

## Decomposition of matrices from $SL_2(K[x, y])$

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*Dedicated to Professor Yu. A. Drozd  
on the occasion of his 80th birthday*

ABSTRACT. Let  $\mathbb{K}$  be an algebraically closed field of characteristic zero and  $\mathbb{K}[x, y]$  the polynomial ring. The group  $SL_2(\mathbb{K}[x, y])$  of all matrices with determinant equal to 1 over  $\mathbb{K}[x, y]$  can not be generated by elementary matrices. The known counterexample was pointed out by P. M. Cohn. Conversely, A. A. Suslin proved that the group  $SL_r(\mathbb{K}[x_1, \dots, x_n])$  is generated by elementary matrices for  $r \geq 3$  and arbitrary  $n \geq 2$ , the same is true for  $n = 1$  and arbitrary  $r$ . It is proven that any matrix from  $SL_2(\mathbb{K}[x, y])$  with at least one entry of degree  $\leq 2$  is either a product of elementary matrices or a product of elementary matrices and of a matrix similar to the one pointed out by P. Cohn. For any matrix  $\begin{pmatrix} f & g \\ -Q & P \end{pmatrix} \in SL_2(\mathbb{K}[x, y])$ , we obtain formulas for the homogeneous components  $P_i, Q_i$  for the unimodular row  $(-Q, P)$  as combinations of homogeneous components of the polynomials  $f, g$ , respectively, with the same coefficients.

### Introduction

Let  $\mathbb{K}$  be a field and  $A = \mathbb{K}[x_1, \dots, x_n]$  the polynomial ring in  $n$  variables. The group  $GL_r(A)$  of all invertible matrices and its subgroup  $SL_r(A)$  of matrices with determinant of 1 was studied by many authors from different points of view (see, for example, [2, 3, 5, 6], the last paper contains

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**2020 Mathematics Subject Classification:** 20H05, 20H25, 15A23.

**Key words and phrases:** unimodular row, special linear group, generators, decomposition.

an extensive literature review). One of the important questions in studying  $GL_r(A)$  (and  $SL_{rn}(A)$ ) is the question about generators and relations of these groups. Classical papers of A. A. Suslin and P. M. Cohn answer this question. In [3], it was proved that the group  $SL_r(A)$  is generated by elementary matrices (or, in other terminology, elementary transvections) if  $r \geq 3$  for arbitrary  $n \geq 2$ ; in case  $n = 1, r \geq 2$  the proof is elementary. If  $r = 2$  and  $n \geq 2$ , then the group  $SL_r(A)$  cannot be generated by elementary matrices [2]. The counterexample from [2] is the matrix  $\begin{pmatrix} x^2 & xy - 1 \\ xy + 1 & y^2 \end{pmatrix}$  from the group  $SL_2(\mathbb{K}[x, y])$ . A question arises: how typical is this counterexample? We prove (Theorem 1) that any matrix from  $SL_2(\mathbb{K}[x, y])$  with at least one entry of degree  $\leq 2$  is either a product of elementary matrices or a product of a matrix similar to the one pointed out in [2] and elementary matrices.

We consider the group  $SL_2(\mathbb{K}[x, y])$  over an algebraically closed field  $\mathbb{K}$  of characteristic zero. Let us recall some definitions and notations. An elementary matrix from the group  $SL_2(\mathbb{K}[x, y])$  is of the form  $\begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix}$  or of the form  $\begin{pmatrix} 1 & 0 \\ h & 1 \end{pmatrix}$ ,  $h \in \mathbb{K}[x, y]$ . A row  $(f, g) \in (\mathbb{K}[x, y])^2$  is called unimodular if there exist polynomials  $P, Q \in \mathbb{K}[x, y]$  such that  $Pf + Qg = 1$  (about some properties of unimodular rows see, for example, [4]). The latter means that the matrix  $\begin{pmatrix} f & g \\ -Q & P \end{pmatrix}$  has the determinant of 1. The unimodular row  $(-Q, P)$  will be called associated with the row  $(f, g)$ . Note that by multiplying a unimodular row  $(f, g)$  from the right by the matrix  $\begin{pmatrix} 1 & h \\ 0 & 1 \end{pmatrix}$ , where  $h \in \mathbb{K}[x, y]$ , the result is the unimodular row  $(f, g + fh)$ . Multiplying unimodular rows  $(f, g)$  by an elementary matrix from the right defines a linear transformation of the free module of rank 2 over  $\mathbb{K}[x, y]$ . We call such a transformation an elementary transformation. The automorphism group  $\text{Aut}(\mathbb{K}[x, y])$  acts naturally on the group  $SL_2(\mathbb{K}[x, y])$  by the rule: for any  $\theta \in \text{Aut}(\mathbb{K}[x, y])$  and  $A = (a_{ij}) \in SL_2(\mathbb{K}[x, y])$  put  $A^\theta = (a_{ij}^\theta)$  (note that for any elementary matrix  $B$  the matrix  $B^\theta, \theta \in \text{Aut}(\mathbb{K}[x, y])$  is also an elementary matrix). We will use unimodular rows, and then the main result will be reformulated in matrix language. In Lemmas 5, 6, and 7 we prove that any unimodular row  $(f, g)$  with  $\deg f \leq 2$  is, up to action of an automorphism  $\theta \in \text{Aut}(\mathbb{K}[x, y])$ , one of the forms:  $(1, 0)$ , or  $(x^2, \psi(y)x + \gamma)$  with arbitrary  $\psi(y) \in \mathbb{K}[x, y]$ , or  $(xy - \gamma, x^k)$ ,  $\gamma \in \mathbb{K}^*, k \in \mathbb{Z}, k \geq 2$ . As a

consequence, we obtain the main result (Theorem 1).

In the second part of the paper, we consider unimodular rows  $(f, g)$ ,  $\deg f = \deg g = n, n \geq 1$ . We obtain formulas for homogeneous components  $P_i, Q_i$  of the associated unimodular row  $(-Q, P)$  as combinations of homogeneous components of polynomials  $f, g$ , respectively, with the same coefficients (Theorem 2). These formulas can be used for studying matrices from  $SL_2(\mathbb{K}[x, y])$  with entries of any degree.

## 1. Some properties of unimodular rows over $\mathbb{K}[x, y]$

Here some technical results are collected about unimodular rows (of length 2) over the polynomial ring  $\mathbb{K}[x, y]$ .

**Lemma 1.** (1) *Let  $(f, g)$  be a unimodular row over the ring  $\mathbb{K}[x, y]$  and  $P, Q$  be polynomials such that  $Pf + Qg = 1$ . Then the rows  $(P, Q)$ ,  $(P, g)$  and  $(f, Q)$  are also unimodular.*

(2) *If  $(f, g)$  is a unimodular row, then for any endomorphism  $\theta$  of the ring  $\mathbb{K}[x, y]$ , the row  $(\theta(f), \theta(g))$  is also unimodular.*

**Lemma 2.** *Let  $f, g, P, Q \in \mathbb{K}[x, y]$  be nonconstant polynomials such that  $Pf + Qg = 0$ . Then there exist polynomials  $h_1, h_2, \varphi, \psi \in \mathbb{K}[x, y]$  such that  $f = \varphi h_1, g = \varphi h_2, \gcd(h_1, h_2) = 1, P = \psi h_2, Q = -\psi h_1$ .*

*Proof.* Let  $\varphi = \gcd(f, g), \psi_0 = \gcd(P, Q)$ . Then  $f = \varphi h_1, g = \varphi h_2$  for coprime polynomials  $h_1, h_2 \in \mathbb{K}[x, y]$ . Analogously  $P = \psi_0 P_0, Q = \psi_0 Q_0$  for some coprime  $P_0, Q_0$ . Then by the conditions of the lemma we have

$$0 = Pf + Qg = \varphi\psi_0 (P_0 h_1 + Q_0 h_2).$$

The latter equalities imply

$$P_0 h_1 + Q_0 h_2 = 0. \tag{1}$$

Since  $\gcd(h_1, h_2) = 1$  we have  $P_0 \mid h_2$  and  $h_2 \mid P_0$ . But then  $P_0 = \alpha h_2$  for some  $\alpha \in \mathbb{K}^*$ . Analogously  $Q_0 = \beta h_1$  for some  $\beta \in \mathbb{K}^*$ . It follows from 1 that  $\beta = -\alpha$ . Besides,  $P = \psi_0 P_0 = \psi_0 \alpha h_2$  and  $Q_0 = -\psi_0 \alpha h_1$ . Denoting  $\psi = \psi_0 \alpha$  we get  $P = \psi h_2, Q = -\psi h_1$ .  $\square$

**Lemma 3.** *Let  $(f, g)$  be a unimodular row of nonconstant polynomials over  $\mathbb{K}[x, y]$  and let  $f = f_0 + \dots + f_n, g = g_0 + \dots + g_l$  be decomposition of  $f$  and  $g$ , respectively, in sums of homogeneous components. Then the polynomials  $f_n$  and  $g_l$  are not coprime, i.e.,  $\deg \gcd(f_n, g_l) \geq 1$ .*

*Proof.* By the conditions of the lemma there exist polynomials  $P, Q \in \mathbb{K}[x, y]$  such that  $Pf + Qg = 1$ . Let

$$P = P_0 + \dots + P_m, \quad Q = Q_0 + \dots + Q_k$$

be their decomposition into sums of homogeneous components. It follows from the equality  $Pf + Qg = 1$  that  $P_m f_n + Q_k g_l = 0$  (it is obvious that  $m + n = k + l$ ). Assume on the contrary that  $\gcd(f_n, g_l) = 1$ . Then, by Lemma 2, we have

$$P_m = \psi g_l, \quad Q_k = -\psi f_n \tag{2}$$

for a polynomial  $\psi \in \mathbb{K}[x, y]$  with  $\deg \psi = m - l = k - n$ . Denote by  $\Omega$  the set of all pairs  $(P, Q)$  of polynomials that satisfy the equality  $Pf + Qg = 1$ . Choose a pair  $(P, Q) \in \Omega$  such that the sum  $m + k = \deg P + \deg Q$  is minimal. Then the equalities (2) imply that

$$\deg(P - \psi g) + \deg(Q + \psi f) < \deg P + \deg Q.$$

Besides, the equality holds:  $(P - \psi g)f + (Q + \psi f)g = 1$ . The latter contradicts the choice of the pair  $(P, Q)$ . This contradiction shows that  $\deg \gcd(f_n, g_l) \geq 1$ .  $\square$

**Corollary 1.** *Let  $f, g \in \mathbb{K}[x, y]$  be a unimodular row. If  $\deg f = 1$ , then  $g = hf + c$  for some  $h \in \mathbb{K}[x, y]$ ,  $c \in \mathbb{K}^*$ .*

*Proof.* Let

$$f = f_0 + f_1, \quad g = g_0 + g_1 + \dots + g_l$$

be the decomposition of polynomials into sums of homogeneous components. Then by Lemma 3 we have  $\deg \gcd(f_1, g_l) \geq 1$ . The latter means that  $g_l$  is divisible by  $f_1$ , i.e.  $g_l = h_1 f_1$  for some polynomial  $h_1 \in \mathbb{K}[x, y]$ . But then the row  $(f, g - h_1 f)$  is unimodular and  $\deg(g - h_1 f) < \deg g$ . Continuing such considerations we obtain a unimodular row  $(f, g - hf)$  for some  $h \in \mathbb{K}[x, y]$  such that  $\deg(g - hf) = 0$ , i.e.  $g - hf = c$ . Obviously  $c \neq 0$  and we get  $g = hf + c$ ,  $c \in \mathbb{K}^*$ .  $\square$

Let us recall that any quadratic curve  $f(x, y) = 0$ ,  $\deg f = 2$  is reduced by linear transformations of variables to one of the known canonical forms. This can be reformulated as follows:

**Lemma 4.** *Let  $f(x, y) \in \mathbb{K}[x, y]$ ,  $\deg f = 2$ . Then there exist an affine automorphism  $\theta$  of the ring  $\mathbb{K}[x, y]$  of the form  $\theta(x) = \alpha_1 x + \beta_1 y + \gamma_1$ ,  $\theta(y) = \alpha_2 x + \beta_2 y + \gamma_2$  such that  $\theta(f)$  is a polynomial of the following type:*

- (1)  $f(x, y) = x^2 + \gamma, \gamma \in \mathbb{K}$ ;
- (2)  $f(x, y) = x^2 + y$ ;
- (3)  $f(x, y) = xy + \gamma, \gamma \in \mathbb{K}$ .

**Lemma 5.** *Let  $(f, g)$  be a unimodular row such that  $f = x^2 + y$ . Then this row is reduced to the row  $(1, 0)$  by elementary transformations, i.e. there exist elementary matrices  $B_1, \dots, B_k$  such that  $(f, g)B_1, \dots, B_k = (1, 0)$ .*

*Proof.* Let us write the polynomial  $g$  as a polynomial of  $x$  with coefficients depending on  $y$ ,

$$g(x, y) = g_0(y) + g_1(y)x + \dots + g_k(y)x^k.$$

Denote

$$h(x, y) = g_2(y) + g_3(y)x + \dots + g_k(y)x^{k-2}.$$

Then

$$(f, g) \cdot \begin{pmatrix} 1 & -h \\ 0 & 1 \end{pmatrix} = (f, g_0(y) + g_1(y)x - yh(x, y)).$$

Note that the polynomial  $g^{(1)} = g_0(y) + g_1(y)x - yh(x, y)$  is of degree  $< k$  on  $x$ , i.e.,  $\deg_x g^{(1)} < \deg_x g^{(1)}$ . Repeating this process for the unimodular row  $(x^2 + y, g^{(1)})$  we obtain as a result a unimodular row of the form  $(x^2 + y, g^{(s)})$  for some  $s \geq 2$  with  $\deg_x g^{(s)} \leq 1$ . So we can assume without loss of generality that  $g(x, y) = g_0(y) + g_1(y)x$ . By the conditions of the lemma, there exist polynomials  $P(x, y), Q(x, y) \in \mathbb{K}[x, y]$  such that

$$P(x, y)(x^2 + y) + Q(x, y)(g_0(y) + g_1(y)x) = 1.$$

Putting here  $y = -x^2$  we get the equality

$$Q(x, -x^2)(g_0(-x^2) + xg_1(-x^2)) = 1.$$

It follows from this equality that  $g_0(-x^2) + xg_1(-x^2) = c$  for some  $c \in \mathbb{K}^*$ . Since  $\deg_x g_0(-x^2)$  is even and  $\deg_x xg_1(-x^2)$  is odd we get  $g_1 = 0$  and  $g_0(y) \in \mathbb{K}$ . But then  $g = g_0 \in \mathbb{K}^*$  and the unimodular row  $(x^2 + y, g_0)$  obviously is reduced to the row  $(1, 0)$ .  $\square$

**Lemma 6.** *Let  $(f, g)$  be a unimodular row, where  $f = x^2 + \gamma, \gamma \in \mathbb{K}$ . Then this row can be reduced by elementary transformations to either the row  $(1, 0)$ , or to the row  $(x^2 + \gamma, x\psi(y) + \delta)$ ,  $\delta \in \mathbb{K}, \deg \psi(y) \geq 1$ .*

*Proof.* Write down the polynomial  $g(x, y)$  as a polynomial of  $x$  with coefficients in  $\mathbb{K}[y]$

$$g = g_0(y) + g_1(y)x + \dots + g_k(y)x^k.$$

Repeating the consideration from the proof of Lemma 5 one can assume without loss of generality that  $g = g_0(y) + g_1(y)x$  for some polynomials  $g_0(y)$  and  $g_1(y)$ . Since  $(x^2 + \gamma, g_0(y) + g_1(y)x)$  is a unimodular row, there exist polynomials  $P, Q \in \mathbb{K}[x, y]$  such that

$$P(x^2 + \gamma) + Q(g_0(y) + g_1(y)x) = 1.$$

Note that for any polynomial  $A(x, y) \in \mathbb{K}[x, y]$ , the polynomials

$$\overline{P}(x, y) = P(x, y) + A(x, y)g(x, y), \quad \overline{Q}(x, y) = Q(x, y) - A(x, y)(x^2 + \gamma)$$

also satisfy the equality  $(x^2 + \gamma)\overline{P} + g(x, y)\overline{Q} = 1$ . Therefore, without loss of generality, one can reduce the unimodular row  $(P, Q)$  by elementary transformations to the row  $(P, Q_0(y) + Q_1(y)x)$  without changing the initial unimodular row  $(x^2 + \gamma, g(x, y))$ . We get the equality

$$P(x, y)(x^2 + \gamma) + (Q_0(y) + Q_1(y)x)(g_0(y) + g_1(y)x) = 1. \tag{3}$$

First, let  $\gamma \neq 0$ . Substituting in formulas (3)  $x$  for  $\sqrt{-\gamma}$  and then  $x$  for  $-\sqrt{-\gamma}$  we obtain two inclusions  $g_1(y)\sqrt{-\gamma} + g_0(y) \in \mathbb{K}$  and  $-g_1(y)\sqrt{-\gamma} + g_0(y) \in \mathbb{K}$ . It follows from these inclusions that  $g_0(y) \in \mathbb{K}$  and  $g_1(y) \in \mathbb{K}$ . But then from (3) we see that  $Q_0(y), Q_1(y) \in \mathbb{K}$ . The equality (3) shows also that  $g_1 = 0$  and  $Q_1 = 0$ , i.e.,  $g(x, y) = c_1$  and  $Q(x, y) = c_2$  for some  $c_1, c_2 \in \mathbb{K}$ . Therefore the unimodular row  $(x^2 + \gamma, g)$  can be reduced (by elementary transformations) to the row  $(1, 0)$ .

Now let  $\gamma = 0$ , i.e.,  $f(x, y) = x^2$ . Putting  $x = 0$  in the equality (3) we get  $Q_0(y)g_0(y) = 1$ . Thus  $Q_0, g_0 \in \mathbb{K}^*$ . The latter means that  $g = x\psi(y) + \delta$ , where  $\psi(y) = Q_1(y)$  and  $\delta = Q_0$ . Note that the unimodular row associated with  $(x^2, x\psi(y) + \delta)$  is the row  $(\frac{x\psi(y) - \delta}{\delta^2}, \delta^{-2}\psi^2(y))$  because the matrix  $\begin{pmatrix} x^2 & x\psi(y) + \delta \\ \delta^{-2}(x\psi(y) - \delta) & \delta^{-2}\psi^2(y) \end{pmatrix}$  has the determinant 1.  $\square$

## 2. The main theorem

We need to consider the last case when the unimodular row is of the form  $(xy + \gamma, g(x, y))$ .

**Lemma 7.** *Let  $(f, g)$  be a unimodular row with  $f(x, y) = xy + \gamma$ ,  $\gamma \in \mathbb{K}$ . Then this row can be reduced by elementary transformations to the unimodular row  $(xy + \gamma, x^k)$  or to the row  $(xy + \gamma, (-\gamma^{-1}y)^k)$  with integer  $k \geq 2$ , or to the row  $(1, 0)$ .*

*Proof.* By the conditions of the lemma we have an equality of the form

$$P(x, y)(xy + \gamma) + Q(x, y)g(x, y) = 1 \tag{4}$$

for some polynomials  $P, Q \in \mathbb{K}[x, y]$ . Write down the polynomial  $g(x, y)$  in the form  $g(x, y) = \varphi(x) + \psi(y) + xyh(x, y)$  for some polynomials  $\varphi(x), \psi(y), h(x, y) \in \mathbb{K}[x, y]$ . Then we get the equality

$$(xy + \gamma, g) \begin{pmatrix} 1 & -h(x, y) \\ 0 & 1 \end{pmatrix} = (xy + \gamma, \varphi(x) + \psi(y) - \gamma h(x, y)).$$

If  $h(x, y) \neq 0$  we can write  $h(x, y) = \varphi_1(x) + \psi_1(y) + xyh_1(x, y)$  and repeat the previous considerations. As a result, we may assume without loss of generality that  $g(x, y) = \varphi(x) + \psi(y)$ . Analogously repeating considerations from the proof of Lemma 6 we may assume that  $Q(x, y) = u(x) + v(y)$  for some polynomials  $u(x), v(y) \in \mathbb{K}[x, y]$ .

First, let  $\gamma \neq 0$ . Let us put  $y = -\gamma/x$  in the equality (4). We get  $(u(x) + v(-\gamma/x))(\varphi(x) + \psi(-\gamma/x)) = 1$ . One can easily prove that an element  $p(x, x^{-1})$  from ring  $\mathbb{K}[x, x^{-1}]$  is invertible in this ring if and only if  $p = \alpha x^k$  for some  $k \in \mathbb{Z}, \alpha \in \mathbb{K}^*$ . So, we have  $g(x, y) = x^k, Q(x, y) = (-\gamma^{-1}y)^k$  or  $g(x, y) = (-\gamma^{-1}y)^k, Q(x, y) = x^k$  for some  $k \geq 0$ . In any case, the polynomial  $P(x, y)$  is of the form

$$P(x, y) = \frac{1 - (-\gamma^{-1}xy)^k}{\gamma + xy} = \gamma^{-1} \left( 1 + \left(-\frac{xy}{\gamma}\right) + \dots + \left(-\frac{xy}{\gamma}\right)^{k-1} \right).$$

As a result, we get two unimodular rows:

- 1)  $(xy + \gamma, x^k)$  with the associated row  $\left(-(-\gamma^{-1}y)^k, \frac{1 - (-\gamma^{-1}xy)^k}{\gamma + xy}\right)$ ;
- 2)  $(xy + \gamma, (-\gamma^{-1}y)^k)$  with the associated row  $\left(-x^k, \frac{1 - (-\gamma^{-1}xy)^k}{\gamma + xy}\right)$ .

Note that one can assume that  $k \geq 2$ . Really, in other case the row  $(xy + \gamma, x^k)$  is reduced to the row  $(1, 0)$  because of Corollary 1. Let now  $\gamma = 0$ . Let us replace  $x$  with 0 in the equality (4). Then we have  $(u(0) + v(y))(\varphi(0) + \psi(y)) = 1$ . This equality implies obviously  $v(y), \psi(y) \in \mathbb{K}$ . Analogously after substituting 0 instead of  $y$  in (4) we get  $v(x), \psi(x) \in \mathbb{K}$ . We see that in this case the polynomial  $g(x, y)$  is constant and therefore the unimodular row can be reduced to the row  $(1, 0)$ . The proof is complete. □

**Theorem 1.** *Let  $A = \begin{pmatrix} a_{11}(x, y) & a_{12}(x, y) \\ a_{21}(x, y) & a_{22}(x, y) \end{pmatrix} \in SL_2(\mathbb{K}[x, y])$ . If  $\deg a_{ij} = 2$  for some  $i, j \in \{1, 2\}$ , then there exists an automorphism  $\theta \in \text{Aut}(\mathbb{K}[x, y])$  such that  $A^\theta$  is one of the types:*

- 1)  $A^\theta = B_1 B_2 \dots B_k$ ,  $k \geq 1$ ,  $B_i$  are elementary matrices;
- 2)  $A^\theta = B_1 \dots B_s C B_{s+1} \dots B_k$ , where  $B_1 \dots B_s$ ,  $B_{s+1} \dots B_k$  are elementary matrices and  $C$  is one of the form:

$$a) \begin{pmatrix} x^2 & x\psi(y) + \delta \\ \frac{x\psi(y) - \delta}{\delta^2} & \frac{\psi(y)^2}{\delta^2} \end{pmatrix} \quad b) \begin{pmatrix} xy + \gamma & x^k \\ -(-\gamma^{-1}y)^k & \frac{1 - (-\gamma^{-1}xy)^k}{\gamma + xy} \end{pmatrix}$$

for some  $\delta, \gamma \in \mathbb{K}^*$ ,  $\psi(y) \in \mathbb{K}[x, y]$ ,  $k \in \mathbb{Z}$ ,  $k \geq 2$ .

*Proof.* Multiplying the matrix  $A$  from the left or from the right by the matrix  $\begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$  we can assume without loss of generality that  $i = j = 1$ , i.e.  $\deg a_{11} \leq 2$ . Applying a linear automorphism  $\theta$  to the matrix  $A$  we can reduce (by Lemma 3) the element  $a_{11}(x, y)$  to one of the forms

- 1)  $a_{11}(x, y) = x^2 + y$ ;
- 2)  $a_{11}(x, y) = x^2 + \gamma$ ;
- 3)  $a_{11}(x, y) = xy + \gamma$ .

First, let  $a_{11}(x, y) = x^2 + y$ . Then applying Lemma 5 to the first row of the matrix  $A$  we get the matrix  $\begin{pmatrix} 1 & 0 \\ b(x, y) & 1 \end{pmatrix}$  for a polynomial  $b(x, y) \in \mathbb{K}[x, y]$ , recall that multiplying from the left by elementary matrices makes elementary transformations in the first and second rows of  $A$ . The latter means that  $A$  is a product of elementary matrices. In the case  $a_{11}(x, y) = x^2 + \gamma$ ,  $\gamma \in \mathbb{K}$  we get either a product of elementary matrices  $A = B_1 \dots B_k$  or a product of the form  $A = B_1 \dots B_{i-1} C B_{i+1} \dots B_k$ , where  $B_i$  are elementary matrices and  $C$  is of the form

$$C = \begin{pmatrix} x^2 & x\psi(y) + \delta \\ \delta^{-2}(x\psi(y) - \delta) & \delta^{-2}\psi(y)^2 \end{pmatrix}.$$

By Lemma 7, the last case  $a_{11}(x, y) = xy + \gamma$ ,  $\gamma \in \mathbb{K}$  yields the product  $A = B_1 \dots B_{i-1} C B_{i+1} \dots B_k$  with  $C$  of the form

$$C_1 = \begin{pmatrix} xy + \gamma & x^k \\ -(-\gamma^{-1}y)^k & \frac{1 - (-\gamma^{-1}xy)^k}{\gamma + xy} \end{pmatrix}$$

or of the form

$$C_2 = \begin{pmatrix} xy + \gamma & (-\gamma^{-1}y)^k \\ -x^k & \frac{1 - (-\gamma^{-1}xy)^k}{\gamma + xy} \end{pmatrix}.$$

Note that the matrices  $C_1$  and  $C_2$  are conjugated by the automorphism  $\theta : x \mapsto -\gamma^{-1}y$ ,  $y \mapsto -\gamma x$ . The proof is complete. □



### 3. Formulas for associated rows

If a unimodular row  $(f, g)$  is given, then there exists a unimodular row  $(-Q, P)$  such that  $Pf + Qg = 1$  (then the matrix  $\begin{pmatrix} f & g \\ -Q & P \end{pmatrix}$  has determinant of 1). Such a row  $(-Q, P)$  is unique up to a row  $(-\lambda Q, \lambda P)$  for an arbitrary polynomial  $\lambda \in \mathbb{K}[x, y]$ . Really, if  $P'f + Q'g = 1$  for a row  $(P', Q')$ , then  $(P - P')f + (Q - Q')g = 0$ . By Lemma 2,  $P - P' = \lambda g$ ,  $Q - Q' = \lambda f$  for some  $\lambda \in \mathbb{K}[x, y]$  and therefore

$$(P', Q') = (P, Q) + (-\lambda g, \lambda f).$$

Let us point out how one can write homogeneous components of polynomials  $P, Q$  using homogeneous components of  $g$  and  $f$  respectively. We restrict ourselves only to polynomials  $f, g$  of the same degree. Let  $\deg f = \deg g = n$ . Then obviously  $\deg P = \deg Q = m$  for some  $m$ . Write down polynomials  $f, g, P, Q$  as sums of their homogeneous components

$$\begin{aligned} f &= f_0 + \cdots + f_n, & g &= g_0 + \cdots + g_n, \\ P &= P_0 + \cdots + P_m, & Q &= Q_0 + \cdots + Q_m. \end{aligned}$$

Denote  $\varphi = \gcd(f_n, g_n)$ . We assume that all the polynomials  $f, g, P, Q$  are nonconstant ones. Then by the Lemma 3,  $\deg \varphi \geq 1$ . It turns out that  $\varphi^{i+1}P_{m-i}$  and  $\varphi^{i+1}Q_{m-i}$  can be written as linear combinations of  $g'_i$ 's and  $f'_i$ 's, respectively, with the same polynomial coefficients.

**Theorem 2.** *There exist homogeneous polynomials  $\alpha_0, \dots, \alpha_m$  such that for  $0 \leq i \leq m$*

$$\begin{aligned} \varphi^{i+1}P_{m-i} &= \sum_{j=0}^{\min(i,n)} \varphi^j \alpha_{i-j} g_{n-j}, \\ -\varphi^{i+1}Q_{m-i} &= \sum_{j=0}^{\min(i,n)} \varphi^j \alpha_{i-j} f_{n-j}. \end{aligned} \tag{*}$$

*Proof.* Induction on  $i$ . The case  $i = 0$  is a consequence of Lemma 2. Really, we have  $P_m f_n + Q_m g_n = 0$ . Let

$$\varphi = \gcd(f_n, g_n), \quad h_1 = f_n/\varphi, \quad h_2 = g_n/\varphi.$$

By Lemma 2  $P_m = \psi h_2$ ,  $Q_m = -\psi h_1$  for some  $\psi \in \mathbb{K}[x, y]$ . Then

$$\varphi P_m = \psi \varphi h_2 = \psi g_n, \quad -\varphi Q_m = \psi \varphi h_1 = \psi f_n.$$

Putting  $\alpha_0 = \psi$  we get the case  $i = 0$ . Let the formulas (\*) be true for  $i' < i$ , let us prove it for  $i$ . Since  $Pf + Qg = 1$  we have equalities for

homogeneous components in the left side of the later equality:  $(Pf + Qg)_{m+n-i} = 0$  for  $0 \leq i \leq m$ . But the left side of the latter equality can be written in the form

$$\sum_{k=0}^{\min(i,n)} (P_{m-i+k}f_{n-k} + Q_{m-i+k}g_{n-k}) = 0.$$

After multiplying this equality by  $\varphi^{i+1}$  we can rewrite it for  $0 \leq i \leq m$  in the form

$$\sum_{k=0}^{\min(i,n)} \varphi^k \left( \varphi^{i-k+1}P_{m-i+k}f_{n-k} + \varphi^{i-k+1}Q_{m-i+k}g_{n-k} \right) = 0.$$

Replacing  $P_{m-i+k}$  and  $Q_{m-i+k}$ ,  $k \geq 1$  by their expressions due to the induction hypothesis we obtain the equality (we denote  $\min(i, n)$  by  $i \wedge n$  for brevity in the next part of the proof):

$$0 = \varphi^{i+1} (P_{m-i}f_n + Q_{m-i}g_n) + \sum_{k=1}^{i \wedge n} \varphi^k \left( f_{n-k} \sum_{j=0}^{i \wedge n} \varphi^j \alpha_{i-k-j} g_{n-j} - g_{n-k} \sum_{j=0}^{i \wedge n} \varphi^j \alpha_{i-k-j} f_{n-j} \right).$$

The last equality can be rewritten in the form

$$\begin{aligned} &\varphi^{i+1} (P_{m-i}f_n + Q_{m-i}g_n) + g_n \sum_{k=1}^{i \wedge n} \varphi^k \alpha_{i-k} f_{n-k} - f_n \sum_{k=1}^{i \wedge n} \varphi^k \alpha_{i-k} g_{n-k} + \\ &+ \sum_{\substack{1 \leq j, k \leq n \\ j+k \leq i}} \varphi^{j+k} \alpha_{i-k-j} f_{n-k} g_{n-j} - \sum_{\substack{1 \leq j, k \leq n \\ j+k \leq i}} \varphi^{j+k} \alpha_{i-k-j} f_{n-j} g_{n-k} = 0. \end{aligned}$$

Note that the last two sums in this equality give as result 0 and we can write the last equality as

$$\begin{aligned} &\left( \varphi^{i+1}P_{m-i} - \sum_{k=1}^{i \wedge n} \varphi^k \alpha_{i-k} g_{n-k} \right) f_n + \\ &+ \left( \varphi^{i+1}Q_{m-i} - \sum_{k=1}^{i \wedge n} \varphi^k \alpha_{i-k} f_{n-k} \right) g_n = 0. \end{aligned}$$

It follows from Lemma 2 that there exists a polynomial  $\alpha_i$  such that

$$\varphi^{i+1}P_{m-i} - \sum_{k=1}^{i \wedge n} \varphi^k \alpha_{i-k} g_{n-k} = \alpha_i g_n,$$

$$\varphi^{i+1}Q_{m-i} + \sum_{k=1}^{i \wedge n} \varphi^k \alpha_{i-k} f_{n-k} = -\alpha_i f_n.$$

These equalities can be rewritten (in the initial notation) in the form

$$\varphi^{i+1}P_{m-i} = \sum_{k=0}^{\min(i,n)} \varphi^k \alpha_{i-k} g_{n-k}, \quad -\varphi^{i+1}Q_{m-i} = \sum_{k=0}^{\min(i,n)} \varphi^k \alpha_{i-k} f_{n-k}.$$

The proof is complete.  $\square$

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Received by the editors: 03.12.2024.