the semidirect product  $(H^1(\overline{P}, K^*) \rtimes \prod_{i \in I} K\text{-Out}R) \rtimes \text{Out}P$ .*

*Proof.* Identify  $K\text{-Out}FI$  with  $K\text{-Out}\mathcal{C} \subset \text{Out}\mathcal{C}$ . Let  $f : (H^1(\overline{P}, K^*) \rtimes \prod_{i \in I} \text{Out}R) \rtimes \text{Out}P \rightarrow \text{Out}\mathcal{C}$  be the isomorphism from the previous section.

Recall that the image of  $H^1(\overline{P}, K^*) \rtimes \prod_{i \in I} \text{Out}R$  under  $f$  coincides with  $\text{Out}_0\mathcal{C}$ . Denote the subgroup  $\text{Out}_0\mathcal{C} \cap K\text{-Out}\mathcal{C}$  by  $K\text{-Out}_0\mathcal{C}$ . We shall prove that  $K\text{-Out}\mathcal{C} = K\text{-Out}_0\mathcal{C} \rtimes f(\text{Out}P)$  and  $K\text{-Out}_0\mathcal{C} = f(H^1(\overline{P}, K^*) \rtimes f(\prod_{i \in I} K\text{-Out}R))$ .

Consider an arbitrary  $\chi \in \text{Out}\mathcal{C}$ . Then  $[\chi] = [\widehat{\sigma}\widehat{\varphi}\widehat{\psi}]$ , where  $\widehat{\sigma}, \widehat{\varphi}, \widehat{\psi} \in \text{Aut}\mathcal{C}$  are the isomorphisms from the previous lemma, namely  $[\widehat{\sigma}] \in f(H^1(\overline{P}, K^*))$ ,  $[\widehat{\varphi}] \in f(\prod_{i \in I} \text{Out}R)$ ,  $[\widehat{\psi}] \in f(\text{Out}P)$  (here and below the square brackets mean that we consider the coset of the subgroup  $\text{Inn}\mathcal{C}$ ). By the previous lemma  $[\widehat{\sigma}], [\widehat{\psi}] \in K\text{-Out}\mathcal{C}$ . Therefore,  $\chi(k\delta_{\overline{x}\overline{x}}) = \widehat{\varphi}(k\delta_{\overline{x}\overline{x}})$ . Hence  $[\chi] \in K\text{-Out}\mathcal{C}$  iff  $[\widehat{\varphi}] \in K\text{-Out}\mathcal{C}$ . According to the second statement of the previous lemma this is equivalent to  $[\widehat{\varphi}] \in f(\prod_{i \in I} K\text{-Out}R)$ .

Thus,  $K\text{-Out}\mathcal{C} = f(H^1(\overline{P}, K^*))f(\prod_{i \in I} K\text{-Out}R)f(\text{Out}P)$ . Similar reasoning shows that  $K\text{-Out}_0\mathcal{C} = f(H^1(\overline{P}, K^*))f(\prod_{i \in I} K\text{-Out}R)$ . Furthermore, note that the intersection of  $f(H^1(\overline{P}, K^*))$  and  $f(\prod_{i \in I} K\text{-Out}R)$  is trivial, because  $f(H^1(\overline{P}, K^*)) \cap f(\prod_{i \in I} \text{Out}R) = \{1\}$ , and similarly  $K\text{-Out}_0\mathcal{C} \cap f(\text{Out}P) = \{1\}$ . Hence it is sufficient to prove that  $f(H^1(\overline{P}, K^*))$  is normal in  $K\text{-Out}_0\mathcal{C}$  and  $K\text{-Out}_0\mathcal{C}$  is normal in  $K\text{-Out}\mathcal{C}$ . The first assertion is obvious: since  $f(H^1(\overline{P}, K^*))$  is normal in  $\text{Out}_0\mathcal{C}$ , it will be normal in its subgroup  $K\text{-Out}_0\mathcal{C}$ . For the proof of the second assertion consider  $[\varphi] \in K\text{-Out}_0\mathcal{C}$  and conjugate it by  $[\psi] \in K\text{-Out}\mathcal{C}$ . The result of the conjugation belongs to  $\text{Out}_0\mathcal{C} \cap K\text{-Out}\mathcal{C}$ , because  $\text{Out}_0\mathcal{C}$  is normal in  $\text{Out}\mathcal{C}$ . But by definition  $\text{Out}_0\mathcal{C} \cap K\text{-Out}\mathcal{C} = K\text{-Out}_0\mathcal{C}$  and hence  $K\text{-Out}_0\mathcal{C}$  is normal in  $K\text{-Out}\mathcal{C}$ .  $\square$

If  $P$  is partially ordered, then, as in the Remark 3, it is sufficient to require that  $R$  is indecomposable.

**Remark 4.** Let  $P$  be a partially ordered set,  $R$  an indecomposable ring,  $P = \bigcup_{i \in I} P_i$  the decomposition of  $P$  into the disjoint union of the connected components. Then the group  $K\text{-Out}FI$  is isomorphic to  $(H^1(P, K^*) \times \prod_{i \in I} K\text{-Out}R) \rtimes \text{Aut}P$ .

If  $R$  is a simple algebra, finite-dimensional over its center, then by Skolem-Noether theorem  $K\text{-Out}R = 1$  and hence  $K\text{-Out}FI$  is isomorphic to  $H^1(\overline{P}, K^*) \rtimes \text{Out}P$ . Thus we obtain a generalization of [9, Theorem 2] and [2, Theorem 5]. In the case when  $P$  has 0 or 1,  $H^1(\overline{P}, K^*) = 1$  and  $K\text{-Out}FI \cong \text{Out}P$ . This generalizes [8, Theorem 1.2].

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