

# Cancellation ideals of a ring extension

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**ABSTRACT.** We study properties of cancellation ideals of ring extensions. Let  $R \subseteq S$  be a ring extension. A nonzero  $S$ -regular ideal  $I$  of  $R$  is called a *(quasi)-cancellation ideal* of the ring extension  $R \subseteq S$  if whenever  $IB = IC$  for two  $S$ -regular (finitely generated)  $R$ -submodules  $B$  and  $C$  of  $S$ , then  $B = C$ . We show that a finitely generated ideal  $I$  is a cancellation ideal of the ring extension  $R \subseteq S$  if and only if  $I$  is  $S$ -invertible.

## 1. Introduction and background

Throughout this article, we assume that all rings are commutative with identity. The notion of cancellation ideal for a ring has been studied in [1] and [2]. An ideal  $I$  of a ring  $R$  is called *cancellation ideal* if whenever  $IB = IC$  for two ideals  $B$  and  $C$  of  $R$ , then  $B = C$  [2]. A finitely generated ideal is a cancellation ideal if and only if for each maximal ideal  $M$  of  $R$ ,  $I_M$  is a regular principal ideal of  $R_M$  [1, Theorem 1]. D.D Anderson and D.F Anderson used the notion of cancellation ideal to characterize Prüfer domain. A ring  $R$  is a Prüfer domain if and only if every finitely generated nonzero ideal of  $R$  is a cancellation ideal [1, Theorem 6]. In this paper, we study the notion of cancellation ideal for ring extensions; which is a generalization of the notion of cancellation ideal for rings. Let  $R \subseteq S$  be a ring extension, and let  $A$  be an  $R$ -submodule of  $S$ . The  $R$ -submodule  $A$  is said to be  *$S$ -regular* if  $AS = S$  [5, Definition 1, p. 84]. For two  $R$ -submodules  $E, F$  of  $S$ , denote by  $[E : F]$  the set of all  $x \in S$  such that  $xF \subseteq E$ .

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An  $R$ -submodule  $A$  of  $S$  is said to be  $S$ -invertible, if there exists an  $R$ -submodule  $B$  of  $S$  such that  $AB = R$  [5, Definition 3, p 90]. In this case, we write  $B = A^{-1}$ , and  $A^{-1} = [R : A] = \{x \in S : xA \subseteq R\}$  [5, Remark 1.10, p. 90]. For the  $R$ -submodule  $A$  of  $S$ , and for a multiplicative subset  $\tau$  of  $R$ , we denote by  $A_{[\tau]}$  the set of all  $x \in S$  such that  $tx \in A$  for some  $t \in \tau$ . If  $\mathfrak{p}$  is a prime ideal of  $R$ , and  $\tau = R \setminus \mathfrak{p}$ , then  $A_{[\mathfrak{p}]}$  denotes the set of all  $x \in S$  such that  $tx \in A$  for some  $t \in \tau$ . The set  $A_{[\tau]}$  is called the saturation of  $A$  by  $\tau$ . Properties of the saturation of a submodule are studied in [5, p. 18] and [6].

An  $S$ -regular ideal  $I$  of  $R$  is called (quasi)-cancellation ideal of the ring extension  $R \subseteq S$  if whenever  $IB = IC$  for two  $S$ -regular (finitely generated)  $R$ -submodules  $B$  and  $C$  of  $S$ , then  $B = C$ . In section 2, we study properties of (quasi)-cancellation ideals of ring extensions. In Proposition 2.4, we prove that a finitely generated  $S$ -regular ideal  $I$  of  $R$  is a cancellation ideal if and only if it is a quasi-cancellation ideal. In Theorem 2.12, we show that for an  $S$ -regular finitely generated ideal  $I$  of  $R$ , the followings are equivalent:

- (1)  $I$  is a cancellation ideal of the ring extension  $R \subseteq S$ .
- (2)  $I$  is an  $S$ -invertible ideal of  $R$ .
- (3)  $IR[X]$  is a cancellation ideal of the ring extension  $R[X] \subseteq S[X]$ .

**Remark 1.1.** Let  $R \subseteq S$  be a ring extension, and let  $A, B$  be two  $R$ -submodules of  $S$ . Then  $A = B$  if and only if  $A_{[\mathfrak{m}]} = B_{[\mathfrak{m}]}$  for each maximal ideal  $\mathfrak{m}$  of  $R$ . In fact, if  $A = B$ , then it clear that  $A_{[\mathfrak{m}]} = B_{[\mathfrak{m}]}$  for each maximal ideal  $\mathfrak{m}$  of  $R$ . Conversely, if  $A_{[\mathfrak{m}]} = B_{[\mathfrak{m}]}$  for each  $\mathfrak{m} \in \mathcal{M}$ , where  $\mathcal{M}$  is the set of all maximal ideals of  $R$ , then by [5, Remark 5.5, p. 50], we have  $A = \bigcap_{\mathfrak{m} \in \mathcal{M}} A_{[\mathfrak{m}]} = \bigcap_{\mathfrak{m} \in \mathcal{M}} B_{[\mathfrak{m}]} = B$ .

Let  $R \subseteq S$  and  $L \subseteq T$  be two ring extensions, and consider the following commutative diagram

$$\begin{array}{ccc} R & \xrightarrow{\alpha} & L \\ \downarrow & & \downarrow \\ S & \xrightarrow{\Psi} & T \end{array}$$

where  $\ker \Psi$  is an ideal of  $R$ ,  $\Psi : S \rightarrow T$  is surjective, the restriction  $\alpha : R \rightarrow L$  of  $\Psi$  is also surjective and the vertical mappings are inclusions. When  $\ker \Psi$  is a maximal ideal of  $S$ , the previous commutative diagram is called a *pullback diagram a type*  $\square$ . Pullback diagrams of type  $\square$  are studied by S. Gabelli and E. Houston in [4].

**Lemma 1.2.** *Consider the above pullback diagram of type  $\square$ . If  $A, B$  are two  $S$ -regular ideals of  $R$  such that  $\Psi(A) = \Psi(B)$ , then  $A = B$ .*

*Proof.* Let  $A, B$  be two  $S$ -regular ideals of  $R$  such that  $\Psi(A) = \Psi(B)$ . By [7, Remark 1.1], we have  $\ker \Psi \subseteq A$  and  $\ker \Psi \subseteq B$ . Let  $a \in A$ . Then there exists  $b \in B$  such that  $\Psi(a) = \Psi(b)$ . Hence  $a - b \in \ker \Psi \subseteq B$ . Thus  $a \in B$ . This shows that  $A \subseteq B$ . With the same argument,  $B \subseteq A$ . Thus  $A = B$ .  $\square$

## 2. Cancellation ideals of ring extensions

In this section, we define and study properties of cancellation ideals of ring extensions.

**Definition 2.1.** *Let  $R \subseteq S$  be a ring extension. A nonzero  $S$ -regular ideal  $I$  of  $R$  is called a (quasi)-cancellation ideal of the ring extension  $R \subseteq S$  if whenever  $IB = IC$  for two  $S$ -regular (finitely generated)  $R$ -submodules  $B$  and  $C$  of  $S$ , then  $B = C$ .*

The following proposition studies cancellation ideals in pullback diagram of type  $\square$ . In this article, the Jacobson radical of a ring is denoted  $\text{Jac}(R)$ .

**Proposition 2.2.** *Suppose that the following diagram*

$$\begin{array}{ccc} R & \longrightarrow & L \\ \downarrow & & \downarrow \\ S & \xrightarrow{\Psi} & T \end{array}$$

*is a pullback diagram of type  $\square$  such that  $\ker \Psi \subseteq \text{Jac}(R)$ . Then an  $S$ -regular ideal  $I$  of  $R$  is a cancellation ideal of the extension  $R \subseteq S$  if and only if  $\Psi(I)$  is a cancellation ideal of the extension  $L \subseteq T$ .*

*Proof.* Suppose that  $I$  is a cancellation ideal of the extension  $R \subseteq S$ . Since  $IS = S$ , we have  $\Psi(I)\Psi(S) = \Psi(S)$ . It follows that  $\Psi(I)T = T$ . Hence  $\Psi(I)$  is a  $T$ -regular ideal of  $L$ . Let  $E$  and  $F$  be two  $T$ -regular  $L$ -submodules of  $T$  such that  $\Psi(I)E = \Psi(I)F$ . Let  $B = \Psi^{-1}(E)$  and  $C = \Psi^{-1}(F)$ . Then by [7, Lemma 2.8(1)]  $B$  and  $C$  are two  $S$ -regular ideals of  $R$ . Furthermore,  $E = \Psi(B)$  and  $F = \Psi(C)$  since  $\Psi$  is surjective. It follows from the equality  $\Psi(I)E = \Psi(I)F$  that  $\Psi(I)\Psi(B) = \Psi(I)\Psi(C)$ . Hence  $\Psi(IB) = \Psi(IC)$ . Furthermore,  $(IB)S = IS = S$  and  $(IC)S = IS = S$ . Therefore, by

Lemma 1.2, we have  $IB = IC$ . Hence  $B = C$  since  $I$  is a cancellation ideal of the extension  $R \subseteq S$ . It follows that  $E = \Psi(B) = \Psi(C) = F$ . This shows that  $\Psi(I)$  is a cancellation ideal of the extension  $L \subseteq T$ .

Conversely, suppose that  $\Psi(I)$  is a cancellation ideal of the extension  $L \subseteq T$ . Let  $B$  and  $C$  be two  $S$ -regular  $R$ -submodules of  $S$  such that  $IB = IC$ . Then  $\Psi(I)\Psi(B) = \Psi(I)\Psi(C)$ . Since  $BS = S$ , we have  $\Psi(B)T = T$ . Hence  $\Psi(B)$  is a  $T$ -regular ideal of  $L$ . With the same argument,  $\Psi(C)$  is a  $T$ -regular ideal of  $L$ . It follows that  $\Psi(B) = \Psi(C)$  since  $\Psi(I)$  is a cancellation ideal of the extension  $L \subseteq T$ . Therefore, by Lemma 1.2, we have  $B = C$ . This shows that  $I$  is a cancellation ideal of the extension  $R \subseteq S$ .  $\square$

In the next proposition, we give a characterization of a cancellation ideal of a ring extension. This result is an analogue of [3, Proposition 2.1, p. 10] in the case of cancellation ideal of a ring.

**Proposition 2.3.** *Let  $R \subseteq S$  be a ring extension, and let  $I$  be an  $S$ -regular ideal of  $R$ . The following statements are equivalent.*

- (1)  $I$  is a (quasi)-cancellation ideal of the ring extension  $R \subseteq S$ .
- (2)  $[IJ : I] = J$  for any  $S$ -regular (finitely generated)  $R$ -submodule  $J$  of  $S$ .
- (3) If  $IJ \subseteq IK$  for two  $S$ -regular (finitely generated)  $R$ -submodules  $J$  and  $K$  of  $S$ , then  $J \subseteq K$ .

*Proof.* (1)  $\Rightarrow$  (2) Suppose that  $I$  is a cancellation ideal of the extension  $R \subseteq S$ , and let  $J$  be an  $S$ -regular  $R$ -submodule of  $S$ . The containment  $J \subseteq [IJ : I]$  is always true. Let  $x \in [IJ : I]$ . Then  $xI \subseteq IJ$ . It follows that  $(x, J)I \subseteq IJ$ , where  $(x, J)$  is the  $R$ -submodule of  $S$  generated by  $x$  and  $J$ . Therefore,  $(x, J)I = IJ$  since the containment  $IJ \subseteq (x, J)I$  is always true. Furthermore,  $(x, J)$  is an  $S$ -regular  $R$ -submodule of  $S$  since  $J \subseteq (x, J)$ . It follows from the definition of a cancellation ideal that  $(x, J) = J$ . This shows that  $x \in J$ , and thus  $[IJ : I] \subseteq J$ . Therefore  $[IJ : I] = J$ .

(2)  $\Rightarrow$  (3) Suppose that the statement (2) is true. Let  $J$  and  $K$  be two  $S$ -regular  $R$ -submodules of  $S$ . Then by (2), we have  $[IK : I] = K$ . If  $IJ \subseteq IK$ , then  $J \subseteq [IK : I] = K$ .

(3)  $\Rightarrow$  (1) This implication is obvious.  $\square$

**Proposition 2.4.** *Let  $R \subseteq S$  be a ring extension, and let  $I$  be a finitely generated  $S$ -regular ideal of  $R$ . Then  $I$  is a cancellation ideal of  $R \subseteq S$  if and only if  $I$  is a quasi-cancellation ideal of  $R \subseteq S$ .*

*Proof.* Let  $I$  be a finitely generated  $S$ -regular ideal of  $R$ . If  $I$  is a cancellation ideal of the extension  $R \subseteq S$ , then obviously  $I$  is a quasi-cancellation ideal of the extension  $R \subseteq S$ . Conversely, suppose that  $I$  is a quasi-cancellation ideal of the extension  $R \subseteq S$ . Let  $a_1, \dots, a_n \in R$  be a set of generators of  $I$ . Let  $B, C$  be two  $S$ -regular  $R$ -submodules of  $S$  such that  $IB \subseteq IC$ . Let  $b \in B$ . Then  $bI \subseteq IC$ . So, for  $1 \leq i \leq n$ , we have  $ba_i = \sum_{j=1}^k a_j c_{ij}$  with  $c_{ij} \in C$  for  $1 \leq j \leq k$ . Furthermore, since  $CS = S$ , there exist  $u_1, \dots, u_\ell \in C$  and  $s_1, \dots, s_\ell \in S$  such that  $u_1 s_1 + \dots + u_\ell s_\ell = 1$ . Let  $C'$  be the  $R$ -submodule of  $S$  generated by the elements of the set  $\{u_1, \dots, u_n, c_{ij} : 1 \leq i \leq n, 1 \leq j \leq k\}$ . Let  $B_0$  be the  $R$ -submodule of  $S$  generated by  $b$ . Then  $(B_0 + (u_1, \dots, u_n)R)I \subseteq IC'$ . It follows from the equivalence (1)  $\Leftrightarrow$  (3) of Proposition 2.3 that  $B_0 + (u_1, \dots, u_n)R \subseteq C'$  since  $B_0 + (u_1, \dots, u_n)R$  and  $C'$  are finitely generated  $S$ -regular ideal of  $S$ . Therefore,  $b \in C' \subseteq C$ . Hence  $B \subseteq C$  since  $b$  was arbitrary chosen in  $B$ . This shows that  $I$  is a cancellation ideal of the extension  $R \subseteq S$ .  $\square$

**Lemma 2.5.** *Let  $R \subseteq S$  be a ring extension, and let  $u_1, \dots, u_\ell \in S$ . Define the sets  $E = (u_1, \dots, u_\ell)R_{[\mathfrak{p}]}$  and  $A = (u_1, \dots, u_\ell)R$ , where  $\mathfrak{p}$  is a prime ideal of  $R$ . For any ideal  $I$  of  $R$ , we have:*

- (1)  $(AI)_{[\mathfrak{p}]} = (EI)_{[\mathfrak{p}]}$ . In particular,  $A_{[\mathfrak{p}]} = E_{[\mathfrak{p}]}$ .
- (2)  $(EI)_{[\mathfrak{p}]} = (EI_{[\mathfrak{p}]})_{[\mathfrak{p}]}$ .

*Proof.* (1) First, observe that  $AI \subseteq EI$ . So  $(AI)_{[\mathfrak{p}]} \subseteq (EI)_{[\mathfrak{p}]}$ . Let  $x \in (EI)_{[\mathfrak{p}]}$ . Then there exists  $t \in R \setminus \mathfrak{p}$  such that  $tx \in EI$ . Therefore,  $tx = \sum_{i=1}^n e_i x_i$  for some  $e_i \in E$  and  $x_i \in I$ ,  $1 \leq i \leq n$ . For each  $1 \leq i \leq n$ , write  $e_i = \sum_{j=1}^\ell u_j y_{ij}$  with  $y_{ij} \in R_{[\mathfrak{p}]}$  for  $1 \leq j \leq \ell$ . Let  $s_{ij} \in R \setminus \mathfrak{p}$  such that  $s_{ij} y_{ij} \in R$ ,  $s_i = \prod_{j=1}^\ell s_{ij}$  and  $s = \prod_{i=1}^n s_i$ . Then  $s_i e_i \in A$ . It follows that  $(st)x = \sum_{i=1}^\ell (s e_i) x_i \in AI$ . Thus  $x \in (AI)_{[\mathfrak{p}]}$  since  $st \in R \setminus \mathfrak{p}$ . This shows that  $(EI)_{[\mathfrak{p}]} \subseteq (AI)_{[\mathfrak{p}]}$ . Hence  $(AI)_{[\mathfrak{p}]} = (EI)_{[\mathfrak{p}]}$ . In particular, if we take  $I = R$ , then we get  $A_{[\mathfrak{p}]} = E_{[\mathfrak{p}]}$ .

(2) The containment  $(EI)_{[\mathfrak{p}]} \subseteq (EI_{[\mathfrak{p}]})_{[\mathfrak{p}]}$  is clear since  $EI \subseteq EI_{[\mathfrak{p}]}$ . Let  $x \in (EI_{[\mathfrak{p}]})_{[\mathfrak{p}]}$ . Then  $tx \in EI_{[\mathfrak{p}]}$  for some  $t \in R \setminus \mathfrak{p}$ . Thus  $tx = \sum_{i=1}^k v_i y_i$  with  $v_i \in E$  and  $y_i \in I_{[\mathfrak{p}]}$  for  $1 \leq i \leq k$ . Let  $s_i \in R \setminus \mathfrak{p}$  such that  $s_i y_i \in I$ , and let  $s = \prod_{i=1}^k s_i$ . Then  $(st)x = \sum_{i=1}^k v_i (s y_i) \in EI$ . It follows that  $x \in (EI)_{[\mathfrak{p}]}$ . Therefore,  $(EI)_{[\mathfrak{p}]} = (EI_{[\mathfrak{p}]})_{[\mathfrak{p}]}$ .  $\square$

**Theorem 2.6.** *Let  $R \subseteq S$  be a ring extension, and let  $I$  be a finitely generated  $S$ -regular ideal of  $R$ . The following statements are equivalent.*

- (1)  $I$  is a quasi-cancellation ideal of the extension  $R \subseteq S$ .

- (2) For each prime ideal  $\mathfrak{p}$  of  $R$ , and for each  $S$ -regular finitely generated  $R_{[\mathfrak{p}]}$ -submodule  $E$  of  $S$ , we have  $[(EI)_{[\mathfrak{p}]} : I_{[\mathfrak{p}]}] = E_{[\mathfrak{p}]}$ .

*Proof.* (1)  $\Rightarrow$  (2) Suppose that  $I$  is a quasi-cancellation ideal of the extension  $R \subseteq S$ , and let  $\mathfrak{p}$  be a prime ideal of  $R$ . Let  $E$  be a finitely generated  $S$ -regular  $R_{[\mathfrak{p}]}$ -submodule of  $S$ . Then  $E = (u_1, \dots, u_\ell) R_{[\mathfrak{p}]}$  for some elements  $u_1, \dots, u_\ell$  of  $S$ . Let  $A$  be the  $R$ -submodule of  $S$  generated by  $u_1, \dots, u_\ell$ . Then by Proposition 2.3 and Proposition 2.4, we have  $[AI : I] = A$ . It follows from [6, Proposition 2.1(4)] that  $[(AI)_{\mathfrak{p}} : I_{[\mathfrak{p}]}] = A_{[\mathfrak{p}]}$ . Hence by Lemma 2.5, we have  $[(EI_{[\mathfrak{p}]})_{[\mathfrak{p}]} : I_{[\mathfrak{p}]}] = [(EI)_{\mathfrak{p}} : I_{[\mathfrak{p}]}] = [(AI)_{\mathfrak{p}} : I_{[\mathfrak{p}]}] = A_{[\mathfrak{p}]} = E_{[\mathfrak{p}]}$ .

(2)  $\Rightarrow$  (1) Suppose that the statement (2) is true. Let  $A$  be an  $S$ -regular finitely generated  $R$ -submodule of  $S$ , and let  $\mathfrak{p}$  be a prime ideal of  $R$ . Let  $E = AR_{[\mathfrak{p}]}$ . Then by Lemma 2.5, we have  $(AI)_{[\mathfrak{p}]} = (EI)_{[\mathfrak{p}]}$  and  $A_{[\mathfrak{p}]} = E_{[\mathfrak{p}]}$ . So, by hypothesis we have  $A_{[\mathfrak{p}]} = E_{[\mathfrak{p}]} = [(EI)_{[\mathfrak{p}]} : I_{[\mathfrak{p}]}] = [(AI)_{[\mathfrak{p}]} : I_{[\mathfrak{p}]}]$ . But by [6, Proposition 2.1(4)], we have  $[(AI)_{[\mathfrak{p}]} : I_{[\mathfrak{p}]}] = [(AI) : I]_{[\mathfrak{p}]}$ . Therefore,  $A_{[\mathfrak{p}]} = [(AI) : I]_{[\mathfrak{p}]}$  for each prime ideal  $\mathfrak{p}$  of  $R$ . It follows from Remark 1.1 that  $[AI : I] = A$ . Therefore, by the equivalence (1)  $\Leftrightarrow$  (2) of Proposition 2.3,  $I$  is a quasi-cancellation ideal of the extension  $R \subseteq S$ .  $\square$

In their book [5], Knebusch and Zhang defined the notion of Prüfer extension using valuation ring [5, Definition 1, p. 46]. Several characterizations of a Prüfer extension are given in [5, Theorem 5.2, p. 47]. For the purpose of this work, we will use the following: a ring extension  $R \subseteq S$  is called Prüfer extension if  $R$  is integrally closed in  $S$  and  $R[\alpha] = R[\alpha^n]$  for any  $\alpha \in S$  and any  $n \in \mathbb{N}$ .

**Lemma 2.7.** [5, Theorem 1.13, p. 91] *If a ring extension  $R \subseteq S$  is a Prüfer extension, then every finitely generated  $S$ -regular  $R$ -submodule of  $S$  is  $S$ -invertible.*

**Proposition 2.8.** *Let  $R \subseteq S$  be a ring extension, and let  $I$  be an  $S$ -regular ideal of  $R$ .*

- (1) *If  $I$  is a cancellation ideal of the extension  $R \subseteq S$ , then  $[I : I] = R$ .*
- (2) *If the extension  $R \subseteq S$  is Prüfer, then the converse of statement (1) is also true (i.e. in a Prüfer extension  $R \subseteq S$ , if  $I$  is an  $S$ -regular ideal satisfying  $[I : I] = R$ , then  $I$  is a quasi-cancellation ideal).*

*Proof.* (1) The proof follows directly from the equivalence (1)  $\Leftrightarrow$  (2) of Theorem 2.3. It suffices to take  $J = R$ .

(2) Suppose that the extension  $R \subseteq S$  is Prüfer, and let  $I$  be an  $S$ -regular ideal of  $R$  such that  $[I : I] = R$ . Let  $A$  be an  $S$ -regular finitely

generated  $R$ -submodule of  $S$ . Then by Lemma 2.7,  $A$  is  $S$ -invertible. We show that  $A[I : I] = [AI : I]$ . Let  $x \in [AI : I]$ . Then  $xI \subseteq AI$ . Hence  $xIA^{-1} \subseteq I$ . Thus  $xA^{-1} \subseteq [I : I]$ . It follows that  $x \in A[I : I]$ . On the other hand, let  $y = \sum_{i=1}^k a_i v_i \in A[I : I]$  with  $a_i \in A$  and  $v_i \in [I : I]$  for  $1 \leq i \leq k$ . Then  $v_i I \subseteq I$ . Hence  $a_i v_i I \subseteq AI$ . Therefore,  $a_i v_i \in [AI : I]$ . So  $y = \sum_{i=1}^k a_i v_i \in [AI : I]$ . This shows that  $[AI : I] = A[I : I]$ . Hence  $[AI : I] = A[I : I] = AR = A$ . Hence, by the equivalence (1)  $\Leftrightarrow$  (2) of Proposition 2.3,  $I$  is a quasi-cancellation ideal of the extension  $R \subseteq S$ .  $\square$

Let  $R \subseteq S$  be a ring extension. A nonzero  $S$ -regular ideal  $I$  of  $R$  is called *m-canonical ideal* of the extension  $R \subseteq S$  if  $[I : [I : J]] = J$  for all  $S$ -regular ideal  $J$  of  $R$ . Properties of  $m$ -canonical ideals of a ring extension are studied in [7].

**Corollary 2.9.** *Any  $m$ -canonical ideal of a Prüfer extension is a quasi-cancellation ideal.*

*Proof.* If  $I$  is an  $m$ -canonical ideal of a Prüfer extension  $R \subseteq S$ , then by [7, Proposition 2.3], we have  $[I : I] = R$ . It follows from Proposition 2.8(2) that  $I$  is a quasi-cancellation ideal of the extension  $R \subseteq S$ .  $\square$

**Lemma 2.10.** *Let  $R \subseteq S$  be a ring extension, and let  $I$  be an  $S$ -regular ideal of  $R$  which is a cancellation ideal of  $R \subseteq S$ . If  $I = (x, y) + A$ , where  $A$  is an ideal of  $R$  containing  $\mathfrak{m}I$  for some maximal ideal  $\mathfrak{m}$  of  $R$ , then  $I = (x) + A$  or  $I = (y) + A$ .*

*Proof.* Let  $J = (x^2 + y^2, xy, xA, yA, A^2)R$ . Then  $IJ = I^3$ . Observe that  $I^2$  is  $S$ -regular since  $I^2 S = I(IS) = IS = S$ . Also, from the equality  $IJ = I^3$  we have  $(IJ)S = I^3 S = I(IS) = IS = S$ . So  $JS = S$ . This shows that  $J$  is an  $S$ -regular ideal of  $R$ . It follows from the equation  $IJ = I^3$  and the fact that  $I$  is a cancellation ideal of the extension  $R \subseteq S$  that  $J = I^2$ . Thus  $x^2 = t(x^2 + y^2) + \text{terms from } (xy, xA, yA, A^2)$ , with  $t \in R$ . Suppose that  $t \in \mathfrak{m}$ . Then  $x^2 \in (y^2, xy, xA, yA, A^2)$ , since  $tx \in \mathfrak{m}I \in A$ . Let  $K = (y) + A$ . Then  $I^2 = IK$ . Furthermore, from the equality  $IK = I^2$ , we have  $K(IS) = I^2 S$ . Hence  $KS = S$ . Therefore,  $K$  is an  $S$ -regular ideal of  $S$ . It follows that  $I = K$  since  $I$  is a cancellation ideal of the extension  $R \subseteq S$ . The rest of the proof is similar to the proof of [2, Lemma].  $\square$

**Proposition 2.11.** *Let  $R \subseteq S$  be a ring extension, and let  $I$  be a nonzero  $S$ -regular ideal of  $R$ . If  $I$  is a cancellation ideal of the extension  $R \subseteq S$ , then for each maximal ideal  $\mathfrak{m}$  of  $R$ , there exists  $a \in R$  such that  $I_{[\mathfrak{m}]} = (a)_{[\mathfrak{m}]}$ .*

*Proof.* Suppose that  $I$  is a cancellation ideal of the ring extension  $R \subseteq S$ , and let  $\mathfrak{m}$  be a maximal ideal of  $R$ . Suppose that  $I \subseteq \mathfrak{m}$ . Then by Lemma 2.10, and the proof of [2, Theorem], there exists  $a \in I$  such that for each  $b \in I$ ,  $(1 - u)b = ra$  for some  $u \in \mathfrak{m}$  and  $r \in R$ . Therefore,  $(1 - u)b \in (a)$ . So  $b \in (a)_{[\mathfrak{m}]}$ . This shows that  $I_{[\mathfrak{m}]} \in (a)_{[\mathfrak{m}]}$ . On the other hand, the equality  $(a)_{[\mathfrak{m}]} \subseteq I_{[\mathfrak{m}]}$  is always true. Thus  $I_{[\mathfrak{m}]} = (a)_{[\mathfrak{m}]}$ . If  $I \not\subseteq \mathfrak{m}$ , then  $I_{[\mathfrak{m}]} = (1)_{[\mathfrak{m}]} = R_{[\mathfrak{m}]}$ . In fact, for  $x \in R_{[\mathfrak{m}]}$ , there exists  $s \in R \setminus \mathfrak{m}$  such that  $sx \in R$ . Thus  $(st)x \in I$  for each  $t \in I \setminus \mathfrak{m}$ . It follows that  $x \in I_{[\mathfrak{m}]}$ .  $\square$

**Theorem 2.12.** *Let  $R \subseteq S$  be a ring extension, and let  $I$  be a nonzero finitely generated  $S$ -regular ideal of  $R$ . The following statements are equivalent.*

- (1)  $I$  is a cancellation ideal of the extension  $R \subseteq S$ .
- (2)  $I$  is a quasi-cancellation ideal of the extension  $R \subseteq S$ .
- (3)  $I$  is an  $S$ -invertible ideal of  $R$ .
- (4)  $IR[X]$  is a cancellation ideal of the extension  $R[X] \subseteq S[X]$ .

*Proof.* The equivalence (1)  $\Leftrightarrow$  (2) is the result of Theorem 2.4.

(1)  $\Rightarrow$  (3) Suppose that  $I$  is a cancellation ideal of the extension  $R \subseteq S$ , and let  $\mathfrak{m}$  be a maximal ideal of  $R$ . By the previous proposition,  $I_{[\mathfrak{m}]} = (a)_{[\mathfrak{m}]}$  for some  $a \in R$ . It follows that  $(I_{[\mathfrak{m}]})_{\mathfrak{m}_{[\mathfrak{m}]}} = ((a)_{[\mathfrak{m}]})_{\mathfrak{m}_{[\mathfrak{m}]}}$ . But by [5, Lemma 2.9(b), p. 28], we have  $I_{\mathfrak{m}} = (I_{[\mathfrak{m}]})_{\mathfrak{m}_{[\mathfrak{m}]}}$  and  $(a)_{\mathfrak{m}} = ((a)_{[\mathfrak{m}]})_{\mathfrak{m}_{[\mathfrak{m}]}}$ . Hence  $I_{\mathfrak{m}} = (a)_{\mathfrak{m}}$ . This shows that  $I$  is locally principal. It follows from [5, Proposition 2.3, p. 97] that  $I$  is  $S$ -invertible.

(3)  $\Rightarrow$  (1) This implication is obvious.

(3)  $\Rightarrow$  (4) Suppose that  $I$  is an  $S$ -invertible ideal of the extension  $R \subseteq S$ . First, note that  $(IR[X])(S[X]) = S[X]$  since  $IS = S$ . Hence  $IR[X]$  is an  $S[X]$ -regular ideal of  $R[X]$ . Let  $J$  be the  $R$ -submodule of  $S$  such that  $IJ = R$ . Then  $(IR[X])(JR[X]) = R[X]$ . This shows that  $IR[X]$  is an  $S[X]$ -invertible ideal of  $R[X]$ . It follows from the equivalence (1)  $\Leftrightarrow$  (3) that  $IR[X]$  is a cancellation ideal of the extension  $R[X] \subseteq S[X]$ .

(4)  $\Rightarrow$  (1) Suppose that  $IR[X]$  is a cancellation ideal of the extension  $R[X] \subseteq S[X]$ . Let  $J$  be an  $S$ -regular ideal of  $R$ . Then by the equivalence (1)  $\Leftrightarrow$  (2) of Proposition 2.3, we have  $[(IR[X])(JR[X]) : IR[X]] = JR[X]$ .

We show that  $[IJ : I] = J$ . First, note that the containment  $J \subseteq [IJ : I]$  is always true. Let  $u \in [IJ : I]$ . Then  $uI \subseteq IJ$ . Therefore,  $uIR[X] \subseteq (IJ)R[X] \subseteq (IR[X])(JR[X])$ . Hence  $u \in [(IR[X])(JR[X]) : IR[X]] = JR[X]$ . It follows that  $u \in JR[X] \cap S = J$ . This shows that  $[IJ : I] \subseteq J$ . Hence  $[IJ : I] = J$ . It follows from the equivalence (1)  $\Leftrightarrow$  (2) of Proposition 2.3 that  $I$  is a cancellation ideal of the extension  $R \subseteq S$ .  $\square$

**Corollary 2.13.** *Let  $R \subseteq S$  be a ring extension, and let  $I$  be a finitely generated  $S$ -regular ideal of  $R$ . If  $I$  is a cancellation ideal of the extension  $R \subseteq S$ , then  $I_{[\mathfrak{m}]}$  is a cancellation ideal of the extension  $R_{[\mathfrak{m}]} \subseteq S$  for each maximal ideal  $\mathfrak{m}$  of  $R$ .*

*Proof.* Let  $I$  be a finitely generated  $S$ -regular ideal of  $R$ , and let  $\mathfrak{m}$  be a maximal ideal of  $R$ . Suppose that  $I$  is a cancellation ideal of the extension  $R \subseteq S$ . Then by the previous theorem,  $I$  is  $S$ -invertible. Let  $J$  be an  $R$ -submodule of  $S$  such that  $IJ = R$ . Then  $I_{[\mathfrak{m}]}J_{[\mathfrak{m}]} \subseteq (IJ)_{[\mathfrak{m}]} \subseteq R_{[\mathfrak{m}]}$ . Furthermore, since  $IS = S$ , there exist  $x_i \in I$  and  $y_i \in J$ ,  $1 \leq i \leq \ell$ , such that  $1 = \sum_{i=1}^{\ell} x_i y_i$ . Let  $u \in R_{[\mathfrak{m}]}$ . There exists  $t \in R \setminus \mathfrak{m}$  such that  $tu \in R$  and  $u = \sum_{i=1}^{\ell} (ux_i) y_i$ . But for  $1 \leq i \leq \ell$ ,  $t(ux_i) = (tu)x_i \in I$  since  $tu \in R$  and  $x_i \in I$ . It follows that  $ux_i \in I_{[\mathfrak{m}]}$ . Therefore,  $u = \sum_{i=1}^{\ell} (ux_i) y_i \in I_{[\mathfrak{m}]}J \subseteq I_{[\mathfrak{m}]}J_{[\mathfrak{m}]}$ . This shows that  $R_{[\mathfrak{m}]} \subseteq I_{[\mathfrak{m}]}J_{[\mathfrak{m}]}$ . Thus  $I_{[\mathfrak{m}]}J_{[\mathfrak{m}]} = R_{[\mathfrak{m}]}$ . Hence  $I_{[\mathfrak{m}]}$  is an  $S$ -invertible  $R_{[\mathfrak{m}]}$ -submodule of  $S$ . It follows that  $I_{[\mathfrak{m}]}$  is a cancellation ideal of the extension  $R_{[\mathfrak{m}]} \subseteq S$ , since an invertible ideal of ring extension is always a cancellation ideal.  $\square$

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