

## Cross-cap singularities counted with sign

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**ABSTRACT.** A method for computing the algebraic number of cross-cap singularities for mapping from  $m$ -dimensional compact manifold with boundary  $M \subset \mathbb{R}^m$  into  $\mathbb{R}^{2m-1}$ ,  $m$  is odd, is presented. As an application, the intersection number of an immersion  $g: S^{m-1}(r) \rightarrow \mathbb{R}^{2m-2}$  is described as the algebraic number of cross-caps of a mapping naturally associated with  $g$ .

### Introduction

Mappings from the  $m$ -dimensional, smooth, orientable manifold  $M$  into  $\mathbb{R}^{2m-1}$  are natural object of study. In [9], Whitney described typical mappings from  $M$  into  $\mathbb{R}^{2m-1}$ . Those mappings have only isolated critical points, called cross-caps (or Whintey umbrellas).

According to [1, Theorem 4.6], [11, Lemma 2], a mapping  $M \rightarrow \mathbb{R}^{2m-1}$  has a cross-cap at  $p \in M$ , if and only if in the local coordinate system near  $p$  this mapping has the form

$$(x_1, \dots, x_m) \mapsto (x_1^2, x_2, \dots, x_m, x_1x_2, \dots, x_1x_m).$$

In [11], for  $m$  odd, Whitney presented a method to associate a sign with a cross-cap. Put  $\zeta(f)$  to be an algebraic sum of cross-caps of  $f: M \rightarrow \mathbb{R}^{2m-1}$ , where  $M$  is  $m$ -dimensional compact orientable manifold. Then according to Whitney, [11, Theorem 3],  $\zeta(f) = 0$ , if  $M$  is closed. If  $M$

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has a boundary, then following Whitney, [11, Theorem 4], for a homotopy  $f_t : M \rightarrow \mathbb{R}^{2m-1}$  regular in some open neighbourhood of  $\partial M$ , if the only singular points of  $f_0$  and  $f_1$  are cross-caps, then  $\zeta(f_0) = \zeta(f_1)$ . Moreover arbitrarily close to any mapping  $h : M \rightarrow \mathbb{R}^{2m-1}$ , there is mapping regular near boundary, with only cross-caps as singular points (see [11]). In the case where  $m$  even, it is impossible to associate sign with cross-cap in the same way as in the odd case, but if  $m$  is even, it is enough to consider number of cross-caps mod 2, to get similar results (see [11]).

In [6], the authors studied a mapping  $\alpha$  from a compact and oriented  $(n - k)$ -manifold  $M$  into the Stiefel manifold  $\tilde{V}_k(\mathbb{R}^n)$ , for  $n - k$  even. They constructed a mapping  $\tilde{\alpha} : S^{k-1} \times M \rightarrow \mathbb{R}^n \setminus \{0\}$  associated with  $\alpha$ , and defined  $\Lambda(\alpha)$  as half of topological degree of  $\tilde{\alpha}$ . In case  $M = S^{n-k}$ , they showed that  $\Lambda(\alpha)$  corresponds with the class of  $\alpha$  in  $\pi_{n-k} \tilde{V}_k(\mathbb{R}^n) \simeq \mathbb{Z}$ . According to [6], in the case where  $M \subset \mathbb{R}^{n-k+1}$  is an algebraic hypersurface and  $\alpha$  is polynomial, with some additional assumptions concerning  $M$  and  $\alpha$ ,  $\Lambda(\alpha)$  can be presented as a sum of signatures of two quadratic forms defined on  $\mathbb{R}[x_1, \dots, x_{n-k+1}]$ . And so, easily computed.

In this paper we prove that in the case where  $m$  is odd, for  $f : (M, \partial M) \rightarrow \mathbb{R}^{2m-1}$ , where  $M \subset \mathbb{R}^m$ ,  $\zeta(f)$  can be expressed as  $\Lambda(\alpha)$ , for some  $\alpha$  associated with  $f$ . And so, with some additional assumptions concerning  $M$  and  $f$ ,  $\zeta(f)$  can be easily computed for polynomial mapping  $f$ . Moreover we present a method that can be used to check effectively that  $f$  has only cross-caps as singular points. In case when  $m$  is even, the effective method to compute number of cross-caps modulo 2 is presented in [5].

Take a smooth map  $g : \mathbb{R}^m \rightarrow \mathbb{R}^{2m-2}$ , let us assume that  $g|_{S^{m-1}}$  is an immersion. In [10], Whitney introduced the intersection number  $I(g|_{S^{m-1}})$  of immersion  $g|_{S^{m-1}}$ . In this paper we show that  $I(g|_{S^{m-1}})$ , can be presented as an algebraic sum of cross-caps of the mapping  $(\omega, g)|_{\bar{B}^m}$ , where  $\omega$  is sum of squares of coordinates.

Take  $f : (\mathbb{R}^m, 0) \rightarrow \mathbb{R}^{2m-1}$  with cross-cap at 0. In [3], Ikegami and Saeki defined the sign of a cross-cap singularity for mapping  $f$  as the intersection number of immersion  $f|_S : S = f^{-1}(S^{2m-2}(\epsilon)) \rightarrow S^{2m-2}(\epsilon)$ , for  $\epsilon$  small enough. It is easy to see that this definition complies with Whitney definition from [11]. In [3], the authors showed that for generic map (in sense of [3])  $g : (\mathbb{R}^m, 0) \rightarrow \mathbb{R}^{2m-1}$ , the number of cross-caps appearing in a  $C^\infty$  stable perturbation of  $g$ , counted with signs, is an invariant of the topological  $\mathcal{A}_+$ -equivalence class of  $g$ , and is equal to the intersection number of  $g|_S : S = g^{-1}(S^{2m-2}(\epsilon)) \rightarrow S^{2m-2}(\epsilon)$ . Using our methods, this number can be easily computed for polynomial mappings.

We use notation  $S^n(r)$ ,  $B^n(r)$ ,  $\bar{B}^n(r)$  for sphere, open ball, closed ball (resp.) centred at the origin of radius  $r$  and dimension  $n$ . If we omit symbol  $r$ , we assume that  $r = 1$ .

### 1. Cross-cap singularities

Let  $M, N$  be smooth manifolds. Take a smooth mapping  $f : M \rightarrow N$ .

**Lemma 1.** *Let  $W$  be a submanifold of  $N$ . Take  $p \in M$  such that  $f(p) \in W$ . Let us assume that there is a neighbourhood  $U$  of  $f(p)$  in  $N$  and a smooth mapping  $\phi : U \rightarrow \mathbb{R}^s$  such that  $\text{rank } D\phi(f(p)) = k = \text{codim } W$  and  $W \cap U = \phi^{-1}(0)$ . Then  $f \pitchfork W$  at  $p$  if and only if  $\text{rank } D(\phi \circ f)(p) = k$ .*

*Proof.* Of course  $\text{Ker } D\phi(f(p)) = T_{f(p)}W$ , and so we get  $\dim T_{f(p)}N = \dim \text{Ker } D\phi(f(p)) + k$ . Then:

$$\begin{aligned} f \pitchfork W \text{ at } p &\iff T_{f(p)}N = T_{f(p)}W + Df(p)T_pM \\ &\iff T_{f(p)}N = \text{Ker } D\phi(f(p)) + Df(p)T_pM. \end{aligned}$$

The above equality holds if and only if there exist vectors  $v_1, \dots, v_k$  in  $Df(p)T_pM$ , such that any nontrivial combination of  $v_1, \dots, v_k$  is outside the  $\text{Ker } D\phi(f(p))$  and so  $\text{rank } D\phi(f(p)) [v_1 \dots v_k] = k$ . We get that  $f \pitchfork W$  at  $p$  if and only if  $\text{rank } D(\phi \circ f)(p) = k$ . □

By  $j^1f$  we mean the canonical mapping associated with  $f$ , from  $M$  into the spaces of 1-jets  $J^1(M, N)$ . We say that  $f : M \rightarrow N$  is 1-generic, if  $j^1f \pitchfork S_r$ , for  $r \geq 0$ , where  $S_r = \{\sigma \in J^1(M, N) \mid \text{corank } \sigma = r\}$ . Put  $S_r(f) = \{x \in M \mid \text{corank } Df(p) = r\} = (j^1f)^{-1}(S_r)$ .

Let us assume that  $M$  and  $N$  are manifolds of dimension  $m$  and  $2m - 1$  respectively. In this case (see [1])  $\text{codim } S_r = r^2 + r(m - 1)$ , and so  $\text{codim } S_1 = m$  and  $\text{codim } S_r > m$ , for  $r \geq 2$ . So  $f$  is 1-generic if and only if  $f \pitchfork S_1$  and  $S_r(f) = \emptyset$  for  $r \geq 2$ . The typical singularity for mapping  $f : M \rightarrow N$  is a cross-cap singularity. Following [9], [11], [1] we present equivalent definitions of a cross-cap.

**Definition 1.** A point  $p$  is a cross-cap of a mapping  $f : M \rightarrow N$  if the following equivalent conditions are fulfilled:

- 1)  $p \in S_1(f)$  and  $j^1f \pitchfork S_1$  at  $p$ ;
- 2) there are coordinate systems near  $p$  and  $f(p)$ , such that

$$\frac{\partial f}{\partial x_1}(p) = 0 \tag{1}$$

and vectors

$$\frac{\partial^2 f}{\partial x_1^2}(p), \frac{\partial f}{\partial x_2}(p), \dots, \frac{\partial f}{\partial x_m}(p), \frac{\partial^2 f}{\partial x_1 \partial x_2}(p), \dots, \frac{\partial^2 f}{\partial x_1 \partial x_m}(p) \quad (2)$$

are linearly independent;

- 3) there are coordinate systems near  $p$  and  $f(p)$  such that the mapping  $f$  has the form

$$(x_1, \dots, x_m) \mapsto (x_1^2, x_2, \dots, x_m, x_1 x_2, \dots, x_1 x_m).$$

According to [9, Section 2], if  $p$  is a cross-cap singularity and (1) holds, then vectors (2) are linearly independent.

Take  $f = (f_1, \dots, f_{2m-1}) : \mathbb{R}^m \rightarrow \mathbb{R}^{2m-1}$ . Put  $\mu : \mathbb{R}^m \rightarrow \mathbb{R}^s$  such that  $\mu(x)$  is given by all the  $m$ -minors of  $Df(x)$ . Of course  $s = \binom{2m-1}{m}$ .

**Lemma 2.** *A point  $p \in \mathbb{R}^m$  is a cross-cap singularity of  $f$  if and only if  $\text{rank } Df(p) = m - 1$  and  $\text{rank } D\mu(p) = m$ .*

*Proof.* A point  $p$  is a cross-cap singularity if and only if  $p \in S_1(f)$  and  $j^1 f \pitchfork S_1$  at  $p$ . Note that  $p \in S_1(f)$  if and only if  $\text{rank } Df(p) = m - 1$ .

Of course  $J^1(\mathbb{R}^m, \mathbb{R}^{2m-1}) \cong \mathbb{R}^m \times \mathbb{R}^{2m-1} \times M(2m-1, m)$ , where  $M(2m-1, m)$  is a space of real matrices of dimension  $(2m-1) \times m$ . Take an open neighbourhood  $U$  of  $j^1 f(p)$  in  $J^1(\mathbb{R}^m, \mathbb{R}^{2m-1})$ , and a mapping

$$\phi : U \rightarrow \mathbb{R}^s,$$

where  $\phi(x, y, [a_{ij}])$  is given by all  $m$ -minors of  $[a_{ij}]$ . We may assume that

$$\det \frac{\partial(f_1, \dots, f_{m-1})}{\partial(x_1, \dots, x_{m-1})}(p) \neq 0.$$

Put  $A = [a_{ij}]_{1 \leq i, j \leq m-1}$  the submatrix of  $[a_{ij}]$ , then for  $U$  small enough,  $\det A \neq 0$ . Let  $M_i$  be the determinant of submatrix of  $[a_{ij}]$  composed of first  $m-1$  rows and row number  $(m+i-1)$ , for  $i = 1, \dots, m$ . Then

$$M_i = (-1)^{2m-1+i} \det A \cdot a_{m+i-1, m} + b_i,$$

for  $i = 1, \dots, m$  and  $b_i$  does not depend on  $a_{mm}, \dots, a_{2m-1, m}$ , and so

$$\text{rank} \frac{\partial(M_1, \dots, M_m)}{\partial(a_{m, m}, \dots, a_{2m-1, m})} = m.$$

We get that

$$\text{rank } D\phi(j^1 f(p)) \geq m.$$

Let us recall that  $\text{codim } S_1 = m$ . We can choose  $U$  small enough such that

$$\phi^{-1}(0) = U \cap S_1.$$

So we get that  $\text{rank } D\phi(j^1 f(p)) = \text{codim } S_1 = m$ . Of course  $\phi \circ j^1 f = \mu$  in the small neighbourhood of  $p$ . According to Lemma 1,  $j^1 f \pitchfork S_1$  at  $p$  if and only if  $\text{rank } D\mu(p) = m$ . □

## 2. Algebraic sum of cross-cap singularities

First we want to recall some well-known facts concerning the topological degree. Let  $(N, \partial N)$  be  $n$ -dimensional compact oriented manifold with boundary. For smooth mapping  $f : N \rightarrow \mathbb{R}^n$  such that  $f|_{\partial N} : \partial N \rightarrow \mathbb{R}^n \setminus \{0\}$ , by  $\text{deg } f|_{\partial N}$  or  $\text{deg}(f, N, 0)$  we denote the topological degree of mapping  $f/|f| : \partial N \rightarrow S^{n-1}$ . Note that if  $f^{-1}(0)$  is a finite set then

$$\text{deg } f|_{\partial N} = \sum_{p \in f^{-1}(0)} \text{deg}_p f,$$

where  $\text{deg}_p f$  stands for the local topological degree of  $f$  at  $p$  (see [8]).

Let  $M$  be a  $m$ -dimensional manifold and  $m$  be odd. Take a smooth mapping  $f : M \rightarrow \mathbb{R}^{2m-1}$  and let  $p \in M$  be a cross-cap of  $f$ . According to [11],  $p$  is called positive (negative) if the vectors (2) determine the negative (positive) orientation of  $\mathbb{R}^{2m-1}$ . According to [11, Lemma 3], this definition does not depend on choosing the coordinate system on  $M$ .

Let us assume, that  $f : \mathbb{R}^m \rightarrow \mathbb{R}^{2m-1}$  is a smooth mapping such that  $0$  is a cross-cap of  $f$ . Of course it is an isolated critical point of  $f$ . Denote by  $v_i$  the  $i$ th column of  $Df$ , for  $i = 1, \dots, m$ . There exists  $r > 0$  such that  $v_1(x), \dots, v_m(x)$  are linearly independent for  $x \in \bar{B}^m(r) \setminus \{0\}$ . Following [6] we can define

$$\begin{aligned} \tilde{\alpha}(\beta, x) &= \beta_1 v_1(x) + \dots + \beta_m v_m(x) \\ &= Df(x)(\beta) : S^{m-1} \times \bar{B}^m(r) \rightarrow \mathbb{R}^{2m-1}. \end{aligned}$$

Then the topological degree of the mapping

$$\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r)} : S^{m-1} \times S^{m-1}(r) \rightarrow \mathbb{R}^{2m-1} \setminus \{0\}$$

is well defined. By [6, Proposition 2.4],  $\text{deg}(\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r)})$  is even.

**Theorem 1.** *Let  $m$  be odd. If  $0$  is a cross-cap of a mapping  $f : \mathbb{R}^m \rightarrow \mathbb{R}^{2m-1}$ , then it is positive if and only if  $\frac{1}{2} \deg(\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r)}) = -1$ , and so it is negative if and only if  $\frac{1}{2} \deg(\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r)}) = +1$ .*

*Proof.* We can find linear coordinate system  $\phi : \mathbb{R}^m \rightarrow \mathbb{R}^m$ , such that  $\phi(0) = 0$  and  $f \circ \phi$  fulfills condition (1) at 0. Denote by  $A$  the matrix of  $\phi$ . Let  $w_1, \dots, w_m$  denote columns of  $D(f \circ \phi)$ . Then  $w_1(0) = 0$  and since 0 is a cross-cap then vectors

$$\frac{\partial w_1}{\partial x_1}(0), \quad w_2(0), \quad \dots, \quad w_m(0), \quad \frac{\partial w_1}{\partial x_2}(0), \quad \dots, \quad \frac{\partial w_1}{\partial x_m}(0) \quad (3)$$

are linearly independent. Put  $\tilde{\gamma}(\beta, x) = (\beta_1 w_1(x) + \dots + \beta_m w_m(x)) : S^{m-1} \times \bar{B}^m(r) \rightarrow \mathbb{R}^{2m-1}$ . We can assume that  $r$  is such that  $\tilde{\gamma} \neq 0$  on  $S^{m-1} \times \bar{B}^m(r) \setminus \{0\}$ . Let us see that

$$\begin{aligned} \tilde{\gamma}(\beta, x) &= D(f \circ \phi)(x) \cdot \begin{bmatrix} \beta_1 \\ \vdots \\ \beta_m \end{bmatrix} = Df(\phi(x)) \cdot A \cdot \begin{bmatrix} \beta_1 \\ \vdots \\ \beta_m \end{bmatrix} \\ &= Df(\phi(x)) \cdot \begin{bmatrix} \phi_1(\beta) \\ \vdots \\ \phi_m(\beta) \end{bmatrix}. \end{aligned}$$

So  $\tilde{\gamma} = \tilde{\alpha} \circ (\phi \times \phi)$ . It is easy to see that  $\phi \times \phi$  preserve the orientation of  $S^{m-1} \times S^{m-1}(r)$ . We can assume that  $r > 0$  is so small, that  $\deg(\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r)}) = \deg(\tilde{\alpha}|_{\phi(S^{m-1}) \times \phi(S^{m-1}(r))})$ . So we get that

$$\begin{aligned} \deg(\tilde{\gamma}|_{S^{m-1} \times S^{m-1}(r)}) &= \deg(\tilde{\alpha}|_{\phi(S^{m-1}) \times \phi(S^{m-1}(r))}) \deg(\phi \times \phi) = \\ &= \deg(\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r)}). \end{aligned}$$

Since  $f \circ \phi$  fulfills (1), vectors  $w_2, \dots, w_m$  are independent on  $\bar{B}^m(r)$ . Let us see that  $\tilde{\gamma}(\beta, x) = 0$  on  $S^{m-1} \times \bar{B}^m(r)$  if and only if  $x = 0$  and  $\beta = (\pm 1, 0, \dots, 0)$ . So  $\deg(\tilde{\gamma}|_{S^{m-1} \times S^{m-1}(r)})$  is a sum of local topological degrees of  $\tilde{\gamma}$  at  $(1, 0, \dots, 0; 0, \dots, 0)$  and at  $(-1, 0, \dots, 0; 0, \dots, 0)$ .

Near the point  $(1, 0, \dots, 0; 0, \dots, 0)$  the well-oriented parametrisation of  $S^{m-1} \times \bar{B}^m(r)$  is given by

$$(\beta_2, \dots, \beta_m; x) = (\sqrt{1 - \beta_2^2 - \dots - \beta_m^2}, \beta_2, \dots, \beta_m; x).$$

And then the derivative matrix of  $\tilde{\gamma}$  at  $(1, 0, \dots, 0; 0, \dots, 0)$  has a form

$$A_1 = \begin{bmatrix} w_2(0) & \dots & w_m(0) & \frac{\partial w_1}{\partial x_1}(0) & \dots & \frac{\partial w_1}{\partial x_m}(0) \end{bmatrix}.$$

Near  $(-1, 0, \dots, 0; 0, \dots, 0)$  the well-oriented parametrisation of  $S^{m-1} \times \bar{B}^m(r)$  is given by

$$(\beta_2, \dots, \beta_m; x) = (-\sqrt{1 - \beta_2^2 - \dots - \beta_m^2}, -\beta_2, \dots, \beta_m; x).$$

And then the derivative matrix of  $\tilde{\gamma}$  at  $(-1, 0, \dots, 0; 0, \dots, 0)$  has a form

$$A_2 = \begin{bmatrix} -w_2(0) & \dots & w_m(0) & -\frac{\partial w_1}{\partial x_1}(0) & \dots & -\frac{\partial w_1}{\partial x_m}(0) \end{bmatrix}.$$

Let us recall that  $m$  is odd. System of vectors (3) is independent, so 0 is a regular value of  $\tilde{\gamma}$ , and

$$\frac{1}{2} \deg(\tilde{\gamma}|_{S^{m-1} \times S^{m-1}(r)}) = \frac{1}{2}(\text{sgn det } A_1 + \text{sgn det } A_2) = \text{sgn det } A_1.$$

Moreover 0 is a positive cross-cap if and only if vectors (3) determine negative orientation of a  $\mathbb{R}^{2m-1}$ , i. e. if and only if  $\frac{1}{2} \deg(\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r)}) = -1$ . □

Let  $U \subset \mathbb{R}^m$  be an open bounded set and  $f : \bar{U} \rightarrow \mathbb{R}^{2m-1}$  be smooth. We say that  $f$  is *generic* if only critical points of  $f$  are cross-caps and  $f$  is regular in the neighborhood of  $\partial U$ . Let us denote by  $\zeta(f)$  the algebraic sum of cross-caps of  $f$ . Then using Theorem 1 we get the following.

**Proposition 1.** *Let  $U \subset \mathbb{R}^m$ , ( $m$  is odd), be a bounded  $m$ -dimensional manifold such that  $\bar{U}$  is an  $m$ -dimensional manifold with a boundary. For  $f : \bar{U} \subset \mathbb{R}^m \rightarrow \mathbb{R}^{2m-1}$  generic,  $\zeta(f) = -\frac{1}{2} \deg(\tilde{\alpha})$ , where  $\tilde{\alpha}(\beta, x) = Df(x)(\beta) : S^{m-1} \times \partial U \rightarrow \mathbb{R}^{2m-1} \setminus \{0\}$ .*

**Proposition 2.** *Let  $U \subset \mathbb{R}^m$ , ( $m$  is odd), be a bounded  $m$ -dimensional manifold such that  $\bar{U}$  is an  $m$ -dimensional manifold with a boundary. Take  $h : \bar{U} \subset \mathbb{R}^m \rightarrow \mathbb{R}^{2m-1}$  a smooth mapping such that  $h$  is regular in a neighborhood of  $\partial U$ . Then for every generic  $f : \bar{U} \subset \mathbb{R}^m \rightarrow \mathbb{R}^{2m-1}$  close enough to  $h$  in  $C^1$ -topology we have,  $\zeta(f) = -\frac{1}{2} \deg(\tilde{\alpha})$ , where  $\tilde{\alpha}(\beta, x) = Dh(x)(\beta) : S^{m-1} \times \partial U \rightarrow \mathbb{R}^{2m-1} \setminus \{0\}$ .*

### 3. Examples

To compute some examples we want first to recall the theory presented in [6].

Take  $\alpha = (\alpha_1, \dots, \alpha_k) : \mathbb{R}^{n-k+1} \rightarrow M(n, k)$  a polynomial mapping,  $n - k$  even, where  $M(n, k)$  is a space of real matrices of dimension  $n \times k$ .

By  $[a_{ij}(x)]$ ,  $1 \leq i \leq n$ ,  $1 \leq j \leq k$ , we denote the matrix given by  $\alpha(x)$  (i.e.  $\alpha_j(x)$  stands in the  $j$ th column). Then one can define  $\tilde{\alpha} : \mathbb{R}^k \times \mathbb{R}^{n-k+1} \rightarrow \mathbb{R}^n$  as

$$\tilde{\alpha}(\beta, x) = \beta_1\alpha_1(x) + \dots + \beta_k\alpha_k(x) = [a_{ij}(x)] \begin{bmatrix} \beta_1 \\ \vdots \\ \beta_k \end{bmatrix}.$$

Let  $I$  be the ideal in  $\mathbb{R}[x_1, \dots, x_{n-k+1}]$  generated by all  $k \times k$  minors of  $[a_{ij}(x)]$ , and  $V(I) = \{x \in \mathbb{R}^{n-k+1} \mid h(x) = 0 \text{ for all } h \in I\}$ .

Take

$$m(x) = \det \begin{bmatrix} a_{12}(x) & \dots & a_{1k}(x) \\ a_{k-1,2}(x) & \dots & a_{k-1,k}(x) \end{bmatrix}.$$

For  $k \leq i \leq n$ , we define

$$\Delta_i(x) = \det \begin{bmatrix} a_{11}(x) & \dots & a_{1k}(x) \\ \dots & \dots & \dots \\ a_{k-1,1}(x) & \dots & a_{k-1,k}(x) \\ a_{i1}(x) & \dots & a_{ik}(x) \end{bmatrix}.$$

Put  $\mathcal{A} = \mathbb{R}[x_1, \dots, x_{n-k+1}]/I$ . Let us assume that  $\dim \mathcal{A} < \infty$ , so that  $V(I)$  is finite. For  $h \in \mathcal{A}$ , we denote by  $T(h)$  the trace of the linear endomorphism  $\mathcal{A} \ni a \mapsto h \cdot a \in \mathcal{A}$ . Then  $T : \mathcal{A} \rightarrow \mathbb{R}$  is a linear functional.

Let  $u \in \mathbb{R}[x_1, \dots, x_{n-k+1}]$ . Assume that  $\bar{U} = \{x \mid u(x) \geq 0\}$  is bounded and  $\nabla u(x) \neq 0$  at each  $x \in u^{-1}(0) = \partial U$ . Then  $\bar{U}$  is a compact manifold with boundary, and  $\dim \bar{U} = n - k + 1$ .

Put  $\delta = \partial(\Delta_k, \dots, \Delta_n)/\partial(x_1, \dots, x_{n-k+1})$ . With  $u$  and  $\delta$  we associate quadratic forms  $\Theta_\delta, \Theta_{u \cdot \delta} : \mathcal{A} \rightarrow \mathbb{R}$  given by  $\Theta_\delta(a) = T(\delta \cdot a^2)$  and  $\Theta_{u \cdot \delta}(a) = T(u \cdot \delta \cdot a^2)$ .

**Theorem 2.** [6, Theorem 3.3] *If  $n - k$  is even,  $\alpha = (\alpha_1, \dots, \alpha_k) : \mathbb{R}^{n-k+1} \rightarrow M(n, k)$  is a polynomial mapping such that  $\dim \mathcal{A} < \infty$ ,  $I + \langle m \rangle = \mathbb{R}[x_1, \dots, x_{n-k+1}]$  and quadratic forms  $\Theta_\delta, \Theta_{u \cdot \delta} : \mathcal{A} \rightarrow \mathbb{R}$  are non-degenerate, then the restricted mapping  $\alpha|_{\partial U}$  goes into  $\tilde{V}_k(\mathbb{R}^n)$  and*

$$\Lambda(\alpha|_{\partial U}) = \frac{1}{2} \deg(\tilde{\alpha}|_{S^{k-1} \times \partial U}) = \frac{1}{2}(\text{signature } \Theta_\delta + \text{signature } \Theta_{u \cdot \delta}),$$

where  $\tilde{\alpha}(\beta, x) = \beta_1\alpha_1(x) + \dots + \beta_k\alpha_k(x)$ .

Using the theory presented in [6], particularly [6, Theorem 3.3], and computer system SINGULAR ([2]), one can apply the results from Sections 1 and 2 to compute algebraic sum of cross-caps for polynomial mappings.



**Example 1.** Let us take  $f : \mathbb{R}^3 \rightarrow \mathbb{R}^5$  given by

$$f(x, y, z) = (12y^2 + z, 6x^2 + y^2 + 6y, 18xy + 13y^2 + 9x, \\ 8x^2z + 10xz^2 + 5x^2 + 3xz, x^2y + 4xyz + yz + 4z^2).$$

Applying Lemma 2 and using SINGULAR one can check that  $f$  is 1-generic. Moreover, according to Proposition 1 and [6], one can check that

$$\zeta(f|_{\bar{B}^3(\sqrt{3})}) = 2, \quad \zeta(f|_{\bar{B}^3(10)}) = 1.$$

We can also check that  $f$  has 11 cross-caps in  $\mathbb{R}^3$ , 6 of them are positive, 5 negative.

**Example 2.** Take  $f : \mathbb{R}^5 \rightarrow \mathbb{R}^9$  given by

$$f(s, t, x, y, z) = (y, z, t, 20x^2 + 17sz + x, 13sy + 13sz + 5t, 25st + 4x^2 + 28z, \\ 3x^2 + 19yz + 22s, 11ts^2 + 8t^2z + xz, 27txy + 9sxz + 20st).$$

One may check that  $f$  is 1-generic, has 3 cross-caps in  $\mathbb{R}^5$  and

$$\zeta(f|_{\bar{B}^3(1/10)}) = 0, \quad \zeta(f|_{\bar{B}^3(2)}) = -1, \quad \zeta(f|_{\bar{B}^3(1000)}) = 1.$$

#### 4. Intersection number of immersions

Take  $n$ -dimensional, compact, oriented manifold  $N$  and immersion  $g : N \rightarrow \mathbb{R}^{2n}$ . As in [10] we say that an immersion  $g : N \rightarrow \mathbb{R}^{2n}$  has a *regular self-intersection* at the point  $g(p) = g(q)$  if

$$Dg(p)T_pN + Dg(q)T_qN = \mathbb{R}^{2n}.$$

An immersion  $g : N \rightarrow \mathbb{R}^{2n}$  is called *completely regular* if it has only regular self-intersections and no triple points.

Assume that  $n$  is **even**. Let  $g : N \rightarrow \mathbb{R}^{2n}$  be a completely regular immersion having a regular self-intersection at the point  $g(p) = g(q)$ . Let  $u_1, \dots, u_n \in T_pN$ ,  $v_1, \dots, v_n \in T_qN$  be sets of well-oriented, independent vectors in respective tangent spaces of  $N$ . Then the vectors  $Dg(p)u_1, \dots, Dg(p)u_n, Dg(q)v_1, \dots, Dg(q)v_n$  form a basis in  $\mathbb{R}^{2n}$ . As in [10] we will say that the self-intersection at the point  $g(p) = g(q)$  is *positive* or *negative* according to whether this basis determines the positive or negative orientation of  $\mathbb{R}^{2n}$ .

Following [10], the *intersection number*  $I(g)$  of a completely regular immersion  $g$  is the algebraic sum of its self-intersections. For any immersion

$g : N \rightarrow \mathbb{R}^{2n}$  the intersection number  $I(g)$  is defined as the intersection number of a completely regular immersion  $\tilde{g}$ , regularly homotopic to  $g$  (homotopy by immersions). For other equivalent description of  $I(g)$  see [7], [4].

As in previous Sections we assume that  $m$  is odd. Take a smooth map  $g = (g_1, \dots, g_{2m-2}) : \mathbb{R}^m \rightarrow \mathbb{R}^{2m-2}$ . Denote by  $\omega = x_1^2 + \dots + x_m^2$ . Then  $S^{m-1}(r) = \{x \mid \omega(x) = r^2\}$ . According to [4, Lemma 18],  $g|_{S^{m-1}(r)}$  is an immersion if and only if

$$\text{rank} \begin{bmatrix} 2x_1 & \dots & 2x_m \\ \frac{\partial g_1}{\partial x_1}(x) & \dots & \frac{\partial g_1}{\partial x_m}(x) \\ \vdots & \dots & \vdots \\ \frac{\partial g_{2m-2}}{\partial x_1}(x) & \dots & \frac{\partial g_{2m-2}}{\partial x_m}(x) \end{bmatrix} = m,$$

for  $x \in S^{m-1}(r)$ .

Take  $0 < r_1 < r_2$ , such that  $g|_{S^{m-1}(r_1)}$  and  $g|_{S^{m-1}(r_2)}$  are immersions. Denote by  $P = \{x \mid r_1^2 \leq \omega(x) \leq r_2^2\}$ . Then  $P$  is an  $m$ -dimensional oriented manifold with boundary. Then  $(\omega, g) : \mathbb{R}^m \rightarrow \mathbb{R}^{2m-1}$  is a regular map in the neighbourhood of  $\partial P$ . Let us define  $\tilde{\alpha} : S^{m-1} \times P \rightarrow \mathbb{R}^{2m-1}$  as

$$\tilde{\alpha}(\beta, x) = \begin{bmatrix} 2x_1 & \dots & 2x_m \\ \frac{\partial g_1}{\partial x_1}(x) & \dots & \frac{\partial g_1}{\partial x_m}(x) \\ \vdots & \dots & \vdots \\ \frac{\partial g_{2m-2}}{\partial x_1}(x) & \dots & \frac{\partial g_{2m-2}}{\partial x_m}(x) \end{bmatrix} \begin{bmatrix} \beta_1 \\ \vdots \\ \beta_m \end{bmatrix}$$

**Proposition 3.** *Let us assume that  $g|_{S^{m-1}(r_1)}$  and  $g|_{S^{m-1}(r_2)}$  are immersions, then*

$$I(g|_{S^{m-1}(r_2)}) - I(g|_{S^{m-1}(r_1)}) = \zeta((\omega, g)|_P).$$

*Proof.* Let us recall that  $m$  is odd. Then

$$\text{deg}(\tilde{\alpha}|_{S^{m-1} \times \partial P}) = \text{deg}(\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r_2)}) - \text{deg}(\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r_1)}).$$

According to [6, Theorem 4.2], we get that

$$\text{deg}(\tilde{\alpha}|_{S^{m-1} \times S^{m-1}(r_i)}) = I(g|_{S^{m-1}(r_i)}),$$

for  $i = 1, 2$ . Then applying Proposition 2 we get that  $\zeta((\omega, g)|_P) = -\frac{1}{2} \text{deg}(\tilde{\alpha}|_{S^{m-1} \times \partial P})$ . And so

$$\zeta((\omega, g)|_P) = I(g|_{S^{m-1}(r_2)}) - I(g|_{S^{m-1}(r_1)}). \quad \square$$

**Corollary 1.** *If  $g|_{S^{m-1}(r)}$  is an immersion, then*

$$I(g|_{S^{m-1}(r)}) = \zeta((\omega, g)|_{\bar{B}^m(r)}).$$

**Remark 1.** If the only singular points of  $(\omega, g)|_{\bar{B}^m(r)}$  are cross-caps, then the intersection number of an immersion  $g|_{S^{m-1}(r)}$  is equal to the algebraic sum of cross-caps of  $(\omega, g)|_{\bar{B}^m(r)}$ . Also, in generic case, the difference between intersection numbers of immersions  $g|_{S^{m-1}(r_1)}$  and  $g|_{S^{m-1}(r_2)}$ , is equal to the algebraic sum of cross-caps of  $(\omega, g)$  appearing in  $P$ .

**Remark 2.** If  $(\omega, g)$  has finite number of singular points, and all of them are cross-caps, then for any  $R > 0$  big enough,  $g|_{S^{m-1}(R)}$  is an immersion with the same intersection number equal to the algebraic sum of cross-caps of  $(\omega, g)$ .

**Example 3.** Take  $g : \mathbb{R}^3 \rightarrow \mathbb{R}^4$  given by

$$g = (-3y^2 + 5yz - x + 2, -4x^2 + z^2 + 9y - 6z + 5,$$

$$4x^2z - 2x^2 + 2xy - y - 3, 3y^2z + xy - 4yz + 4x - 5y - 5),$$

and  $\omega = x^2 + y^2 + z^2$ . In the same way as in Section 3, one may check that the only singular points of  $(\omega, g)$  are cross-caps, moreover  $(\omega, g)$  has 8 cross-caps, 5 of them are positive and 3 negative. According to previous results  $g|_{S^2(r)}$  is an immersion for all  $r > 0$ , except at most 8 values of  $r$ . And if  $g|_{S^2(r)}$  is an immersion, then

$$-3 \leq I(g|_{S^2(r)}) \leq 5.$$

Moreover for  $R > 0$  big enough  $g|_{S^2(R)}$  is an immersion with

$$I(g|_{S^2(R)}) = 2.$$

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