© Algebra and Discrete Mathematics Volume **32** (2021). Number 2, pp. 226–235 DOI:10.12958/adm1506

# Maximal subgroup growth of a few polycyclic groups<sup>\*</sup>

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Communicated by R. A. Grigorchuk

ABSTRACT. We give here the exact maximal subgroup growth of two classes of polycyclic groups. Let  $G_k = \langle x_1, x_2, \ldots, x_k |$  $x_i x_j x_i^{-1} x_j$  for all  $i < j \rangle$ , so  $G_k = \mathbb{Z} \rtimes (\mathbb{Z} \rtimes (\mathbb{Z} \rtimes \cdots \rtimes \mathbb{Z}))$ . Then for all integers  $k \ge 2$ , we calculate  $m_n(G_k)$ , the number of maximal subgroups of  $G_k$  of index n, exactly. Also, for infinitely many groups  $H_k$  of the form  $\mathbb{Z}^2 \rtimes G_2$ , we calculate  $m_n(H_k)$  exactly.

### Introduction

Let G be a finitely generated group. We denote by  $a_n(G)$  the number of subgroups of G of index n (which is necessarily finite), and we denote by  $m_n(G)$  the number of maximal subgroups of G of index n. Subgroup growth is the study of the growth of different subgroup counting functions in groups, such as  $a_n(G)$ ,  $m_n(G)$ , and  $s_n(G) := \sum_{k=1}^n a_k(G)$ .

People have made great progress in understanding subgroup growth. One highlight is the classification of all finitely generated groups for which  $a_n(G)$  is bounded above by a polynomial in n (see chapter 5 in [7]). Also, Jaikin-Zapirain and Pyber made a significant advance in [3], where they give a "semi-structural characterization" of groups G for which  $m_n(G)$  is bounded above by a polynomial in n.

<sup>\*</sup>This paper was done with the support of the Student Collaborative Research grant at Colorado College.

<sup>2020</sup> MSC: 20E07.

 $<sup>{\</sup>bf Key}\ {\bf words}\ {\bf and}\ {\bf phrases:}\ {\rm maximal}\ {\rm subgroup}\ {\rm growth},\ {\rm polycyclic}\ {\rm groups},\ {\rm semidirect}\ {\rm products}.$ 

For calculating the *word* growth in a group with polynomial growth, the degree is given by a nice, simple formula. However, for subgroup growth, it is often very challenging, given a group G of polynomial subgroup growth, to calculate its degree of polynomial growth deg(G):

$$\deg(G) = \inf\{\alpha \mid a_n(G) \leq n^{\alpha} \text{ for all large } n\} = \limsup \frac{\log a_n(G)}{\log n}.$$

Similarly, for groups G with polynomial maximal subgroup growth, it is often difficult to determine mdeg(G), where

$$\operatorname{mdeg}(G) = \inf\{\alpha \mid m_n(G) \leq n^{\alpha} \text{ for all large } n\} = \limsup \frac{\log m_n(G)}{\log n}.$$

Progress has been made in both areas. In [9], Shalev calculated  $\deg(G)$  exactly for certain metabelian groups and for all virtually abelian groups. In [6], the first author calculated  $m\deg(G)$  for some metabelian groups, and in [4] he does so for all virtually abelian groups.

The groups G for which  $\operatorname{mdeg}(G)$  is known are rare, and rarer still are groups for which an exact formula for  $m_n(G)$  is known. In [2], Gelman gives a beautiful, exact formula for  $a_n(\operatorname{BS}(a, b))$ , assuming  $\operatorname{gcd}(a, b) = 1$ , where  $\operatorname{BS}(a, b)$  is the Baumslag-Solitar group having presentation  $\langle x, y |$  $y^{-1}x^ay = x^b \rangle$ . Gelman's argument can be easily modified to give an exact formula for  $m_n(BS(a, b))$ , where again  $\operatorname{gcd}(a, b) = 1$ . (Alternatively, a different argument, that explains why  $\operatorname{gcd}(a, b) = 1$  is such a nice assumption, is given by the first author in [5].)

Since there are so few groups G for which  $m_n(G)$  has been calculated, this paper gives exact formulas for two infinite classes of polycyclic groups.

For  $k \ge 2$ , consider the group  $G_k$  with presentation

$$\langle x_1, x_2, \dots, x_k | x_i x_j x_i^{-1} x_j \text{ for all } i < j \rangle.$$

Then  $G_k$  has the form  $\mathbb{Z} \rtimes (\mathbb{Z} \rtimes (\mathbb{Z} \rtimes \cdots \rtimes \mathbb{Z}))$ , where the *i*th  $\mathbb{Z}$ , reading from right to left, is generated by  $x_i$ . Note that the Hirsch length of  $G_k$  is k, and so if  $i \neq j$ , then  $G_i \ncong G_j$ . In Theorem 3, we calculate  $m_n(G_k)$  exactly for  $k \ge 2$ .

Let  $G_2$  be as above. Note that  $G_2$  is the Baumslag-Solitar group BS(1,-1), also known as the fundamental group of the Klein bottle. We will write  $G_2 = \mathbb{Z} \rtimes \mathbb{Z}$  as  $\langle b \rangle \rtimes \langle a \rangle$  instead of  $\langle x_2 \rangle \rtimes \langle x_1 \rangle$ . For  $k \in \mathbb{Z}$ , we will define the group  $H_k$ , which is of the form  $\mathbb{Z}^2 \rtimes G_2$ . The generator aacts (by conjugation) on  $\mathbb{Z}^2$  by multiplication by the matrix  $A = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , and the generator b acts (by conjugation) on  $\mathbb{Z}^2$  by multiplication by the matrix  $B_k = \begin{pmatrix} 0 & 1 \\ -1 & k \end{pmatrix}$ . Then in Theorem 9, we calculate  $m_n(H_k)$  exactly for all  $k \in \mathbb{Z}$ . A consequence of this theorem is that among the groups  $H_k$ , there are infinitely many that are pairwise non-isomorphic. Also, it is interesting that  $mdeg(H_2) = 2$ , but  $mdeg(H_k) = 1$  for all  $k \neq 2$ .

One reason for studying the two families  $\{G_k\}_{k\geq 2}$  and  $\{H_k\}_{k\in\mathbb{Z}}$  is that the first author thinks that it might be possible to extend the methods of [6] to apply to the class of polycyclic groups. In particular, it might be feasible to give an exact formula for mdeg(G) when G is a group of the form  $A_k \rtimes (A_{k-1} \rtimes (A_{k-2} \rtimes \ldots \rtimes A_1))$ , where each  $A_i$  is a finitely generated abelian group. Another reason why we chose the particular infinite families we did is that (besides  $G_2$ ) they appeared to be the easiest such groups to work with that aren't of the form  $A_2 \rtimes A_1$ .

# 1. Groups of the form $\mathbb{Z} \rtimes (\mathbb{Z} \rtimes (\mathbb{Z} \rtimes \cdots \rtimes \mathbb{Z}))$

For a group  $G = N \rtimes H$  with N abelian, to calculate  $m_n(G)$ , it is useful to consider the *H*-module structure given by G on N. See Lemma 5 from [6].

Let  $G_k$  be as in the introduction, and let  $G_1 = \mathbb{Z}$ . For a group G and N a G-module, recall that a function  $\delta : G \to N$  is called a derivation (or a 1-cocycle) if  $\delta(gh) = \delta(g) + g \cdot \delta(h)$  for all  $g, h \in G$ . The set of derivations from G to N is denoted Der(G, N). In the following lemma, we will use the fact that if  $\delta \in \text{Der}(G, N)$ , then for  $g \in G$ , we have  $\delta(g^{-1}) = -g^{-1}\delta(g)$  which follows from the fact that  $\delta(g^{-1}g) = \delta(1) = 0$ .

**Lemma 1.** Let S be a  $G_k$ -module. There is a one-to-one correspondence between the set  $\text{Der}(G_k, S)$  and the set  $\Delta$  of all functions  $\delta$  :  $\{x_1, x_2, \ldots x_k\} \rightarrow S$  satisfying

$$(1 - x_j^{-1})\delta(x_i) = (-x_i - x_j^{-1})\delta(x_j)$$
 for all *i*, *j* with *i* < *j*. (\*)

*Proof.* If  $\delta \in \Delta$ , then exercise 3(a) in [1] (pg. 90) (or Lemma 2.20 from [4]), gives us a unique derivation  $\delta : F_k \to S$ , where  $F_k$  is the free group on k generators and the action of  $F_k$  on S is the induced action. So by slight abuse of notation, by taking  $\delta$  in  $\Delta$ , we mean the derivation of the free group  $F_k$  that corresponds to the map  $\delta \in \Delta$ .

Let  $\delta$  be an element either of  $\text{Der}(G_k, S)$  or of  $\Delta$ . We will show that  $\delta(x_i x_j x_i^{-1} x_j) = 0$  for all i < j if and only if (\*) holds. Fix *i* and *j* with

i < j. Then

$$\begin{split} \delta(x_i x_j x_i^{-1} x_j) &= \delta(x_i) + x_i \delta(x_j) + x_i x_j \delta(x_i^{-1}) + x_i x_j x_i^{-1} \delta(x_j) \\ &= \delta(x_i) + x_i \delta(x_j) - x_i x_j x_i^{-1} \delta(x_i) + x_j^{-1} \delta(x_j) \\ &= \delta(x_i) + x_i \delta(x_j) - x_j^{-1} \delta(x_i) + x_j^{-1} \delta(x_j) \\ &= (\delta(x_i) - x_j^{-1} \delta(x_i)) - (-x_i \delta(x_j) - x_j^{-1} \delta(x_j)) \\ &= (1 - x_j^{-1}) \delta(x_i) - (-x_i - x_j^{-1}) \delta(x_j) \end{split}$$

If  $\delta \in \text{Der}(G_k, S)$ , then  $\delta(x_i x_j x_i^{-1} x_j) = 0$  because  $\delta(1) = 0$ , and so (\*) holds. Conversely, if  $\delta \in \Delta$ , then that (\*) holds implies  $\delta(x_i x_j x_i^{-1} x_j) = 0$  for all i < j, in which case Lemma 2.19 from [4], which is basically exercise 4(a) in [1] (pg. 90), gives a derivation  $\delta$  from  $G_k$  to S.

**Lemma 2.** Consider  $\mathbb{Z}/p\mathbb{Z}$ , a simple  $G_k$ -module, where each generator  $x_i$  of  $G_k$  acts on  $\mathbb{Z}/p\mathbb{Z}$  by multiplication by -1. Then

$$|\operatorname{Der}(G_k, \mathbb{Z}/p\mathbb{Z})| = \begin{cases} 2^k & \text{if } p = 2\\ p & \text{if } p \neq 2. \end{cases}$$

*Proof.* If p = 2, then the action of  $G_k$  on  $\mathbb{Z}/2\mathbb{Z}$  is trivial, and so  $|\text{Der}(G_k, \mathbb{Z}/2\mathbb{Z})| = |\text{Hom}(G_k, \mathbb{Z}/2\mathbb{Z})|$ , which is  $2^k$ .

Next, suppose  $p \neq 2$ . The action of  $(1 - x_i^{-1})$  and  $(-x_i - x_j^{-1})$  on  $\mathbb{Z}/p\mathbb{Z}$  is multiplication by 2, which is invertible since  $p \neq 2$ . So (\*) from Lemma 1 becomes  $2\delta(x_i) = 2\delta(x_j)$  for all i < j, which simplifies to  $\delta(x_i) = \delta(x_j)$  for all i < j.

So by Lemma 1, we may choose a derivation by picking  $\delta(x_k)$  to be any element of  $\mathbb{Z}/p\mathbb{Z}$ , and then letting  $\delta(x_i) = \delta(x_k)$  for all i < k. Thus  $|\text{Der}(G_k, \mathbb{Z}/p\mathbb{Z})| = |\mathbb{Z}/p\mathbb{Z}| = p$ .

**Theorem 3.** Let  $G_k$  be as above. Then

$$m_n(G_k) = \begin{cases} 1 + (k-1)n & \text{if } n \text{ is a prime with } n > 2\\ 2^k - 1 & \text{if } n = 2\\ 0 & \text{if } n \text{ is not prime.} \end{cases}$$
(\*\*)

*Proof.* Consider N, the subgroup of  $G_k$  generated by  $x_k$ . Then  $N \cong \mathbb{Z}$ , and  $N \trianglelefteq G_k$  with  $G_k/N \cong G_{k-1}$ . So, N is a  $G_{k-1}$ -module. Since  $G_k \cong N \rtimes G_{k-1}$ , Lemma 5 from [6] gives us

$$m_n(G_k) = m_n(G_{k-1}) + \sum_{N_0} |\text{Der}(G_{k-1}, N/N_0)|$$

where the sum is over all maximal submodules  $N_0$  of N of index n. Of course, the maximal submodules of N are precisely the subgroups of prime index. Thus if n is not prime, then  $m_n(G_k) = 0$ ; this follows by induction on k.

Fix a prime p. For both cases p > 2 and p = 2, we proceed by induction on k.

First, let p > 2, and let k = 1. Then  $m_p(G_1) = 1 = 1 + (k - 1)p$ . Assume (\*\*) holds for k = a. Then  $m_p(G_a) = 1 + (a - 1)p$ . Consider k = a + 1. We have

$$m_p(G_{a+1}) = m_p(G_a) + \sum_{N_0} |\text{Der}(G_a, N/N_0)|.$$

By Lemma 2,  $\sum_{N_0} |\text{Der}(G_a, N/N_0)| = p$ . So  $m_p(G_{a+1}) = 1 + (a-1)p + p = 1 + (a+1-1)p$ , the desired result.

Finally, let p = 2, and let k = 1. Then  $m_2(G_1) = 1 = 2^1 - 1$ . Assume (\*\*) holds for k = a. Then  $m_2(G_a) = 2^a - 1$ . Consider k = a + 1. Then  $m_2(G_{a+1}) = m_2(G_a) + |\text{Der}(G_a, \mathbb{Z}/2\mathbb{Z})|$ . By Lemma 2,  $|\text{Der}(G_a, \mathbb{Z}/2\mathbb{Z})| = 2^a$ . Thus  $m_2(G_{a+1}) = 2^a - 1 + 2^a = 2^{a+1} - 1$ , the desired result.  $\Box$ 

# 2. Some groups of the form $\mathbb{Z}^2 \rtimes (\mathbb{Z} \rtimes \mathbb{Z})$

Next, we will define the groups  $H_k$ , which are of the form  $\mathbb{Z}^2 \rtimes (\mathbb{Z} \rtimes \mathbb{Z})$ . We will write  $G_2 = \mathbb{Z} \rtimes \mathbb{Z}$  as  $\langle b \rangle \rtimes \langle a \rangle$  instead of  $\langle x_2 \rangle \rtimes \langle x_1 \rangle$ . Recall that  $G_2 = \langle a, b | aba^{-1}b \rangle$ . To form a group of the form  $\mathbb{Z}^2 \rtimes (\mathbb{Z} \rtimes \mathbb{Z})$ , what we need is an action of  $G_2$  on  $\mathbb{Z}^2$ , and so, we just need to find matrices  $A, B \in \operatorname{GL}_2(\mathbb{Z})$  such that  $ABA^{-1}B = I_2$ . With this, we can say that the action (by conjugation) of the generator a on  $\mathbb{Z}^2$  is multiplication by the matrix A, and the generator b acts (by conjugation) on  $\mathbb{Z}^2$  by multiplication by the matrix B.

We will take  $A = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . Let  $B = \begin{pmatrix} w & x \\ y & z \end{pmatrix}$ . Then  $ABA^{-1}B = \begin{pmatrix} y^2 + wz & yz + xz \\ wy + xw & wz + x^2 \end{pmatrix}$ , and we will find solutions which make this equal  $I_2$ . We thus have  $y^2 + wz = 1$ ,  $wz + x^2 = 1$ , wy + xw = 0 (equivalently, w = 0 or x + y = 0), and yz + xz = 0 (equivalently, z = 0 or x + y = 0). Also, we have  $wz - xy = \pm 1$ . One way to solve these equations is to let w = 0. Then  $x, y = \pm 1$ . Take x = 1. If we take y = -1, then z can be any integer.

Let the group  $H_k$  be the group formed when we take B to be  $B_k = \begin{pmatrix} 0 & 1 \\ -1 & k \end{pmatrix}$ . Our choice of A and B is in part to make calculating  $m_n(H_k)$  be as simple as possible, but other choices could also be considered.

For a module M, we let  $N \leq M$  denote that N is a submodule of M.

**Lemma 4.** Consider  $\mathbb{Z}^2$  to be a  $G_2$ -module as above. Let M be a maximal submodule of  $\mathbb{Z}^2$ . Then  $p\mathbb{Z}^2 \leq M$  for some prime p.

*Proof.* First, recall that every maximal subgroup of a polycyclic group has prime power index; this follows, for example, from the proof of Result 5.4.3 (iii) in [8].

Let  $H_k$  be as above, so  $H_k = \mathbb{Z}^2 \rtimes G_2$ . We claim that M yields a maximal subgroup of  $H_k$  with index equal to  $[\mathbb{Z}^2 : M]$ . Indeed, we have that  $H_k/M \cong (\mathbb{Z}^2/M) \rtimes G_2$ . Thus  $\mathbb{Z}^2/M$  has a complement in  $(\mathbb{Z}^2/M) \rtimes G_2$ , which by Lemma 3 of [6] must be maximal and of index  $[\mathbb{Z}^2 : M]$ . Then just take its preimage in  $H_k$ .

Since M yields a maximal subgroup of  $H_k$  with index equal to  $[\mathbb{Z}^2 : M]$ and  $H_k$  is polycyclic, we must have  $[\mathbb{Z}^2 : M] = p^j$  for some prime p. Therefore,  $p^j \mathbb{Z}^2 \leq M$ . Consider the group  $\mathbb{Z}^2/p^j \mathbb{Z}^2$ . Its Frattini subgroup is  $p\mathbb{Z}^2/p^j\mathbb{Z}^2$ , and therefore,  $p\mathbb{Z}^2/p^j\mathbb{Z}^2 + M/p^j\mathbb{Z}^2 = M/p^j\mathbb{Z}^2$ . And hence  $p\mathbb{Z}^2 + M = M$ , that is,  $p\mathbb{Z}^2 \leq M$ .

For a module N and for  $n_i \in N$  for i = 1, ..., t, the submodule they generate is denoted  $\langle n_1, n_2, ..., n_t \rangle$ . For a prime p, consider the submodule  $M_{p,\mathbf{w}}$  of  $\mathbb{Z}^2$ , where  $M_{p,\mathbf{w}} = \langle \begin{pmatrix} p \\ 0 \end{pmatrix}, \begin{pmatrix} p \\ p \end{pmatrix}, \mathbf{w} \rangle$ , with  $\mathbf{w} \in \mathbb{Z}^2$ . We will assume  $\mathbf{w} \notin p\mathbb{Z}^2$ . Then  $M_{p,\mathbf{w}}$  is a proper (and hence maximal) submodule of  $\mathbb{Z}^2$ if and only if the image of  $\mathbf{w}$  in  $\mathbb{Z}/p\mathbb{Z}^2$  is an eigenvector of both matrices A and  $B_k$ , considered as elements of  $\operatorname{GL}_2(\mathbb{F}_p)$ .

Let  $\mathbf{v} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  and  $\mathbf{u} = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$ , and let  $M_p = M_{p,\mathbf{v}}$  and  $M_{p,-1} = M_{p,\mathbf{u}}$ . Of course,  $\mathbf{v}$  and  $\mathbf{u}$  are eigenvectors of  $A \in \operatorname{GL}_2(\mathbb{F}_p)$  for all p. (And  $\mathbf{v} \equiv \mathbf{u}$  (mod 2). Hence,  $M_2 = M_{2,-1}$ .)

**Lemma 5.** With the above notation, we have that  $M_p$  is a maximal submodule of  $\mathbb{Z}^2$  if and only if  $p \mid k - 2$ . Also,  $M_{p,-1}$  is a maximal submodule of  $\mathbb{Z}^2$  if and only if  $p \mid k + 2$ . Further,  $M_{p,\mathbf{w}}$  is not a proper submodule of  $\mathbb{Z}^2$  unless  $M_{p,\mathbf{w}} = M_p$  or  $M_{p,-1}$ . Thus,  $p\mathbb{Z}^2$  is a maximal submodule of  $\mathbb{Z}^2$  if and only if  $p \nmid (k-2)(k+2)$ . Finally there are no maximal submodules of  $\mathbb{Z}^2$  besides (the appropriate)  $M_p$ ,  $M_{p,-1}$ , and  $p\mathbb{Z}^2$ .

*Proof.* Let **v** and **u** be as above, but consider them as elements of  $\mathbb{Z}^2/p\mathbb{Z}^2$ . We have that  $B_k \mathbf{v} = \binom{1}{k-1}$ , and so  $B_k \mathbf{v} = \lambda \mathbf{v}$  for some  $\lambda \in \mathbb{Z}$  if and only if  $k-1 \equiv 1 \pmod{p}$ , i.e. if and only if  $p \mid k-2$ .

Also,  $B_k \mathbf{u} = \begin{pmatrix} -1 \\ -1-k \end{pmatrix}$ , and since multiples of  $\mathbf{u}$  are characterized by the sum of their coordinates being 0 (mod p),  $B_k \mathbf{u} = \lambda \mathbf{u}$  for some  $\lambda \in \mathbb{Z}$  if and only if  $-1 - 1 - k \equiv 0 \pmod{p}$ , which is equivalent to  $p \mid k + 2$ .

That no other  $M_{p,\mathbf{w}}$  is a proper submodule of  $\mathbb{Z}^2$  follows from the fact that any eigenvector of A is a multiple of  $\mathbf{v}$  or of  $\mathbf{u}$ .

Next, let  $p \nmid (k-2)(k+2)$ . Since neither  $M_p$  nor  $M_{p,-1}$  is a maximal submodule of  $\mathbb{Z}^2$  and neither is any other  $M_{p,\mathbf{w}}$ , we have that  $p\mathbb{Z}^2$  is a maximal submodule of  $\mathbb{Z}^2$ . And if  $p \mid (k-2)(k+2)$ , then  $p \mid k-2$  or  $p \mid k+2$ , in which case  $M_p$  or  $M_{p,-1}$  is a proper submodule of  $\mathbb{Z}^2$  that properly contains  $p\mathbb{Z}^2$ .

The final statement of this lemma follows from the previous parts of this lemma, together with Lemma 4; indeed it follows from Lemma 4 that any maximal submodule is either  $p\mathbb{Z}^2$  or of the form  $M_{p,\mathbf{w}}$ .

For a module N, we let  $\tilde{m}_n(N)$  denote the number of maximal submodules of N of index n.

Corollary 6. We have

$$\tilde{m}_n(\mathbb{Z}^2) = \begin{cases} 1 & \text{if } n \text{ is a prime } p, \text{ and } p \mid (k-2)(k+2) \\ 1 & \text{if } n = p^2 \text{ for some prime } p, \text{ and } p \nmid (k-2)(k+2) \\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* Note that if  $p \mid k-2$  and  $p \mid k+2$ , then  $k-2 \equiv k+2 \pmod{p}$ , whence p = 2. And using the previous notation, recall that  $M_2 = M_{2,-1}$ . This corollary then follows from Lemma 5.

**Lemma 7.** Consider  $G_2$  with presentation  $\langle a, b \mid aba^{-1}b \rangle$ , as described above. Let S be a  $G_2$ -module. Then there is a one-to-one correspondence between the set  $Der(G_2, S)$  and the set of functions  $\delta : \{a, b\} \to S$  satisfying

$$(1 - b^{-1})\delta(a) = (-a - b^{-1})\delta(b). \qquad (* * *)$$

*Proof.* This follows from Lemma 1.

**Lemma 8.** Fix k, and let  $\mathbb{Z}^2$  have the  $G_2$ -module structure given above. For a given prime p, define S as either  $\mathbb{Z}^2/M_p$ ,  $\mathbb{Z}^2/M_{p,-1}$  or  $\mathbb{Z}^2/p\mathbb{Z}^2$  such that S is simple (see Lemma 5). Any simple quotient of  $\mathbb{Z}^2$  must be some such S. Then

$$|\text{Der}(G_2, S)| = \begin{cases} |S|^2 = p^2 & \text{if } p \mid k-2\\ |S| = p & \text{if } p \mid k+2 \text{ and } p > 2\\ |S| = p^2 & \text{if } p \nmid (k-2)(k+2). \end{cases}$$

*Proof.* That any simple quotient of  $\mathbb{Z}^2$  is some such S follows from Lemma 5.

Let  $\delta \in \text{Der}(G_2, S)$  (to be specified later). The element  $1-b^{-1}$  in (\*\*\*) from Lemma 7 acts on  $\delta(a)$  by multiplication by the matrix  $I_2 - B_k^{-1} = \begin{pmatrix} 1-k & 1 \\ -1 & 1 \end{pmatrix}$  which has determinant 2-k, and the element  $-a - b^{-1}$  acts by multiplication by the matrix  $-A - B_k^{-1} = \begin{pmatrix} -k & 0 \\ -2 & 0 \end{pmatrix}$ .

First, suppose  $p \nmid k - 2$ . Then by Lemma 5, either  $M_{p,-1}$  or  $p\mathbb{Z}^2$  is a maximal submodule of  $\mathbb{Z}^2$ , depending on whether or not  $p \mid k+2$ . Notice that if p = 2, then  $p \mid k+2$  implies  $p \mid k-2$ , and hence if  $p \mid k+2$ , then p > 2 (because we are assuming here that  $p \nmid k-2$ ).

In this case,  $I_2 - B_k^{-1}$  is invertible, considered as a 2 × 2 matrix over  $\mathbb{F}_p$ . Hence (\* \* \*) from Lemma 7 may be written as

$$\delta(a) = (I_2 - B_k^{-1})^{-1} (-A - B_k^{-1}) \delta(b).$$

And so in this case, we are free to choose  $\delta(b)$  to be any element of S, and then this determines what  $\delta(a)$  must be. Thus we would have  $|\text{Der}(G_2, S)| = |S|$ . If  $p \mid k+2$ , then  $S = \mathbb{Z}^2/M_{p,-1}$ , and |S| = p. If  $p \nmid k+2$ , then  $S = \mathbb{Z}^2/p\mathbb{Z}^2$ , and  $|S| = p^2$ .

Next, suppose that  $p \mid k-2$ . Then  $I_2 - B_k^{-1} \equiv \begin{pmatrix} -1 & 1 \\ -1 & 1 \end{pmatrix}$  and  $-A - B_k^{-1} \equiv \begin{pmatrix} -2 & 0 \\ -2 & 0 \end{pmatrix} \pmod{p}$ . Also,  $p \mid k-2$  implies (by Lemma 5) that  $M_p$  is a maximal submodule of  $\mathbb{Z}^2$ . By Corollary 6, we have that  $\tilde{m}_p(\mathbb{Z}^2) \leq 1$ , and thus  $S = \mathbb{Z}^2/M_p$ .

We have that  $\{ \begin{pmatrix} i \\ 0 \end{pmatrix} : 0 \leq i is a complete set of representatives of <math>\mathbb{Z}^2/M_p$ . Then letting  $\delta(a) = \begin{pmatrix} i \\ 0 \end{pmatrix} + M_p$  and  $\delta(b) = \begin{pmatrix} j \\ 0 \end{pmatrix} + M_p$ , we have that equation (\*\*\*) from Lemma 7 holds because  $(I_2 - B_k^{-1}) \begin{pmatrix} i \\ 0 \end{pmatrix} = \begin{pmatrix} -i \\ -i \end{pmatrix} \in M_p$  and  $(-A - B_k^{-1}) \begin{pmatrix} j \\ 0 \end{pmatrix} = \begin{pmatrix} -2j \\ -2j \end{pmatrix} \in M_p$ . And so both  $(1 - b^{-1})\delta(a)$  and  $(-a - b^{-1})\delta(b)$  are the trivial element of  $\mathbb{Z}^2/M_p$ . Therefore, in this case, we have  $|S|^2$  options for a derivation from  $G_2$  to S.

#### Theorem 9. We have

$$m_n(H_k) = \begin{cases} n^2 + n + 1 & \text{if } n \text{ is prime, and } n \mid k - 2\\ 2n + 1 & \text{if } n \text{ is prime, and } n \mid k + 2 \text{ with } p > 2\\ n + 1 & \text{if } n \text{ is prime, and } n \nmid (k - 2)(k + 2)\\ n & \text{if } n = p^2 \text{ for some prime } p, \text{ and}\\ p \nmid (k - 2)(k + 2)\\ 0 & \text{otherwise.} \end{cases}$$

*Proof.* Consider  $\mathbb{Z}^2 \leq \mathbb{Z}^2 \rtimes G_2$ . By Lemma 5 from [6], we have

$$m_n(H_k) = m_n(G_2) + \sum_{N_0} |\text{Der}(G_2, \mathbb{Z}^2/N_0)|$$
 (1)

where the sum is over all maximal submodules  $N_0$  of  $\mathbb{Z}^2$  of index *n*. Also, by Theorem 3, we have

$$m_n(G_2) = \begin{cases} n+1 & \text{if } n \text{ is a prime} \\ 0 & \text{otherwise.} \end{cases}$$
(2)

We have that Lemma 8 and Corollary 6 together imply that

$$\sum_{N_0} |\operatorname{Der}(G_2, \mathbb{Z}^2/N_0)| = \begin{cases} n^2 & \text{if } n \text{ is prime, and } n \mid k-2 \\ n & \text{if } n \text{ is prime and } n \mid k+2 \text{ with } n>2 \\ n & \text{if } n = p^2 \text{ for some prime } p, \text{ and} \\ p \nmid (k-2)(k+2) \\ 0 & \text{otherwise.} \end{cases}$$
(3)

The present theorem follows from (1) by adding (2) and (3).

For  $n \in \mathbb{Z}$ , let  $\pi(n)$  denote the set of prime numbers dividing n. Then a consequence of Theorem 9 is that for  $i, j \in \mathbb{Z}$ , if  $\pi(i-2) \neq \pi(j-2)$ or  $\pi(i+2) \neq \pi(j+2)$ , then  $H_i \cong H_j$ . Also, note that  $\operatorname{mdeg}(H_2) = 2$ (because  $\pi(0)$  is the set of all primes), and for all  $k \neq 2$ ,  $\operatorname{mdeg}(H_k) = 1$ .

### Acknowledgment

We would like to thank the referee for a detailed referee report that helped us improve this paper.

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Received by the editors: 03.12.2019 and in final form 04.01.2021.